

## Conflict situations

### 10.1 Conflict of interest

#### Rules

- **Notify Compliance on any professional activity performed outside the Bank.**
- **Comply with the restrictions related to negotiations of Paraná Banco' shares.**
- **Notify the Compliance of any personal or family bond maintained with people who are Employees, Suppliers, Correspondent Banks and Bank Franchises.**

Conflicts of interest are situations which may come up during the performance of Paraná Banco Employee's activities, in which their personal, the Bank's and/or its clients' interests may be divergent.

Whenever there are situations in which the Employee notices a potential conflict of interest, involving, for example, receipt and/or donation of presents, personal business transactions, external activities related to unethical activities, etc., Compliance must be notified, in order to avoid prevent such fact to materialize.

#### I. Trade in the Internal Environment

The trade or disclosure of any type of product or service between the Employees during working hours is prohibited, as well as the use of the Bank's working tools for such activity (e-mails, computers, telephones, etc.).

#### II. Shares Trading

All Employees and related people<sup>4</sup> are prohibited from negotiating the Bank's shares for a period of 15 (fifteen) days prior to the disclosure of the Financial Statements, Quarterly Information (ITR), Standardized Financial Statements (DFP) and Annual Information (IAN), as well as prior to the disclosure of any material act or fact.

#### III. Use of Privileged Information

All Employees are prohibited from using internal information or material facts for their benefit in the trading of stock in Paraná Banco on the financial and capital markets.

#### IV. Supplier Relations

The development of supplier relations aimed at obtaining personal advantages (in the present or future) is considered to be unethical, generating conflict of interest, in addition to jeopardize the transaction's exemption

#### V. Ombudsman Information Privilege

The Bank's Ombudsman may not make any type of statement by reason of the confidentiality and impartiality which are necessary to the exercise of his/her activity.

### 10.2 Favors and Presents

#### Rules

- **Do not accept favor or gifts in exchange for services provided within the Bank.**
- **When receiving presents or gifts on commemorative dates, please ask the Compliance area in case there are any gifts whose value exceed R\$ 100.00 (one hundred reais).**

In order to prevent conflicting situations as for the courtesies<sup>6</sup> offered to Employees, which may provoke favoring suspicions, the following is recommended:

I. Exchange of Favors

Personal favors or presents arising from a relationship with the Bank, which may influence decisions, facilitate businesses or benefit third parties must not be accepted. It is worth highlighting that it is not prohibited to receive presents, provided that their value is modest<sup>7</sup>, such as agendas and calendars, among other gifts.

To preserve the Bank's and the Employee's image, one must avoid everything that may generate suspicions, questioning or discredit it. Nevertheless, there are exceptions: as described in the next item.

II. Commemorative Dates

In case of donation of gifts related to celebration and/or commemorative dates, the Employee may accept them, provided that the asset's value does not individually exceed the equivalent to R\$ 100.00 (one hundred reais). If the individual value of the good exceeds this parameter, such fact must be notified to Compliance so that it may, jointly with the Employee, define a solution for the case.