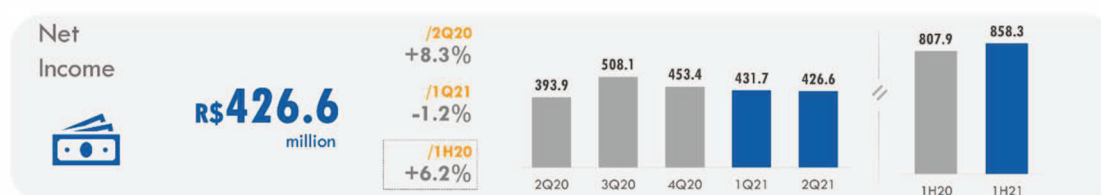


ITR - Performance Comments



Caixa Seguridade's **net income** in the second quarter of 2021 was R\$426.6 million, 8.3% higher than the recurring income of the same period in 2020. The quarter's performance is mainly a reflection of the result of **distribution revenues**, which grew 36.4% compared to 2020, of which, of the total of R\$ 214.3 million, R\$ 167.8 million came from brokerage revenues. With a new remuneration structure, with the creation of the own brokerage firm, brokerage revenues tend to increase at the same time as BDF revenues are reduced, as they come from run-off businesses.

Income from equity investments (MEP) in the second quarter grew by 6.4% compared to the second quarter 2020. The results of equity investments reflect the recovery of commercial performance in relation to the previous year, although they were negatively impacted, between the beginning of April and mid-June, by the effects of the COVID-19 pandemic in the accident rate in insurance lines (Mortgage, Life and Credit Life).

Regarding commercial performance, the insurance segment presented a 23.9% growth in written premiums in the comparison between the second quarter of 2021 and the same period of 2020. Emphasis on the growth of the Homeowner (77.1%), Credit life (39.7%), Life (23.9%) and Personal Accident (+19.5%) lines. Mortgage insurance grew by 7.7%, related to the inventory effect, considering that the insurance premiums are issued monthly and the policy inventory influences the flow of issuances each year. The other insurance lines, with lower production volume, and which will be explored from August under the co-brokerage agreements, also showed a positive performance in the relationship between the second quarter of 2021 and 2020: Business (+47.4%) and Auto (+10.7%).

For the **pension segment**, contributions totaled 7.8 billion in the second quarter of 2021, an increase of 177.6% over the same period in 2020, reflecting the growing improvement in sales processes, the promotion of sales incentive campaigns and retention of resources and recognition of employees involved in marketing. Caixa Seguridade achieved, in the second quarter of 2021, the historic mark of 100 billion in pension reserves, an increase of 25.6% compared to the second quarter of 2020.

The premium bonds segment, in turn, registered a growth of 7.8% while the **premium bonds segment** registered a decrease of 9.6%, both in the comparison between the second quarter of 2021 and the same period of the previous year. For these segments, the second quarter results refer to former partnerships, with the start of operation of the new agreement with Icatu for the premium bonds segment started at the end of June and the agreement with CNP for the consortium segment, approved by BACEN in July, takes effect from August.

The **current return on recurring equity (ROE)** of 38.7% per annum was above that recorded in the second quarter of 2020 (30.7%). In the composition of the index, the variation between 2020 and 2021 is due to the positive performance of the first quarter's net income, which reinforces the numerator of

the indicator, and the reduction in Shareholders' Equity, resulting from the payment of dividends, sensitizing the denominator of ROE.

The **net margin** of 80.6% was reduced by 3.8 pp compared to the second quarter of 2020, reflecting increases of 7.9% in administrative expenses and 8.3% in tax expenses, the latter resulting from the increase in the share of distribution revenues in the composition of Operating Revenue.

CAIXA

seguridade

Interim Financial
Statements of
Caixa
Seguridade
Participações
S.A.

June 30, 2021

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Assets	Parent company		Consolidated	
	06/30/2021	12/31/2020	06/30/2021	12/31/2020
Current assets	304,276	803,601	552,338	911,768
Cash and cash equivalents (Note 9)	78	34	156	45
Financial Instruments (Note 10)	251,447	662,070	427,652	773,379
Dividends receivable (Note 20(d))	38	14,386	215	465
Interest on capital receivable (Note 21(d))	-	20,835	6,178	31,603
Accounts receivable (Note 11)	52,195	105,871	117,559	105,871
Current tax assets	-	-	60	-
Other assets	518	405	518	405
Non-current assets	10,152,055	8,651,358	9,950,019	8,545,540
Investments in equity interests (Note 12)	10,152,032	8,651,330	9,949,996	8,545,512
Other assets	23	28	23	28
Total assets	10,456,331	9,454,959	10,502,357	9,457,308

Liabilities and equity	Parent company		Consolidated	
	06/30/2021	12/31/2020	06/30/2021	12/31/2020
Current assets	18,583	368,705	64,609	371,054
Accounts payable (Note 14)	13,723	18,534	30,629	18,534
Dividends payable (Note 22(d))	-	320,236	-	320,236
Current tax liabilities	4,860	29,935	31,515	32,284
Deferred tax liabilities (Note 13 (c))	-	-	2,465	-
Non-current assets	903	847	903	847
Accounts payable (Note 14)	903	847	903	847
Equity	10,436,845	9,085,407	10,436,845	9,085,407
Share capital (Note 16 (a))	2,756,687	2,756,687	2,756,687	2,756,687
Reserves (Note 16 (c))	1,141,484	1,921,484	1,141,484	1,921,484
Equity valuation adjustment (Note 16 (d))	5,680,383	4,407,236	5,680,383	4,407,236
Accumulated profits	858,291	-	858,291	-
Total liabilities and equity	10,456,331	9,454,959	10,502,357	9,457,308

The accompanying notes are an integral part of these interim financial statements.

Income statement and Comprehensive income statement of the period

In thousands of reais, unless otherwise stated.

Statement of income	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Operating revenue	456,051	541,461	463,980	464,610
Revenue from equity investments (Note 12)	409,574	327,199	306,900	307,530
Revenue from distribution network access and use of brand (Note 17)	46,477	46,477	157,080	157,080
Income from services rendered (Note 17)	-	167,785	-	-
Costs of services provided (Note 18)	-	(21,658)	-	-
Gross Result	456,051	519,803	463,980	464,610
Other operating income/(expenses)	(22,040)	(42,475)	(27,803)	(28,159)
Administrative expenses (Note 19)	(18,937)	(19,665)	(11,807)	(11,807)
Tax expenses (Note 13 (b))	(4,912)	(24,619)	(15,986)	(16,342)
Other operating income/expenses (Note 20)	1,809	1,809	(10)	(10)
Income before financial income and expenses	434,011	477,328	436,177	436,451
Financial result (Note 21)	1,726	2,444	4,825	5,912
Financial income	1,726	2,444	4,826	5,913
Financial expenses	-	-	(1)	(1)
Earnings Before Interest and Taxes	435,737	479,772	441,002	442,363
Income tax and social contribution (Note 13 (a))	(9,103)	(53,138)	(47,064)	(48,425)
Current taxes	(9,103)	(52,168)	(47,064)	(47,410)
Deferred Taxes	-	(970)	-	(1,013)
Net income for the period	426,634	426,634	393,938	393,938
Number of shares – in thousands	3,000,000	3,000,000	1,200,000	1,200,000
Earnings per share - R\$ (Note 16 (e))	0.14221	0.14221	0.32828	0.32828

The accompanying notes are an integral part of these interim financial statements.

Income statement and Comprehensive income statement of the period

In thousands of reais, unless otherwise stated.

Statement of income	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Operating revenue	928,224	1,064,566	956,118	957,370
Revenue from equity investments (Note 12)	802,849	655,362	625,971	627,223
Revenue from distribution network access and use of brand (Note 17)	125,375	125,375	330,147	330,147
Income from services rendered (Note 17)	-	283,829	-	-
Costs of services provided (Note 18)	-	(23,440)	-	-
Gross Result	928,224	1,041,126	956,118	957,370
Other operating income/(expenses)	(42,510)	(77,126)	(57,914)	(58,660)
Administrative expenses (Note 19)	(32,075)	(33,244)	(28,617)	(28,617)
Tax expenses (Note 13 (b))	(12,244)	(45,691)	(29,287)	(30,033)
Other operating income/expenses (Note 20)	1,809	1,809	(10)	(10)
Income before financial income and expenses	885,714	964,000	898,204	898,710
Financial result (Note 21)	1,444	2,264	8,202	10,559
Financial income	2,450	3,286	9,043	11,400
Financial expenses	(1,006)	(1,022)	(841)	(841)
Earnings Before Interest and Taxes	887,158	966,264	906,406	909,269
Income tax and social contribution (Note 13 (a))	(28,867)	(107,973)	(98,538)	(101,401)
Current taxes	(28,867)	(106,079)	(98,538)	(99,290)
Deferred Taxes	-	(1,894)	-	(2,110)
Net income for the period	858,291	858,291	807,868	807,868
Number of shares – in thousands	3,000,000	3,000,000	1,200,000	1,200,000
Earnings per share - R\$ (Note 16 (e))	0.28610	0.28610	0.67322	0.67322

The accompanying notes are an integral part of these interim financial statements.

Income statement and Comprehensive income statement of the period

In thousands of reais, unless otherwise stated.

Comprehensive income statement	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Net income for the period	426,634	426,634	393,938	393,938
Items subject to reclassification to the result				
(+/-) Adjustment of reflex equity valuation (Note 12 (a))	(28,522)	(28,522)	62,485	62,485
(+/-) Equity valuation adjustment resulting from changes in equity interest without loss or acquisition of control (Note 16 (d))	-	-	-	-
Comprehensive income for the period	398,112	398,112	456,423	456,423

Comprehensive income statement	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Net income for the period	858,291	858,291	807,868	807,868
Items subject to reclassification to the result				
(+/-) Adjustment of reflex equity valuation (Note 12 (a))	(199,271)	(199,271)	35,153	35,153
(+/-) Equity valuation adjustment resulting from changes in equity interest without loss or acquisition of control (Note 16 (d))	1,472,418	1,472,418	-	-
Comprehensive income for the period	2,131,438	2,131,438	843,021	843,021

The accompanying notes are an integral part of these interim financial statements.

Statement of Changes in Equity for the period

In thousands of reais, unless otherwise stated.



