

CAIXA SEGURIDADE PARTICIPAÇÕES S.A.

CNPJ 22.543.331/0001-00

NIRE 53.3.0001645-3

EXTRACT FROM MINUTES No. 137 – BOARD OF DIRECTORS MEETING

HELD ON SEPTEMBER 23, 2021

I. Date and time: On September 23, 2021, at 2:00 p.m., the Meeting of the Board of Directors of Caixa Seguridade Participações S. A. (“Caixa Seguridade” or “Company”) started by videoconference.

II. Call Notice: The members were summoned to the meeting, as described in the Board of Directors Internal Regulation.

III. Board: Members: PEDRO DUARTE GUIMARÃES, Chairman; ANTÔNIO JOAQUIM GONZALEZ RIO-MAYOR, ILANA TROMBKA, LEONARDO JOSÉ ROLIM GUIMARÃES, MARCO ANTONIO DA SILVA BARROS and PAULO HENRIQUE ANGELO SOUZA. Legal Advice: Bruna Carneiro Tavares Groba. Designated secretary: Rafaela do Nascimento Moura Cordeiro, with support of the Consultant Adriana Alves de Almeida.

(...)

IV. Agenda: to deliberate on: (...) **(iii)** Annual Letter of Public Policies and Corporate Governance, referring to the fiscal year 2020; **(iv)** Amendment to the Caixa Seguridade Participações S.A. Bylaws; (...); **(vii)** Compliance and Integrity Report, referring to the 2nd quarter of 2021; **(viii)** Risk Appetite Statement (RAS) Monitoring Panel - 2nd Quarter 2021; (...)

V. Resolution: Having read, treated and discussed the items in the agenda, the Board of Directors stated as follows:

(...)

(iii) Annual Letter of Public Policies and Corporate Governance, referring to the fiscal year 2020: The Board of Directors of Caixa Seguridade Participações S.A., in the use of the powers conferred upon it by Article 28, item II, subheading “c”, of the Caixa Seguridade Bylaws, **approved** the Caixa Seguridade’s Annual Letter of Public Policies and Corporate

Governance, referring to the fiscal year 2020, under the terms of the Executive Report SUGOP/DIRIG No. 135/2021. In line with Article 14 of Decree No. 8945/2016, of 12/27/2016, the Company shares the document with its wholly owned subsidiaries Caixa Holding Securitária S.A., CNPJ 22,556,669/0001-05 and Caixa Seguridade Corretagem e Administração de Seguros S.A., CNPJ 38.122.281/0001-28.

(...)

(iv) Amendment to the Caixa Seguridade Participações S.A. Bylaws: The Board of Directors of Caixa Seguridade Participações S.A., in the use of the powers conferred upon it by Article 28, items I and XIV, of the Company Bylaws, and considering the favorable decision of the Executive Board recorded on Minutes No. 267, of 09/16/2021, decided to approve the amendment to articles 20, 28, 29, 34 and 37 of Caixa Seguridade's Bylaws, (...).

(...)

(vii) Compliance and Integrity Report, referring to the 2nd quarter of 2021: the Board of Directors, in the use of the powers conferred upon it by Article 52, paragraph 1, item IX, of the Company's Bylaws, **took notice of** the Caixa Seguridade Participações S.A. Compliance and Integrity Report for the 2nd quarter of 2021, through the Executive Report SUGRC/DIRIG No. 154/2021, (...). Aware, the Board of Directors did not record any considerations.

(viii) Risk Appetite Statement (RAS) Monitoring Panel - 2nd Quarter 2021: The Board of Directors of Caixa Seguridade Participações S.A., in the exercise of its powers, **took notice**, through the Executive Reports SUGRC/DIRIG No. 104/2021, No. 127/2021 and No. 155/2021, of the Risk Appetite Statement (RAS) Monitoring Panel of Caixa Seguridade, referring to April, May and June/2021. The Board **accepted** the proposal to change the report periodicity from monthly to quarterly and **requested** that any expectation of violation of the indicators shall be reported to the Board of Directors in a timely manner.

(...)

VI. Closure: There being nothing further to discuss, the meeting was closed and I, Rafaela do Nascimento Moura Cordeiro, designated Secretary, drafted these Minutes that are signed by me and the Board of Directors members of Caixa Seguridade Participações S.A, Antônio Joaquim Gonzalez Rio-Mayor, Ilana Trombka, Leonardo José Rolim Guimarães, Marco Antonio da Silva Barros, Paulo Henrique Angelo Souza, Members, and Pedro Duarte Guimarães, Chairman, appearing on the file itself.

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Brasília, September 23, 2021.

RAFAELA DO NASCIMENTO MOURA CORDEIRO
Secretary

PEDRO DUARTE GUIMARÃES
Chairman