Events	Share capital	Reserves	Equity Valuation Adjustment	Accumulated profits	Equity
Balances at December 31st, 2019	2,756,687	2,162,306	221,798	-	5,140,791
Adjustment of equity valuation of investees	-	-	35,153	-	35,153
Net income for the period	-	-	-	807,868	807,868
Balances at June 30th, 2020	2,756,687	2,162,306	256,951	807,868	5,983,812
Balances at December 31st, 2020	2,756,687	1,921,484	4,407,236	-	9,085,407
Equity Valuation Adjustment	-	-	1,273,147	-	1,273,147
Net income for the period	-	-	-	858,291	858,291
Additional dividends highlighted	-	(780,000)	-	-	(780,000)
Balances at June 30th, 2021	2,756,687	1,141,484	5,680,383	858,291	10,436,845

The accompanying notes are an integral part of these interim financial statements.

Statement of Changes in Equity for the period

In thousands of reais, unless otherwise stated.

Statement of cash flow	1st semester of 2021	1st semester of 2021	1st semester of 2020	1st semester of 2020
	Parent company	Consolidated	Parent company	Consolidated
Cash flows from operational activities				
Net income for the period:	858,291	858,291	807,868	807,868
Adjustments to profit:				
Revenue from equity investments	(802,849)	(655,362)	(625,971)	(627,223)
Other adjustments (Depreciation/Retained taxes)	1,009	1,009	2,168	2,168
Adjusted net income for the period:	56,451	203,938	184,065	182,813
Receipt of dividends	652,118	648,073	513,030	530,917
Receiving from interest on capital	20,852	31,620	21,964	37,666
Other receipts and payments	-	-	-	-
Equity variations:	54,989	33,241	(62,400)	(64,383)
Amounts receivable	84,927	19,563	(11,709)	(11,709)
Current tax assets	-	(60)	14,566	14,553
Other assets	(108)	(108)	(78)	(78)
Accounts payable:	(4,755)	12,149	(96,291)	(96,291)
Current tax liabilities	(25,075)	(768)	31,111	26,396
Deferred tax liabilities:	-	2,465	-	2,746
Net cash provided by operating activities	784,410	916,872	656,659	687,013
Cash flows from investment activities				
Financial investments	(226,493)	(448,320)	(127,175)	(163,299)
Redemption of Financial Investments	637,116	794,047	-	-
Contributions / Capital increase	(93,747)	(161,247)	-	-
Net cash used in investment activities	316,876	184,481	(127,175)	(163,299)
Cash flows from financing activities				
Dividends paid (Note 15(f))	(1,101,242)	(1,101,242)	(154,200)	(154,200)
Net cash provided by financing activities	(1,101,242)	(1,101,242)	(154,200)	(154,200)
Net increase/(decrease) in cash and cash equivalents	44	111	375,284	369,514
Cash and cash equivalents at the beginning of the period	34	45	371,201	501,728
Cash and cash equivalents at the end of the period	78	156	746,485	871,243

The accompanying notes are an integral part of these interim financial statements.

Statement of added value for the period

In thousands of reais, unless otherwise stated.

Statement of value added	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Revenue	127,184	411,013	330,147	330,147
Revenue from distribution network access and use of brand	125,375	125,375	330,147	330,147
Income from services rendered	-	283,829	-	-
Other income	1,809	1,809	-	-
Inputs acquired from third parties	9,909	33,479	7,094	7,094
Materials, energy, outsourced services and other	9,909	33,479	7,094	7,094
Gross value added	117,275	377,534	323,053	323,053
Depreciation, amortization and depletion	27	27	17	17
Net value added generated by the entity	117,248	377,507	323,036	323,036
Value added received through transfer	805,299	658,650	635,014	638,623
Result of Equity	802,849	655,362	625,971	627,223
Financial income	2,450	3,288	9,043	11,400
Total value added to distribute	922,547	1,036,157	958,050	961,659
Distribution of value added	922,547	1,036,157	958,050	961,659
Personnel	18,191	19,060	18,061	18,061
Direct compensation	14,597	15,319	14,277	14,277
Benefits	2,684	2,770	2,835	2,835
Employee Severance Indemnity Fund (FGTS)	910	971	949	949
Taxes and contributions	43,830	156,555	130,547	134,156
Federal	43,830	149,971	130,547	134,156
Municipal	-	6,584	-	-
Third party capital remuneration	1,229	1,245	732	732
Rentals	400	400	708	708
Others	829	845	24	24
Remuneration of equity	859,297	859,297	808,710	808,710
Dividends - monetary restatement	1,006	1,006	842	842
Profits / Losses for the period	858,291	858,291	807,868	807,868

The accompanying notes are an integral part of these interim financial statements.

Note 1 - Operating Context and General Information

Caixa Seguridade Participações S.A. (CAIXA Seguridade or the Company or parent company) is the lead company of CAIXA Seguridade Conglomerate (“Conglomerate”) and was set up on May 21st, 2015 under the laws of Brazil as a wholly-owned subsidiary of Caixa Econômica Federal (CAIXA), for an indefinite duration, for the primary purpose of acquiring other companies or owning, directly or indirectly, shares of the capital stock of other companies, in Brazil or abroad, that are actively engaged in the structuring and marketing of a broad range of insurance products, private pension plans and premium bonds, management, marketing and offering of private medical and dental care plans, rendering of brokerage services for these products, in addition to structuring, administering and marketing consortium plans, and executing reinsurance and retrocession transactions in Brazil and abroad. CAIXA Seguridade, in this context, follows the evolution of macroeconomic scenarios that may reflect the dynamics of its business and the business of its equity interests.

The Company, enrolled in the National Registry of Corporate Taxpayers (CNPJ) under No. 22.543.331/0001-00, is Setor de Autarquias Sul – SAUS, Quadra 3, Bloco E, Edifício CAIXA Matriz III, 3º andar – Brasília – Distrito Federal – Brasil.

a) Impacts of the COVID-19 pandemic on the Company's operations

As widely reported by the world press, since the beginning of the year 2020 the world has faced the impacts of the outbreak of the new coronavirus, which causes the disease known as COVID-19. On March 11, 2020, the World Health Organization (WHO) declared a global pandemic of the so-called new coronavirus, which causes COVID-19 disease. At the time, patients exceeded 118,000 in 114 nations around the world. Since then, pandemic statistics have taken proportions that, at the end of June 2021, exceeded 190.0 million people infected and approximately 4.0 million dead on the planet.

The fight against the pandemic has had impacts on global economies, including the Brazilian one, hampered by several factors such as the paralysis of economic activities, the closing of trade and services, circulation restrictions with the consequent reduction in consumption and industrial production, in addition to of other supervening macroeconomic issues, such as rising unemployment.

In this context, considering that the main revenues of the Company derive, directly and indirectly, from domestic operations carried out at the CAIXA Branch, due to the sale of insurance products, in view of the so-called second wave of contamination by the new coronavirus, the 1st semester of 2021 remained challenging, even though the Company's results in the period have been increasing, when compared to the same period of the previous year (partially affected by the pandemic).

In this regard, despite the increase in the loss ratio in the period, in particular, in housing, credit life and life insurance, the revenues earned by the Group from the distribution of insurance products (revenues from network access and use of the brand and revenues from the provision of services) equivalent to R\$ 409,204 in the 1st half of 2021 (R\$ 330,147 - 1st half of 2020), as well as the equity pickup result (MEP) recorded in the same period, equivalent to R\$655,362 (R\$627,223 – 1st half of 2020).

In addition to these aspects, it is important to highlight that the Company remains focused on its medium and long-term planning, especially with regard to the consolidation of its own brokerage and the agreements signed with strategic partners to operate in the CAIXA distribution network, as well as in the search for greater participation in the insurance products market.

b) Agreement with CNP Assurances S.A. (“CNP”) - Completion of the operation

On December 30, 2020, CAIXA Seguridade, within the scope of the agreement with CNP to form a new company that will explore, for a period of 25 years, the life and credit life insurance lines and pension products in the CAIXA distribution (“CAIXA Counter”), in accordance with the Material Facts disclosed on August 29, 2018 and September 19, 2019, communicated to its shareholders and the market in general the conclusion of the transaction and the implementation of said agreement.

To this end, all conditions precedent to the closing of the transaction were met, including the necessary regulatory approvals and the constitution of the new insurance holding company (Holding XS1) and the new insurance company (XS2 Vida e Previdência), which allowed the startup of the operation of the new corporate structure in the 1st quarter of 2021, for the purpose of marketing and distributing life and credit life insurance and supplementary pension plans at CAIXA Counter.

In this regard, it is important to highlight the spin-off of the Caixa Vida e Previdência company from the CNP Brasil structure, contemplating the run-off of the life insurance, credit life and pension plans, for the purposes of incorporating the present corporate structure (Holding XS1), in the terms of the association agreement signed.

Thus, as from the 1st quarter of 2021, the corporate structure starts to concentrate billing for life insurance and credit life issues through the company XS2 Vida e Previdência and, concomitantly, billing for the issuance of pension products by through Caixa Vida e Previdência, notwithstanding the life, loan and pension portfolios inherited by this company at the time of the implementation of the association agreement with CNP Brasil.

CAIXA Seguridade maintained a 60% interest in the total capital of Holding XS1, owning 49% of the common shares. CNP Brasil, in turn, maintained a 40% interest, with 51% of its common shares.

c) Tokio Marine Agreement - Completion of the operation

On January 4, 2021, CAIXA Seguridade, within the scope of the agreement with Tokio Marine Seguradora SA ("Tokio Marine") for the formation of a new company that will explore, for a period of 20 years, the Housing and Residential in the distribution chain Balcão CAIXA, in accordance with the Material Fact disclosed on January 6, 2020, communicated to its shareholders and the market in general the conclusion of the operation and the implementation of the said agreement.

To this end, all conditions precedent to the closing of the transaction were met, including the necessary regulatory approvals and the establishment of the new insurance company XS3 Seguros S.A. ("XS3 Seguros"). Tokio Marine subscribed a capital increase in XS3 Seguros in the total amount of R\$ 1,520,000 (one billion, five hundred and twenty million reais), which was paid to CAIXA, due to the grant granted to CAIXA Seguridade.

Under the terms of the Tokio Marine Agreement, CAIXA Seguridade maintained a 75% interest in the total capital of the new company (XS3 Seguros), holding 49.99% of its common shares and 100% of its preferred shares. Tokio Marine, in turn, held 50.01% of the common shares, in an amount corresponding to a 25% interest in the total capital of XS3 Seguros.

d) Time Agreement - Completion of the operation

On January 5, 2021, CAIXA Seguridade, within the scope of the agreement with Tempo Assist ("Tempo") for the formation of a new company that will explore, for a period of 20 years, the branch of Assistance Services in the distribution network CAIXA Branch, in accordance with the Material Fact disclosed on August 13, 2020, communicated to its shareholders and the market in general the conclusion of the transaction and the implementation of the aforementioned agreement.

To this end, all conditions precedent to the closing of the transaction were met, including the necessary regulatory approvals and the establishment of the new company XS6 Assistência S.A. ("XS6 Assistência"). Tempo subscribed a capital increase in XS6 Assistência in the total amount of R\$ 30,000 (thirty million reais), which was paid to CAIXA, due to the grant granted to Caixa Seguridade. In addition, if certain performance targets are reached by XS6 Assistência within three years, there are plans for further capital increases at XS6 Assistência, in the amount of up to R\$ 40,000 (forty million reais), to be subscribed by Tempo and paid to CAIXA, due to the grant granted to CAIXA Seguridade.

Under the terms of the Tempo Agreement, CAIXA Seguridade maintained a 75% interest in the total capital of the new company (XS6 Assistência), holding 49.99% of the common shares and 100% of the preferred shares. Tempo, in turn, held 50.01% of the common shares, in an amount corresponding to a 25% interest in the total capital of XS6 Assistência.

e) CNP Agreement - Consortia - Completion of the operation

On March 30, 2021, CAIXA Seguridade, within the scope of the agreement with CNP Assurances (“CNP”) for the formation of a new company that will have exclusivity, for a period of 20 years, in the sale of Consortium products on the network distribution point CAIXA Counter, in accordance with the Material Fact released on August 13, 2020, communicated to its shareholders and the market in general the conclusion of the operation and the implementation of the aforementioned agreement.

To this end, all conditions precedent to the closing of the transaction were met, including the necessary regulatory approvals and the constitution of the new company XS5 Administradora de Consórcios S.A. (“XS5 Consórcios”). CNP subscribed a capital increase in XS5 Consórcios in the total amount of R\$ 250,000 (two hundred and fifty million reais), which was paid to CAIXA, due to the grant granted to CAIXA Seguridade. CNP’s participation in XS5 Consórcios is awaiting approval by the Central Bank of Brazil and, for this reason, the parties have chosen to start operations after this resolution.

The Company will continue to communicate to the market in due course about the evolution of matters related to this new company and/or the process of reorganizing its strategic partnerships.

f) Icatu Agreement - Completion of the operation

On March 30, 2021, CAIXA Seguridade, within the scope of the agreement with Icatu Seguros S.A. (“Icatu”) for the formation of a new company that will have exclusivity, for a period of 20 years, in the sale of Capitalization products in distribution network Balcão CAIXA, in accordance with the Material Fact disclosed on January 20, 2020, communicated to its shareholders and the market in general the conclusion of the transaction and the implementation of said agreement.

Therefore, all conditions precedent to the closing of the transaction were met, including the necessary regulatory approvals and the incorporation of the new company XS4 Capitalização S.A. (“XS4 Capitalização”). Icatu subscribed a capital increase in XS4 Capitalização in the total amount of R\$ 180,000 (one hundred and eighty million reais), which was paid to CAIXA, due to the grant granted to CAIXA Seguridade.

Under the terms of the Icatu Agreement, CAIXA Seguridade maintained a 75% interest in the total capital of the new company (XS4 Capitalização), holding 49.99% of its common shares and 100% of its preferred shares. Icatu, in turn, held 50.01% of the common shares, in an amount corresponding to a 25% interest in the total capital of XS4 Capitalização.

g) 1st Amendment to the Commercial Agreement signed with Wiz Soluções e Brokerage de Seguros S.A (“Wiz”)

On February 3, 2021, CAIXA Seguridade communicated to its shareholders and the market the signing of the 1st Amendment to the Commercial Agreement signed with (“Wiz”), which aims to establish the conditions to provide brokerage or co-brokerage services in the CAIXA Distribution Network effective until February 14, 2021 (“Agreement”).

The Agreement provides that the parties would negotiate in good faith the conditions for a transition period, for the transfer of brokerage activities carried out by Wiz in the CAIXA Distribution Network to Caixa Seguridade’s own broker and for eventual (s) co-payments. broker (s) selected in the ongoing competitive process (“Competitive Process”), as per the relevant fact of December 23, 2020.

The Additive Term, in comment, establishes the conditions of the transition period for a new insurance brokerage model in the CAIXA Distribution Network. Such period will have a duration of 6 (six) months, counted from February 15, 2021, and the following characteristics: exclusion of Wiz’s remuneration on housing insurance marketed from February 15, 2021; gradual reduction in commissioning in other insurance lines, reaching 50% (fifty percent) of the commissions currently practiced in the last month and gradual reduction in sales intermediated by Wiz, which start from 100% (one hundred percent) and arrive in the last month 90% (ninety percent) of sales made.

During the transition period, Wiz undertook to cooperate and provide all necessary support, including providing all tools, processes, software and platforms free of charge to Caixa Seguridade.

Wiz also recognized the regularity of the Competitive Process and that the conditions disclosed in the Notice to the Market of August 9, 2018 and the provisions of the Agreement were substantially observed.

h) Completion of the competitive process for the selection of a co-broker

On February 12, 2021, CAIXA Seguridade communicated to its shareholders and the market in general the completion of the Competitive Process for the selection of co-broker (s) to operate in business lines in partnership with CAIXA Seguridade's own broker.

For each of the 4 (four) Offer Blocks, the following companies were selected:

- (i) Insurance Products: MDS Corretora e Administradora de Seguros S.A.;
- (ii) Automobile: MDS Corretora e Administradora de Seguros S.A.;
- (iii) Health and Dental: Alper Consultoria e Corretora de Seguros S.A.; and
- (iv) Major Risks and Corporate: Willis Affinity Corretores de Seguros Ltda.

Partnerships must be implemented through Operational Agreements to be signed in due course.

i) Initial public offering (IPO) of CAIXA Seguridade

On March 1st, 2021, CAIXA Seguridade informed its shareholders and the market in general that, on that date, they filed | (i) before CVM, by the parent company CAIXA, the request for registration of the public offering of secondary distribution of common shares issued by CAIXA Seguridade ("Offer"), pursuant to CVM Instruction No. 400, of December 29, 2003; and (ii) before B3 S.A. – Brasil, Bolsa, Balcão ("B3"), the requests for admission and listing of CAIXA Seguridade in the trading segment called Novo Mercado, considering the completion of the Offer.

Continuing the process, on April 27, 2021, CAIXA, the Company's controller, informed the Brazilian society, its customers and employees, and the market in general that, in accordance with Law No. 6.404, of December 15 of 1976, and in addition to the Material Fact disclosed on March 1, 2021 (application to CVM for registration of a public offering of secondary distribution of common shares issued by CAIXA Seguridade), ended the Bookbuilding procedure of the Secondary Public Offering of Common Shares issued by CAIXA Seguridade, with the Board of Directors of CAIXA having approved the price per share of the Offering at R\$9.67.

In that same statement, CAIXA informed that the offering totaled a financial volume of R\$ 5.0 billion, considering the Base Offer (450 million shares) and the Supplementary Lot (67.5 million shares). Thus, on April 29, 2021, CAIXA Seguridade became a publicly-held company with shares listed on B3. The common shares issued by Caixa Seguridade are now traded under the ticker "CXSE3" on the Novo Mercado, a special listing segment of B3 that establishes differentiated rules for corporate governance and disclosure of information to the market to be observed by the Company.

CAIXA Seguridade will continue to keep the market informed, under the terms of the current regulations, regarding the evolution of matters related to the potential Offering and Listing.

j) Equity holdings

We describe below the main direct and indirect interests of Caixa Seguridade that make up these financial statements of the Parent Company and Consolidated:

i.1) CNP Seguros Holding Brasil S.A. ("CNP Brasil")

Formerly known as Caixa Seguros Holding SA ("CSH"), it is a company incorporated in the form of a privately-held corporation, whose purpose is to participate as a shareholder or partner in corporate companies that operate insurance activities in all branches, including health and dental plans; capitalization plans; open private pension plans, in the form of annuity and

income; consortium management; and activities related or complementary to those previously described.

This company has its share capital divided into 51.75% of the shares on behalf of the French group CNP Assurances, 48.25% of the shares on behalf of Caixa Seguridade and 0.04% of the shares on behalf of the National Social Security Institute (INSS).

j.2) Caixa Holding Securitária S.A. (“CAIXA Holding”)

A wholly-owned subsidiary of CAIXA Seguridade, established on May 21st, 2015 with the corporate purpose of acquiring stakes in entities authorized to operate by the National Superintendence of Private Insurance (SUSEP).

j.2.1) XS3 Seguros S.A. (“XS3 Seguros”)

Company incorporated on August 19, 2020 in the form of a private limited company, whose purpose is the distribution, disclosure, offer, sale and post-sale of housing and residential insurance products developed or that may be developed by XS3 Seguros.

It is a company incorporated with a view to achieving the association agreement signed with Tokio Marine (Tokio Marine Agreement) for the exploration of Housing and Residential insurance lines in the Balcão CAIXA distribution network, as mentioned in item “d” of this Note 1 - Operational context and general information.

j.2.2) XS4 Capitalização S.A. (“XS4 Capitalização”)

Company established on August 19, 2020 in the form of a private limited company, whose purpose is the distribution, disclosure, offer, sale and post-sale of capitalization products of any modality developed or that may be developed by XS4 Capitalização.

It is a company incorporated with a view to achieving the association agreement signed with Icatu (Icatu Agreement) for the exploration of the capitalization branch in the CAIXA Counter distribution network, as mentioned in item “g” of this Note 1 - Operational context and general information.

j.2.3) Too Seguros S.A. (“Too Seguros”)

Currently called PAN Seguros S.A., it is a privately held company and it is a joint venture controlled by Caixa Seguridade and BTG Pactual Holding de Seguros Ltda. (“BTG Holding”), with a 48.99% and 51.01% interest, respectively. It aims to operate in the segments of personal insurance (legal and physical), credit life, housing, personal injury (DPVAT) and damage insurance.

j.2.4) Panamericano Administração e Corretagem de Seguros e de Previdência Privada Ltda. (“PAN Corretora”)

Privately held Company and a joint venture controlled by BTG Holding and Caixa Seguridade, with a 51.00% and 49.00% interest, respectively. The purpose of this company is to manage, guide and brokerage insurance for elementary insurance, life insurance and pension plans.

j.3) Holding XS1 S.A. (“Holding XS1”)

Company incorporated on August 17, 2020, in the form of a private limited company, has the purpose of holding interests in insurance companies and open supplementary pension entities, authorized to operate by the Superintendence of Private Insurance - SUSEP.

It is a company created with a view to achieving the association agreement signed with CNP (CNP Agreement) for the exclusive exploitation of the life and credit life insurance lines and pension products in the CAIXA counter distribution network, as mentioned in item “c” of this Note 1 - Operational context and general information.

j.4) XS5 Administradora de Consórcios S.A. (“XS5 Consórcios”)

Company incorporated on December 3, 2020, in the form of a private limited company has as its corporate purpose the management of a group of consortia in accordance with the legislation in force.

It is a company set up with a view to achieving the association agreement signed with CNP (CNP-Consórcios Agreement) for exploration, for a period of 20 years, the consortium branch in the CAIXA Counter distribution network, as mentioned in item “e” of this Note 1 - Operational context and general information.

j.5) XS6 Assistência S.A. (“XS6 Assistência”)

Formerly called XS6 Participações SA (“XS6 Participações”), it is a company incorporated on October 23, 2020, in the form of a private limited company, with the corporate purpose of (i) distribution, disclosure, offer, sale and after-sales of assistance services, including for insurance companies, capitalization companies, consortium administrators, specialized health insurance companies and health assistance plan operators, (ii) the provision of assistance service intermediation services, (iii) technical advice in general, and (iv) equity interest in other companies.

It is a company set up with a view to achieving the association agreement signed with Tempo (Tempo Agreement) for exploitation, for a period of 20 years, of the branch of assistance services in the Balcão CAIXA distribution network, as mentioned in item “d” of this Note 1 - Operational context and general information.

i.6) Caixa Seguridade Corretagem e Administração de Seguros S.A. (“CAIXA Corretora”)

Company incorporated on August 17, 2020, in the form of a private limited company, wholly-owned subsidiary of CAIXA Seguridade, whose corporate purpose is: participation in other companies, national or foreign; insurance advisory and consultancy; insurance brokerage and administration, in all modalities permitted by current legislation, open supplementary pension plans, capitalization bonds and other brokerage resulting from insurance sold over the counter or over the counter at CAIXA.

i) Composition of investments in direct and indirect equity interests of Caixa Seguridade:

Company	Description	% of the Company's interest	
		06/30/2021	
		Direct	Indirect
CAIXA Holding Seguritária:	CAIXA Holding Seguritária is engaged in the acquisition of equity interests in entities authorized to operate by the National Superintendence of Private Insurance (SUSEP).	100.00	-
Too Seguros S.A.	It is a closed corporation, governed by the Shareholder Agreement entered into between Caixa Holding Seguritária and BTG Pactual Holding de Seguros Ltda, whose corporate purpose is: (a) damage and personal insurance operations; and (b) participation as a shareholder or partner in other companies or ventures, except in an insurance broker.	-	48.99
PAN Corretora	Governed by the Social Contract entered into between Caixa Holding Seguritária S.A. and Banco BTG Pactual S.A. Its purpose is to manage, guide and broker: a) insurance in the elementary branches, b) life insurance and c) pension plans.	-	49.00
XS3 Seguros S.A.	Privately-held corporation, governed by the Shareholder Agreement entered into between Caixa Holding Seguritária S.A. and Tokio Marine Seguradora S.A. whose corporate purpose is the distribution, disclosure, offer, sale and after sales of housing and residential insurance developed or that may be developed by the Company.		75.00
XS4 Capitalização S.A.	Privately-held corporation, governed by the Shareholder Agreement entered into between Caixa Holding Seguritária S.A. and Icatu Seguridade S.A. whose corporate purpose is the distribution, disclosure, offer, sale and post-sale of capitalization products of any type, developed or that may be developed by the Company.		75.00
CNP Seguros Holding Brasil S.A.	CNP Seguros Holding Brasil, governed by the Shareholder Agreement entered into between Caixa Seguridade S.A., CNP Assurances S.A. and CNP Assurances Brasil Holding Ltda., whose corporate purpose is to participate, as a shareholder or partner, in business companies, which explore: i) insurance activity in all branches, including health and dental; ii) capitalization segment; iii) open private pension plans, in the form of annuity and income; iv) consortium management; v) activities, related or complementary to the activities described above.	48.25	-
CNP Participações Seguritária Brasil Ltda.:	Integral subsidiary of CNP Seguros Holding Brasil whose corporate purpose is to hold interests in other companies operating in the segment regulated by the Superintendence of Private Insurance - SUSEP.	-	48.25
Caixa Seguradora S.A.	Full subsidiary of CNP Participações Seguritária Brasil Ltda. Its corporate purpose is to operate elementary and life insurance.	-	48.25
Caixa Capitalização S.A.	Controlled by CNP Participações Seguritária Brasil Ltda., Holder of 51% of its shares, its objective is to operate in the capitalization area, being able to institute and commercialize capitalization plans, as well as the other products and services admitted to capitalization companies, and may also, participate in the capital of other companies, subject to the relevant legal provisions.	-	24.61
Youse Seguradora S.A.	Full subsidiary of CNP Participações Seguritária Brasil Ltda. has as its object the operation of damage and personnel insurance operations, in any of its modalities or forms, throughout the national territory, and may also participate in the share capital of other companies, subject to the relevant legal provisions.	-	48.25
PREVISUL Companhia de Seguros Previdência do Sul	Full subsidiary of CNP Participações Seguritária Brasil Ltda. The corporate purpose of exploring and operating personnel and damage insurance, throughout the national territory, being able to participate in other companies as a partner or shareholder, provided that in accordance with its corporate purpose and with the applicable legal limits;	-	48.25
Caixa Consórcios S.A. Credit Letter Administrator	A wholly owned subsidiary of CNP Seguros Holding Brasil Ltda whose corporate purpose is to manage consortium groups, provide services to third parties through the sale and placement of quotas of other credit letter administrators, the management of groups of other credit letter administrators and the realization of	-	48.25

Company	Description	% of the Company's interest	
		06/30/2021	
		Direct	Indirect
Caixa Seguros Assessoria e Consultoria Ltda.	registration, research and consultancy services to other credit letter administrators. Integral subsidiary of CNP Seguros Holding Brasil whose corporate purpose is in the field of consultancy and advisory.	-	48.25
Caixa Seguradora Especializada em Saúde S.A.	A wholly-owned subsidiary of CNP Seguros Holding Brasil whose purpose is the exploration and sale, throughout the national territory, of health, medical and dental insurance, in all modalities provided for by the relevant legislation, including the provision of management, planning, organization and operation of private health insurance, and may also participate in the share capital of other civil or commercial companies related to its corporate purpose.	-	48.25
Wiz Soluções e Corretagem de Seguros S.A.	Publicly-held corporation whose corporate purpose is insurance brokerage and insurance advisory and consultancy.	-	12.06
Caixa Seguros Participações em Saúde Ltda.:	A wholly owned subsidiary of Caixa Seguros Holding Brasil whose corporate purpose is to participate in other national or foreign companies, including health insurance companies.	-	48.25
Odonto Empresas Convênios Dentários Ltda.	Wholly subsidiary of Caixa Seguros Participações em Saúde Ltda. Its corporate purpose is to operate supplementary health care plans in the exclusive dentistry segment and to participate in companies whose activity is related to the Company's corporate purpose.	-	48.25
Holding XS1 S.A.	Privately-held corporation, governed by the Shareholder Agreement entered into between Caixa Seguridade, CNP Assurances Participações Ltda., CNP Assurances Brasil Holding Ltda. and CNP Assurances S.A., whose purpose is to participate in insurance companies and open supplementary pension entities, authorized to operate by the Superintendency of Private Insurance - SUSEP.	60.00	-
XS2 Vida e Previdência S.A.	Private limited company, wholly-owned subsidiary of Holding XS1 whose purpose is to operate in personal insurance and open private pension plans, being able to accept risks in retrocession and hold interests in other companies.	-	60.00
Caixa Vida e Previdência S.A.	Privately-held corporation, wholly owned subsidiary of Holding XS1. Its purpose is to operate in the life insurance and private pension plans, in the form of savings and income, as defined in the current legislation, and may participate in other companies.	-	60.00
XS5 Administradora de Consórcios S.A	Privately-held corporation, governed by the Shareholder Agreement entered into between Caixa Seguridade and CNP Assurances Participações Ltda. whose corporate purpose is the management of groups of consortia in accordance with the legislation in force.	75.00	-
XS6 Assistência S.A.	Privately-held corporation, governed by the Shareholders' Agreement between Caixa Seguridade and USS Soluções Gerenciadas S.A., whose corporate purpose is: distribution, disclosure, offer, sale, sale and post-sale through physical, remote distribution channels or virtual, of Caixa Econômica Federal (and/or of companies controlled by Caixa Econômica Federal, directly or indirectly, that operate with banking, financial and/or related activities) of assistance service products, characterized as an activity rendered in relation to people, automobiles or homes through the network of accredited service providers, on an emergency or non-emergency basis, linked or not linked to an insurance, supplementary pension, capitalization or consortium products, with no financial consideration for the client, developed or that may be developed by the Company.	75.00	-
1.6)Caixa Seguridade Corretagem e Administração de Seguros S.A.	A wholly-owned subsidiary of Caixa Seguridade whose corporate purpose is: (i) participation in other companies, national or foreign; (ii) advisory and consultancy in the insurance industry; (iii) insurance brokerage and administration in all modalities permitted by the current legislation, open supplementary pension plans, capitalization bonds and other insurance brokerage products sold at CAIXA or extra CAIXA counters.	100.00	-

Note 2 - Corporate restructuring - Acquisitions, spin-offs, incorporations and disposals of equity investments

a) XS3 Seguros

a.1) Capital increase with the entry of a new partner - Tokio Marine Agreement

On January 4, 2021, the Extraordinary General Meeting of XS3 Seguros, represented by its sole shareholder CAIXA Holding, approved the increase of its capital stock from the current R\$ 50,000 (fifty million reais) to R\$ 66,670 (sixty-six million, six hundred seventy thousand reais), representing an increase of R\$ 16,670 (sixteen million, six hundred and seventy thousand reais) with the issue of 3,334 (three thousand, three hundred and thirty-four) new nominative common shares with no par value, at the total price issue of R\$ 455,908.81 (four hundred and fifty-five thousand, nine hundred and eight reais and eighty-one cents and a fraction) per share, of which R\$ 5,000.00 (five thousand reais), per share, equivalent to R\$ 16,670 (sixteen million, six hundred and seventy thousand reais), allocated to the share capital, and R\$ 450,908.81 (four hundred and fifty thousand, nine hundred and eight reais and eighty-one cents and a fraction), per share, corresponding to R\$ 1,503,330 (one billion, five hundred and three million, three hundred and three thousand reais), destined to the capital reserve of XS3 Seguros, with its capital stock of R\$ 66,670 (sixty-six million, six hundred and seventy thousand reais) to be divided into 13,334 (thirteen thousand, three hundred and thirty-four) shares, 8,334 (eight thousand, three hundred and thirty-four) common shares and 5,000 (five thousand) preferred shares, all nominative and without par value.

The shares issued by XS3 Seguros were fully subscribed and paid up by the new shareholder, Tokio Marine, resulting in a gain recorded at CAIXA Holding in equity valuation adjustment (other comprehensive income), in the amount of R\$ 1,127,439 (one billion, one hundred and twenty and seven million, four hundred and thirty-nine thousand reais - see Note 16 (d)). CAIXA Holding hereby expressly waived the preemptive right to which it was entitled to subscribe for the issued shares, with no cash flow in the company in connection with this transaction.

a.2) Capital increase

On March 26, 2021, the Extraordinary General Meeting of XS3 Seguros, represented by its shareholders CAIXA Holding, a subsidiary of CAIXA Seguridade, and Tokio Marine, approved the increase of its capital stock in an amount equivalent to R\$90,000 (ninety million reais), upon issue of 100 (one hundred) new common shares and 100 (one hundred) new preferred shares, nominative and without nominal value, for the total issue price of R\$450,000.00 (four hundred and fifty thousand reais) per share.

The shares issued by XS3 Seguros were subscribed and paid in by the shareholders Tokio Marine and CAIXA Holding, as follows: the amount of R\$22,500 (twenty-two million and five hundred thousand reais), corresponds to the issuance of 50 (fifty) new registered common shares and without par value, subscribed by Tokio Marine and the amount of R\$67,500 (sixty-seven million, five hundred thousand reais), corresponding to the issuance of 50 (fifty) new common shares and 100 (one hundred) new preferred shares, all nominative and without nominal value, subscribed by CAIXA Holding.

b) XS6 Assistência

b.1) Capital increase with the entry of a new partner - Tempo Agreement

On January 4, 2021, the Extraordinary General Meeting of XS6 Assistência, represented by its sole shareholder CAIXA Seguridade, approved the increase of its capital stock from the current R\$ 35,000 (thirty-five million reais) to R\$ 76,666 (seventy-six million), six hundred and sixty-six thousand, six hundred and sixty-six reais and sixty-seven cents), representing an increase of R\$ 41,666 (forty-one million, six hundred and sixty-six thousand, six hundred and sixty-six reais and sixty-seven cents) with the issue of 33,335 (thirty-three thousand, three hundred and thirty-five) new common shares, nominative and without par value, at the total issue price of R\$ 1,249.94 (one thousand, two hundred and forty-nine reais and forty-nine cents) per share.

Thus, the share capital of XS6 Assistência, originally represented by 50,000 (fifty thousand) common shares and 50,000 preferred shares, is now represented by 83,335 (eighty-three

thousand three hundred and thirty-five) common shares and 50,000 (fifty thousand) preferred shares, all nominative and without par value.

The new shares issued by XS6 Assistência were fully subscribed and paid up by USS Soluções Gerenciadas SA (“USS Soluções”), resulting in a gain recorded in CAIXA Seguridade in equity valuation adjustment (other comprehensive results) in the amount of R\$ 22,499 (twenty-two million, four hundred and ninety-nine thousand reais - life Note 16 (d)). CAIXA Seguridade hereby expressly waived its preemptive right to subscribe for the issued shares, with no cash flow in the company in connection with this transaction.

b.2) Reduction of excess capital

On January 6, 2021, the Extraordinary General Meeting of XS6 Assistance represented by its shareholders CAIXA Seguridade and USS Soluções, approved the reduction of its share capital in an amount equivalent to R\$ 41,666 (forty-one million, six hundred and sixty-six thousand, six hundred and sixty-six reais and sixty-seven cents), without cancellation of shares, the proportion of each shareholder's participation in the share capital being unchanged, as it is considered excessive in relation to its corporate purpose, which must be carried out by restitution of capital to its shareholders.

c) XS5 Consórcios

c.1) Capital increase with the entry of a new partner - CNP Agreement - Consortia

On March 30, 2021, the Extraordinary General Meeting of XS5 Consórcios, represented by its sole shareholder CAIXA Seguridade, approved the increase of its capital stock from the current R\$ 1,400 (one million, four hundred thousand reais) to R\$ 1,866 (one million, eight hundred sixty-six thousand, seven hundred and thirty-six reais and thirty-six cents), representing an increase of R\$ 466 (four hundred and sixty-six thousand, seven hundred and thirty-six reais and thirty-six cents) with the issue of 666,766 (six hundred and sixty-six thousand, seven hundred and sixty-six) new common shares, nominative and without par value, at the total issue price of R\$ 375.64 (three hundred and seventy-five reais and sixty-four cents) per share, of which R\$ 0.70 (seventy cents), per share, equivalent to R\$ 466 (four hundred and sixty-six thousand, seven hundred and thirty-six reais and thirty-six cents), destined to the share capital, and R\$ 374.94 (three hundred and seventy-four reais and ninety-four cent per share), corresponding to R\$ 250,000 (two hundred and fifty million reais), allocated to the capital reserve of XS5 Consórcios.

Accordingly, the company's share capital of R\$ 1,866 (one million, eight hundred and sixty-six thousand, seven hundred and thirty-six reais and thirty-six cents) is now divided into 2,666,766 (two million, six hundred and sixty and six thousand, seven hundred and sixty-six) shares, of which 1,333,383 (one million, three hundred and thirty-three thousand, three hundred and eighty-three) common shares and 1,333,383 (one million, three hundred and thirty-three thousand, three hundred and eighty-three) preferred shares, all nominative and without par value, free and clear of any burden.

The new shares issued by XS5 Consórcios were fully subscribed and paid up by CNP Assurances Participações Ltda. (“CNP Assurances”), resulting in a gain recorded in CAIXA Seguridade in equity valuation adjustment (other comprehensive income) in the amount of R\$ 187,493 (one hundred and eighty-seven million, four hundred and ninety-two thousand, nine hundred and sixty reais and thirty and three cents - see Note 16 (d)). CAIXA Seguridade hereby expressly waived its preemptive right to subscribe for the issued shares, with no cash flow in the company in connection with this transaction.

c.2) Capital increase

On March 30, 2021, the Extraordinary General Meeting of XS5 Consórcios, represented by its shareholders CAIXA Seguridade and CNP Assurances, approved the increase of its capital in an amount equivalent to R\$ 125,000 (one hundred and twenty-five million reais), by issuing 166,381 (one hundred and sixty-six thousand, three hundred and eighty-one) new common shares, nominative and without par value, for the total issue price of R\$ 375.64 (three hundred and seventy-five reais and sixty-four cents) and 166,381 (one hundred and sixty-six thousand, three hundred and eighty-one) new preferred shares, nominative and without par value, for the total issue price of R\$ 375.64 (three hundred and seventy-five reais and sixty-four cents).

The shares issued by XS5 Consórcios were subscribed and paid in by CAIXA Seguridade at a quota of 83,181 (eighty-three thousand, one hundred and eighty-one) common shares and 166,381 (one hundred and sixty-six thousand, three hundred and eighty-one) preferred shares, all nominative and without par value, totaling payment of an amount equivalent to R\$ 93,746 (ninety-three million, seven hundred and forty-six thousand and five hundred reais) and by CNP Assurances the quota of 83,200 (eighty-three thousand and two hundred) common shares, all nominative and without par value, totaling payment of an amount equivalent to R\$ 31,253 (thirty-one million, two hundred and fifty-three thousand and five hundred reais).

d) XS4 Capitalização

d.1) Capital increase with the entry of a new partner - Icatu Agreement

On March 30, 2021, the Extraordinary General Meeting of XS4 Capitalização, represented by its sole shareholder CAIXA Holding, approved the increase of its capital stock from the current R\$ 56,000 (fifty-six million reais) to R\$ 74,670 (seventy-six million, six hundred and seventy thousand and four hundred reais), representing an increase of R\$ 18,670 (eighteen million, six hundred and seventy thousand and four hundred reais) through the issue of 3,334 (three thousand, three hundred and thirty-four) new common shares, shares with no par value, at the total issue price of R\$ 59,589.20 (fifty-nine thousand, five hundred and eighty-nine reais and twenty cents) per share, of which R\$ 5,600.00 (five thousand and six hundred reais), per share, equivalent to R\$ 18,670 (eighteen million, six hundred and seventy thousand and four hundred reais), allocated to the share capital, and R\$ 53,989.20 (fifty-three thousand, nine hundred and eighty-nine reais and twenty cents), per share, corresponding to R\$ 180,000 (one hundred and eighty million reais), allocated to the capital reserve of XS4 Capitalização.

Thus, the company's capital of R\$ 74,670 (seventy-four million, six hundred and seventy thousand and four hundred reais), is now divided into 13,334 (thirteen thousand, three hundred and thirty-four) shares, of which 8,334 (eight thousand, three hundred and thirty-four) common shares and 5,000 (five thousand) preferred shares, all nominative and without par value.

The new shares issued by XS4 Capitalização were fully subscribed and paid up by Icatu Seguridade S.A. ("Icatu Seguridade"), resulting in a gain recorded in CAIXA Holding in equity valuation adjustment (other comprehensive income) in the amount of R\$ 134,990 (one hundred and thirty-two million, nine hundred and ninety thousand, four hundred and eighteen reais and seventy-two cents - life Note 16 (d)). CAIXA Holding hereby expressly waived the preemptive right to which it was entitled to subscribe for the issued shares, with no cash flow in the company in connection with this transaction.

Note 3 - Presentation of the individual and consolidated financial statements.

The individual and consolidated financial statements were prepared in accordance with accounting practices commonly adopted in Brazil, including pronouncements issued by the Accounting Pronouncements Committee (CPC) and international financial reporting standards (International Financial Reporting Standards - IFRS), issued by International Accounting Standards Board (IASB).

The presentation of the Statement of Added Value (SVA), individual and consolidated, is required by Brazilian corporate law and the accounting practices adopted in Brazil applicable to publicly-held companies. The SVA was prepared in accordance with the criteria defined in Technical Pronouncement CPC 09 - "Statement of Added Value". IFRS does not require the presentation of this statement. As a consequence, under IFRS, this statement is presented as supplementary information, without prejudice to the set of financial statements.

As of the 1st quarter of 2021, these consolidated financial statements present operating expenses related to the activities carried out by CAIXA Corretora, a subsidiary of CAIXA Seguridade, originally classified as other operating expenses, in an amount equivalent to R\$1,782. As of the 2nd quarter, however, considering their relevance in the context of the broker's operations, the Group started to record such expenses as costs of services provided,

as per Note 18 – Cost of services provided (R\$21,658 in the 2nd quarter of 2021 / R\$23,440 in the 1st semester of 2021).

These individual and consolidated financial statements were approved and authorized for issue by the Board of Directors of CAIXA Seguridade on August 10, 2021.

Note 4 - Main Accounting Practices

The main accounting policies applied in the preparation of the financial statements are defined below. These policies were applied consistently in the years presented, unless otherwise specified.

a) Functional and presentation currency

The items included in the individual and consolidated financial statements are measured using the currency of the main economic environment in which the company operates (the functional currency).

The individual and consolidated financial statements are presented in reais (R\$), which is Caixa Seguridade's functional and presentation currency.

b) Recognition of income and expenses

Revenue from access to the distribution network and the use of the CAIXA brand comprises the fair value of the consideration received or receivable, as remuneration for access to the sale and distribution of insurance products, supplementary pension plans, capitalization plans and quotas of consortium Conglomerate of made available in the CAIXA distribution network by partner institutions, parts of contracts or operational agreements previously entered into with the Caixa Seguridade Conglomerate.

Income from services rendered comprises the fair value of the consideration received or receivable by CAIXA Corretagem, the Group's own Broker, as a result of the provision of brokerage or intermediation services on the insurance products distributed in the CAIXA Counter Distribution Network.

The Conglomerate recognizes these revenues when their value can be reliably measured, it is likely that future economic benefits will flow and when specific criteria have been met for each of the Conglomerate's activities.

Income and expenses are recognized by the jurisdictional regime and reported in the financial statements of the years to which they refer.

The result of investments in equity interests is earned using the equity method (EM) and recognized by the value of Caixa Seguridade's equity interest in the results obtained by investees.

c) Cash and cash equivalents

Cash and cash equivalents include cash and cash equivalents and investments immediately convertible into cash and subject to low risk of changes in value, with liquidity originally less than 90 days.

The composition, terms and income earned on investments recorded in cash and cash equivalents are presented in Note 9 - Cash and cash equivalents.

d) Financial instruments at fair value

Financial instruments are classified according to the business model for the management of financial assets, as well as according to the characteristics of the contractual cash flows negotiated for the financial asset.

Financial instruments are initially measured at fair value plus transaction costs, directly attributable to their acquisition, except in the case of financial assets recorded at fair value through profit or loss.

Financial assets can be classified into one of the categories: (i) financial instrument measured at fair value through profit or loss; (ii) financial instrument measured at amortized cost and; (iii) financial instrument measured at fair value through other comprehensive income.

The financial instruments held by Caixa Seguridade and its subsidiaries, refer to investments in quotas of investment funds managed by CAIXA and measured at fair value through profit or loss.

e) Amounts receivable

The amounts receivable correspond to revenues, predominantly from related parties, referring to brokerage and intermediation revenues and access to the distribution network and use of the CAIXA brand in insurance, supplementary pension plans, capitalization plans and quotas of groups of premium bonds. The receipt period is less than one year, and the classification is recorded in current assets.

f) Acquisition of investments in equity interests

The acquisition of investments in equity interests, the relationship of which results in the exercise of, at least, significant influence, is recorded using the acquisition method. In accordance with this method, the identified assets (including intangible assets not previously recognized), assumed liabilities and contingent liabilities are recognized at fair value. Any positive differences between the acquisition cost and the fair value of the identifiable net assets acquired are recognized as goodwill. In the case of a negative difference (gain from a bargain purchase), the amount identified is recognized in the income for the year in other operating income.

Transaction costs that the Conglomerate incurs in an acquisition of equity investment, except for costs related to the issuance of debt or equity instruments, are recorded in the income for the year when incurred. Any contingent consideration payable is measured at fair value.

The results of invested acquired during the accounting period are included in the financial statements from the date of acquisition until the end of the year. In turn, the results of investees sold during the year are included in the financial statements from the beginning of the year until the date of sale, or until the date on which the Company ceased to exercise significant influence or control.

g) Investments in equity interests

Investments are accounted for using the equity method and are initially recognized by its cost value. The investment includes goodwill, as well as intangible assets identified in the acquisition, if any, net of any accumulated impairment losses.

The Conglomerate's share of profits or losses in associates and joint ventures is recognized in the income statement and the participation in changes in reserves is recognized in the Conglomerate's reserves. When the Conglomerate's share in the losses of an associate or jointly controlled ventures is equal to or greater than the carrying amount of the investment, including any other receivables, the Conglomerate does not recognize additional losses, unless it has incurred obligations or made payments on behalf of the associated company or jointly controlled enterprises.

Unrealized gains on operations between the Conglomerate and its affiliates or jointly controlled ventures are eliminated in proportion to the interest. Unrealized losses are also eliminated, unless the transaction provides evidence of a loss (impairment) of the transferred asset.

If the equity interest in the associate is reduced, but significant influence is retained, only a proportionate part of the amounts previously recognized in other comprehensive income will be reclassified to the result, when appropriate.

Dilution gains and losses, which occur in investments in associates, are recognized in the income statement.

h) Impairment of non-financial assets

Assets that have an indefinite useful life, such as goodwill, are not subject to amortization and are tested annually to identify any need for impairment. Goodwill impairment reviews are carried out annually or more frequently if events or changes in circumstances indicate possible impairment.

Assets that are subject to amortization are reviewed for impairment whenever events or changes in circumstances indicate that the carrying amount may not be recoverable. An impairment loss is recognized when the asset's carrying amount exceeds its recoverable amount, which represents the higher of an asset's fair value less its disposal costs and its value in use.

For the purpose of assessing impairment, assets are grouped at the lowest levels for which there are separately identifiable cash flows (Cash Generating Units (CGUs)). For the purposes of this test, goodwill is allocated to Cash Generating Units or groups of Cash Generating Units that are expected to benefit from the business combination from which the goodwill originated, and are identified according to the operating segment.

Non-financial assets, except for goodwill, which have been adjusted for impairment, are subsequently reviewed for the analysis of a possible reversal of impairment on the balance sheet date. Impairment of goodwill recognized in income for the year is not reversed.

i) Current and deferred income tax and social contribution

Income tax and social contribution expenses for the period comprise current and deferred taxes. Income taxes are recognized in the income statement, except to the extent that they are related to items recognized directly in equity or comprehensive income. In this case, the tax is also recognized in equity or comprehensive income.

Current and deferred income tax and social contribution charges are calculated based on tax laws enacted, or substantially enacted, on the balance sheet date of the countries in which the entities of the Conglomerate operate and generate taxable income. Management periodically evaluates the positions taken by the Conglomerate in calculating income taxes in relation to situations in which the applicable tax regulations give rise to interpretations; and establishes provisions, when appropriate, based on estimated amounts of payment to tax authorities.

Income tax and current social contribution are shown net, by taxpayer entity, in liabilities when there are amounts to be paid, or in assets when the amounts paid in advance exceed the total due on the report date.

Deferred income tax and social contribution are recognized using the liability method on temporary differences arising from differences between the tax bases of assets and liabilities and their carrying amounts in the financial statements. However, deferred income tax and social contribution are not accounted for if they result from the initial recognition of an asset or liability in an operation that is not a business combination, which, at the time of the transaction, does not affect the accounting result, nor taxable profit (tax loss).

Deferred income tax and social contribution assets are recognized only to the extent that it is probable that future taxable income will be available and against which temporary differences can be used.

Deferred income tax assets and liabilities are shown net in the balance sheet when there is a legal right and the intention to offset them when calculating current taxes, generally related to the same legal entity and the same tax authority. Accordingly, deferred tax assets and liabilities in different entities or in different countries, in general, are presented separately, and not by net.

j) Dividends distributed and interest on capital

Dividends distributed are calculated on the adjusted net income for the exercise.

The Conglomerate may at any time draw up new accounting statements in compliance with any legal requirement or due to corporate interests, including for the resolution of interim dividends.

Brazilian companies can allocate a nominal interest expense, deductible for tax purposes, on their capital. This amount of interest on capital is considered as a dividend.

Dividends distributed and interest on equity are recognized as a liability at the end of the year, with the amount exceeding the mandatory minimum only provisioned on the approval date and deducted from shareholders' equity.

k) Presentation of information by segment

The information by segments was established considering the Management's perspective on the management of the CAIXA Seguridade Group's business activities and presents information that express the nature and equity and financial effects of these business activities, as well as the environments in which the Company operates.

After the conclusion of the partnerships, the business activities of the CAIXA Seguridade Group began to be subdivided into 3 (three) segments, namely: Run-off / Open sea (insurance businesses operated by the former partner or operated outside the CAIXA Branch), Insurance (investment in insurance businesses established as a result of the competitive process of choosing strategic partners to operate the CAIXA branch) and Distribution (businesses related to the management of access to the distribution network and use of the CAIXA brand and the brokerage and intermediation of insurance products).

Note 5 - Recently issued pronouncements and laws

The following new standards were issued by the IASB and adopted in Brazil by the Accounting Pronouncements Committee (CPC) and came into force recently.

- I. IFRS 17 (CPC 50) - Insurance Contracts - In May 2017, the IASB issued a new standard aimed at the insurance market in order to standardize the accounting of insurance contracts worldwide. Mainly considering its difficulty in adoption, the IASB definitively reissued this standard in June 2020, with IFRS 17 replacing IFRS 4, which was introduced as an intermediate standard in 2004. IFRS 4 provided the waiver for companies to continue accounting for insurance contracts using national accounting standards, resulting in different approaches. The new standard requires that all insurance contracts be consistently accounted for, benefiting both investors and insurance companies. CPC 50 becomes effective on January 1, 2023, with early application allowed. Impact studies are in progress on the Company's investees that have insurance operations, and the possible impacts arising from its adoption on the companies of the Conglomerate will be evaluated and concluded by the date of entry into force of the standard.
- II. IFRS 9 (CPC 48) - "Financial instruments" - CAIXA Seguridade has indirect interests in insurance companies, to which IFRS 9 does not apply. When there is a divergence in accounting practice in equity investments, it is necessary to adjust accounting practices in order to standardize them. However, the International Accounting Standards Board (IASB) decided to extend the exemption from the application of this standard to insurance companies that have a preponderance of insurance liabilities to January 1, 2023, in order to allow simultaneous implementation with IFRS 17.

Note 6 - Main accounting judgments and estimates

Accounting estimates and judgments are continually evaluated and are based on historical experience and other factors, including expectations of future events, considered reasonable under the circumstances.

Based on assumptions, the Conglomerate estimates regarding the future. By definition, the resulting accounting estimate will rarely be equal to the respective actual results. The estimate and assumption that presents a significant risk, with the probability of causing a relevant adjustment in the book values of assets and liabilities for the next fiscal year, are contemplated below:

a) Definition of the nature of the relationship with investees

- I. Holding XS1: As stated in the Shareholders' Agreement, signed on December 17, 2020, CAIXA Seguridade is guaranteed participation in the decisions on relevant matters in the operational, financial and strategic aspects of Holding XS1 S.A. characterizing the existence of significant influence over the associate.
- II. CNP Brasil: As stated in the Shareholders and Other Covenants Agreement, signed on December 29th, 2011, Caixa Seguridade (successor to CAIXAPAR) is guaranteed participation in decisions on relevant matters in the operational, financial and strategic aspects of CNP Seguros Holding Brasil S.A. existence of significant influence over the associate.
- III. XS5 Consórcios: As stated in the Shareholders' Agreement, signed on March 30, 2021, considering the composition of the Board of Directors, including the perspective of alternating its presidency and vice-presidency among the Company's shareholders, as well as considering the composition of its Executive Board and the respective deliberative powers in terms of collegiate bodies, the joint control of this company with the partner CNP Assurances is characterized.
- IV. XS6 Assistência: As stated in the Shareholders' Agreement, signed on January 4, 2021, considering the composition of the Board of Directors, including the perspective of alternating its presidency and its vice-presidency among the company's shareholders, as well as considering the composition of its Executive Board, comprising 2 (two) directors appointed by the parent company CAIXA and 2 (two) appointed by USS Soluções in addition to the respective deliberative powers in terms of collegiate bodies, the joint control of this company with the partner USS Soluções is characterized.
- V. Too Seguros: As stated in the Shareholders and Other Covenants Agreement, signed on August 21st, 2014 between BTG Pactual Holding de Seguros Ltda. and Caixa Participações S.A. ("CAIXAPAR"), to which Caixa Holding Securitária SA ("CAIXA Holding") joined at the time of the merger of this investment by CAIXAPAR into CAIXA Seguridade, these entities declare, for all legal purposes, that they are members of the control group of Too Seguros. Thus, the joint control of Too Seguros is characterized.
- VI. PAN Corretora: As stated in the Partners and Other Covenants Agreement, signed on August 21th, 2014 between Banco BTG Pactual SA and CAIXAPAR, to which CAIXA Holding Securitária S.A. joined when the CAIXAPAR investment was incorporated by CAIXA Seguridade, these entities declare, for all legal effects, which are part of the PAN Corretora control group. Thus, the joint control of PAN Corretora is characterized.
- VII. XS3 Seguros: As stated in the Shareholders' Agreement, signed on January 4, 2021, considering the composition of the Board of Directors, including the perspective of alternating its presidency and its vice-presidency among the company's shareholders, as well as considering the composition of its Executive Board and the respective deliberative powers in terms of collegiate bodies, the joint control of this company with the partner Tokio Marine is characterized.
- VIII. XS4 Capitalização: As stated in the Shareholders' Agreement, signed on March 30, 2021, considering the composition of the Board of Directors, including the perspective of alternating its presidency and vice-presidency among the company's shareholders, as well as considering the composition of its Executive Board and the respective deliberative powers in terms of collegiate bodies, the joint control of this company with its partner Icatu is characterized.

The board below shows the summary of the nature of the relationship with the investees:

Companies	% interest in capital	Nature of the Relationship	Evaluation Method
	06/30/2021		
Caixa Corretora	100.00	Subsidiary	Consolidation
CAIXA Holding	100.00	Subsidiary	Consolidation
Holding XS1	60.00	Affiliate	MEP
CNP Brasil	48.25	Affiliate	MEP
XS5 Consórcios	75.00	Joint control	MEP
XS6 Assistência	75.00	Joint control	MEP
Too Seguros	48.99	Joint control	MEP
PAN Corretora	49.00	Joint control	MEP
XS3 Seguros	75.00	Joint control	MEP
XS4 Capitalização	75.00	Joint control	MEP

b) Impairment of non-financial assets

An annual assessment is made, based on internal and external sources of information, if there is any indication that a non-financial asset may be impaired. If there is such an indication, estimates are used to define the recoverable value (impairment) of the asset.

Annually, it is assessed whether there is any indication that an impairment loss recognized in previous periods for an asset, except goodwill for expected future profitability, may no longer exist or may have decreased. If such indication exists, the recoverable amount of that asset is estimated.

Regardless of any indication of impairment, the impairment test of an intangible asset with an indefinite useful life is performed annually, including goodwill acquired in a business combination or an intangible asset not yet available for use.

The determination of recoverable value in the assessment of impairment of non-financial assets requires estimates based on prices quoted in the market, calculations of present value or other pricing techniques, or a combination of several techniques, requiring Management to make subjective judgments and adopt the premises.

Note 7 - Risk Management

CAIXA Seguridade understands that risk management is fundamental for strategic and financial planning. Thus, it has developed its risk management strategy to provide an integrated view of the risks to which it is exposed.

The area of risk management, Compliance and internal controls, which is segregated from the other units of the Company, including internal audit, whose attributions are established in art. 52 of the Statute, adopts instruments and structure for risk identification, assessment, mitigation, monitoring and reporting. Information on risk management, internal controls and compliance is periodically generated and provided to other CAIXA Seguridade managers, deliberative and supervisory bodies, the regulator and the market.

Caixa Seguridade adopts the three lines for risk management. The first line identifies, assesses and controls risks, and consists of operating and internal controls. Managers who hold business risks are responsible for managing them and implementing corrective measures in poor processes and controls. The second line comprises the area of risk management, compliance and internal controls, being responsible for monitoring and contributing to the implementation of effective risk management practices. The third line is exercised by internal

audit, which is responsible for providing governance bodies with objective and independent assessments of the effectiveness of internal controls, risk management and governance.

The Company carries out actions to disseminate and maintain the culture of risk, information security, internal controls, compliance and integrity, promoting employees' commitment to the proper management of risks within its scope.

CAIXA Seguridade has a Risk Management Policy and Risk Appetite Statement (RAS) approved by the Board of Directors and revised annually, in order to maintain exposure to risks at levels considered acceptable by its management and ensure the business model, performance future, solvency, liquidity and sustainability of the Company.

The risks to which the Company is subject are classified into four groups:

- Strategic Risks: it is composed of contagion, strategy, social environmental and reputation or image risks;
- Financial Risks: consists of capital, credit, liquidity and market risks;
- Operational Risks: comprises operational risk and cyber risk;
- Regulatory Risks: Consists of compliance and legal risks.

The guidelines, best practices and mitigators adopted in risk management by CAIXA Seguridade are set out in the Risk Management Policy and Compliance and Integrity Program available on the Company's website.

a) Market Risk

The market risk is the result of movements in market price levels or volatilities and the exposure to this risk comes from the financial assets portfolio maintained by the Company.

Market risk management in the first line occurs through the execution of the Financial Investment Policy approved by the Board of Directors, which defines the assets and composition limits of the investment portfolio, and through the systematic monitoring of the value at risk of the portfolio (VaR - Value at Risk).

The VaR model adopted considers the delta-normal parametric approach, based on an analytical model of covariance matrix, with a maintenance period of 21 working days and a 95% confidence level.

Market Risk	Parent company			
	06/30/2021	%	06/30/2020	%
Certificates of bank deposit (CDBs) (Note 8)	-	-	746,446	85.44%
Investment fund quotas - short term (Note 9)	251,448	100.00%	127,175	14.56%
Financial investment portfolio	251,448	100.00%	873,621	100.00%
Market risk exposure value	251,448	100.00%	122,140	13.98%
Value at Risk (VaR)	857.3	0.34%	979	0.11%

Market Risk	Consolidated			
	06/30/2021	%	06/30/2020	%
Certificates of bank deposit (CDBs)	-	-	871,197	84.21%
Investment fund quotas - short term (Note 10)	427,652	100.00%	163,299	15.79%
Total financial investments	427,652	100.00%	1,034,496	100.00%
Market risk exposure value	427,652	100.00%	156,162	15.10%
Value at Risk (VaR)	458.1	0.34%	1,222	0.12%

b) Sensitivity Analysis

As of June 30, 2021, the financial investment portfolios of CAIXA Seguridade - Parent Company and Consolidated, were comprised of short-term investment fund quotas. Even with the increase in exposure to market risk, classified exclusively in the interest rate risk factor, the

Value at Risk of the portfolio remained low, a result explained by the short term of the operations allocated in the fund's portfolio. As they generate low market risk, the exposure associated with the financial assets applied does not threaten the business model, future performance, solvency, liquidity or sustainability of the Company.

c) Risk Management Actions resulting from the COVID-19 Crisis

The Company has a Crisis Management and Business Continuity Program in place, in addition to mapped, critical activities, annual basis tested and with contingency plans validated by the 2nd line, such actions being maintained during the coronavirus pandemic.

No complications were identified that prevented or compromised the Company's operation and the performance of critical and sensitive activities in the period of crisis, so far.

In monitoring its subsidiaries, CAIXA Seguridade identified the maintenance of the continuity of its activities, as well as the sufficiency of capital.

The actions taken and second-line monitoring were reported to the Company's statutory bodies – Executive Board, Audit Committee, Board of Directors and Supervisory Board.

d) Risks related to subsidiaries

In the following topics, we present information related to the risk management of CNP Brasil, Holding XS1, Too Seguros and XS3 Seguros, direct and indirect subsidiaries of CAIXA Seguridade that have their own risk management structure.

We highlight below the management policy and the main risks to which the affiliated companies are exposed, given the relationship they have with Caixa Seguridade's equity income. The information provided below is provided in the Financial Statements of the companies that compose the CAIXA Seguridade Conglomerate.

These Interim Financial Statements as of June 30, 2021 should be read in conjunction with the Complete Financial Statements as of December 31, 2020, especially with regard to information related to the risk management of subsidiaries that did not undergo significant changes between the aforementioned periods comparatives.

d.1) CNP Brasil - Risk management

The structure of the Risk Management process of CNP Brasil remained consistent with that already disclosed in the Financial Statements of CAIXA Seguridade of December 31, 2020.

i) CNP Brasil - Sensitivity Analysis

The sensitivity analyzes considering the changes in the main assumptions on June 30, 2021 and December 31, 2020, net of tax effects, are presented in the tables below, showing the impacts of each assumption on the Income and Shareholders' Equity:

Sensitivity	CNP Brasil - Parent company				CNP Brasil - Consolidated			
	06/30/2021		12/31/2020		06/30/2021		12/31/2020	
	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:
Rate +1% (1)	0.00%	0.00%	-1.83%	-1.83%	-1.63%	-1.63%	-1.63%	-1.63%
Rate -+1% (1)	0.00%	0.00%	1.91%	1.91%	1.69%	1.69%	1.70%	1.70%
Survival + 10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Survival -10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Mortality/Accident + 5%	0.00%	0.00%	NA	NA	1.03%	0.97%	3.10%	2.27%
Mortality/Accident -5%	0.00%	0.00%	NA	NA	-1.03%	-0.97%	-3.10%	-2.27%
Inflation +1% (2)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.01%	0.01%
Inflation -1% (2)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	-0.01%	-0.01%
Convertibility +10%(3)	NA	NA	NA	NA	NA	NA	NA	NA
Convertibility - 10%(3)	NA	NA	NA	NA	NA	NA	NA	NA

Notes:

1) The sensitivity to the interest rate was calculated on financial assets, using the duration and convexity calculation model, considering the fixed interest rate curve 100 basis points up and down;

2) The impacts of the inflation variation, 100 basis points up or down, were calculated according to the post-fixed financial assets;

3) For the convertibility test, after studies, the Company qualified this immaterial risk in view of the portfolio's result.

ii) CNP - Asset Portfolio

The Company's investment portfolio has assets classified as trading (MtM).

The method used for sensitivity analysis of the Company's assets is the Stress Test, which is made for this classification. In daily stress exercises, the portfolio's VaR results are calculated using the 1 basis point shock for interest rates. This scenario includes variations in the Bovespa index; inflation curve and interest curve.

The results of the tests performed with the main risk and its variation are presented in the table below:

CNP Brasil - Parent company				06/30/2021
Description	Risk	Probable Scenario	Possible Scenario	Remote Scenario
DI - Commitments / Purchase	Increase in interest rate	(387)	(375)	(371)
Total	R\$	(387)	(375)	(371)
CNP Brasil - Consolidated				06/30/2021
Description	Risk	Probable Scenario	Possible Scenario	Remote Scenario
DI - Commitments / Purchase	Increase in interest rate	(1,813)	(1,750)	(1,734)
Total	R\$	(1,813)	(1,750)	(1,734)
CNP Brasil - Parent company				12/31/2020
Description	Risk	Probable Scenario	Possible Scenario	Remote Scenario
DI - Commitments / Purchase	Increase in interest rate	21,870	22,893	23,319
Total	R\$	21,870	22,893	23,319
CNP Brasil - Consolidated				12/31/2020
Description	Risk	Probable Scenario	Possible Scenario	Remote Scenario
DI - Commitments / Purchase	Increase in interest rate	(21,717)	(21,900)	(22,302)
Total	R\$	(21,717)	(21,900)	(22,302)

iii) **CNP Brasil - Liability adequacy test (TAP)**

As required by CPC 11, CNP Brasil carried out a liability adequacy test for all contracts that meet the definition of an insurance contract under CPC 11 and that are in effect on the date of the test execution.

For this test, CNP Brasil developed an actuarial methodology based on the present value of the current estimate of future cash flows from obligations already assumed. To determine future cash flow estimates, the contracts were grouped according to the groups of branches established in specific regulations. Current estimates of cash flows were discounted to present value based on the forward interest rate structures (ETTJ) defined by SUSEP. Actuarial calculation of current cash flow estimates considered realistic and non-biased actuarial assumptions for each variable involved, as follows:

- a) Term structure of the interest rate (ETTJ): to discount the future values of the projected flows, the indices were used, according to the list published by SUSEP;
- b) Accident Ratio: to estimate the losses resulting from products that use the mortality table in their projections, the BR-EMS 2015 tables were used; for claims arising from products that use a disability table, the Álvaro Vindas table was used; to estimate the claims resulting from products that do not use biometric tables, claims were calculated based on the observed history of each product that makes up the study;
- c) Cancellation: to estimate annual cancellations used in the model, when applicable, the historical bases of the evolution of assets observed in each group that make up the study were used;
- d) Expenses: the expense estimates were segregated into administrative expenses, tax expenses and operating expenses, considering the average of the annual historical ratio of expenses to the premium issued, disregarding the values referring to DPVAT;
- e) Reinsurance: the projections were generated considering the values of gross reinsurance flows.

As a conclusion of the performed tests, no insufficiencies were found in any of the groupings analyzed, for the periods presented, except for the Non-SFH Housing Insurance group (branches 1061/1065/1068) related to the products of the HAB_MCMV group. The insufficiency observed for the group in question was offset with the other branches of the CNP Brasil's housing segment.

The actuarial study containing the TAP was signed by the Responsible Technical Actuary and the Technical Director and is available at the CNP Brasil's headquarters for the regulatory body and other inspections.

d.2) **Holding XS1 - Risk management**

The structure of the Risk Management process of Holding XS1 remained consistent with that already disclosed in the Financial Statements of CAIXA Seguridade of December 31, 2020.

i) **Holding XS1 - Sensitivity Analysis**

The sensitivity analyzes of Holding XS1, considering the changes in the main assumptions on June 30, 2021 compared to December 31, 2020, net of tax effects, are presented in the tables below, showing the impacts of each assumption on the result and in equity:

Sensitivity	Holding XS1 - Parent company				Holding XS1 - Consolidated			
	06/30/2021		12/31/2020		06/30/2021		12/31/2020	
	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:
Rate +1% (1)	0.00%	0.00%	-1.83%	-1.83%	-1.91%	-1.91%	-2.11%	-2.11%
Rate -+1% (1)	0.00%	0.00%	1.91%	1.91%	1.98%	1.98%	2.14%	2.14%
Survival + 10%	0.00%	0.00%	0.00%	0.00%	-0.02%	-0.02%	-0.02%	-0.02%
Survival -10%	0.00%	0.00%	0.00%	0.00%	0.02%	0.02%	0.02%	0.02%
Mortality/Accident + 5%	0.00%	0.00%	0.11%	1.02%	2.45%	1.99%	0.09%	0.11%
Mortality/Accident -5%	0.00%	0.00%	-0.11%	-1.02%	-2.45%	-1.99%	-0.09%	-0.11%
Inflation +1% (2)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.01%	0.01%
Inflation -1% (2)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	-0.01%	-0.01%
Convertibility + 10%	NA	NA	NA	NA	NA	NA	NA	NA
Convertibility - 10%	NA	NA	NA	NA	NA	NA	NA	NA

Notes:

The sensitivity to the interest rate was calculated on financial assets, using the duration and convexity calculation model, considering the fixed interest rate curve 100 basis points up and down;

(1) The impacts of the inflation variation, 100 basis points up or down, were calculated according to the post-fixed financial assets.

ii) Holding XS1 - Asset Portfolio

The Company's investment portfolio has assets classified as trading (MtM).

The method used for sensitivity analysis of the Company's assets is the Stress Test, which is made for this classification. In daily stress exercises, the portfolio's VaR results are calculated using the 1 basis point shock for interest rates. This scenario includes variations in the Bovespa index; inflation curve and interest curve.

The results of the tests performed with the main risk and its variation are presented in the table below:

Holding XS1 - Parent Company				06/30/2021
Description	Risk	Probable Scenario	Possible Scenario	Remote Scenario
DI - Commitments / Purchase	Increase in interest rate	-	-	-
Total	R\$	-	-	-
Holding XS1 - Consolidated				06/30/2021
Description	Risk	Probable Scenario	Possible Scenario	Remote Scenario
DI - Commitments / Purchase	Increase in interest rate	(17,545,791)	(17,950,195)	(18,266,149)
Total	R\$	(17,545,791)	(17,950,195)	(18,266,149)

iii) Holding XS1 - Liability adequacy test (TAP)

As required by CPC 11, Holding XS1 carried out a liability adequacy test for all contracts that meet the definition of an insurance contract under CPC 11 and that are in effect on the date of the test execution.

For this test, the Holding XS1 developed an actuarial methodology based on the present value of the current estimate of future cash flows from obligations already assumed. To determine future cash flow estimates, the contracts were grouped according to the groups of branches established in specific regulations. Current estimates of cash flows were discounted to present value based on the forward interest rate structures (ETTJ) defined by SUSEP. Actuarial calculation of current cash flow estimates considered realistic and non-biased actuarial assumptions for each variable involved, as follows:

- Term structure of the interest rate (ETTJ): to discount the future values of the projected flows, the indices were used, according to the list published by SUSEP;

- b) Accident Ratio: to estimate the losses resulting from products that use the mortality table in their projections, the BR-EMS 2015 tables were used; for claims arising from products that use a disability table, the Álvaro Vindas table was used; to estimate the claims resulting from products that do not use biometric tables, claims were calculated based on the observed history of each product that makes up the study;
- c) Cancellation: to estimate annual cancellations used in the model, when applicable, the historical bases of the evolution of assets observed in each group that make up the study were used;
- d) Expenses: Expenditure estimates were segregated into administrative expenses, tax expenses and operating expenses, considering the average of the historical annual ratio of expenses on the premium issued;
- e) Reinsurance: the projections were generated considering the values of gross reinsurance flows.

As a conclusion of the performed tests, no insufficiencies were found in any of the groupings analyzed, for the periods presented.

The actuarial study containing the TAP was signed by the Responsible Technical Actuary and the Technical Director and is available at the Holding XS1's headquarters for the regulatory body and other inspections.

d.3) Too Seguros - Risk management

The structure of Too Seguros' Risk Management process remained consistent with that already disclosed in CAIXA Seguridade's Financial Statements as of December 31st, 2020.

i) Too Seguros - Sensitivity Analysis

The Sensitivity Test aims to demonstrate the quantitative effects on the estimated amount of claims declared in the Insurer's Liabilities, as well as in Adjusted Equity (PLA) and Income, when any of the variables applied to the calculation methodology of the provision constituted on a given date is changed. base.

In this context, the Sensitivity Test performed for Too Seguros S.A., as of 06/30/2021, was applied to the Provision of Claims and Related Expenses (PDR) and Provision for Settlement of Claims (PSL), declared for all branches operated by the insurer, and the results can be seen below:

Actuarial Assumptions	06/30/2021				12/31/2020			
	Gross (9)	Reinsurance (10)	PLA	Result (11)	Gross (9)	Reinsurance (10)	PLA	Result (11)
Increase of 5.0% in the claims rate, applied to the IBNR reserve (1)	20,623	-200	11,453	11,453	15,851	-706	9,106	9,106
Increase of 5.0% in the claims rate, applied to the IBNR reserve (2)	-20,623	200	-11,453	-11,453	-15,851	706	-9,106	-9,106
Increase of 5.0% in Claims, applied to PDR (referring to IBNR) (3)	274	-101	206	206	318	-12	181	181
Reduction of 5.0% in Class, applied to PDR (referring to IBNR) (4)	-274	101	-206	-206	-318	12	-181	-181
Increase of 0.5% in Inflation Index, applied to PSL (5)	643	405	131	131	435	149	157	157
Reduction of 0.5% in Inflation Index applied to PSL (6)	-643	-405	-131	-131	-435	-149	-157	-157
Increase of 0.5% in Inflation Ratio, applied to PDR (referring to PSL) (7)	58	17	22	22	36	15	12	12
Reduction of 0.5% in Inflation Ratio applied to PDR (referring to PSL) (8)	-58	-17	-22	-22	-36	-15	-12	-12

(*) The amounts reported above are shown in gross reinsurance form, so that the net provision consists of the gross amount less the reinsurance.

1) Increasing the verified claim rate of the IBNR Provision by 5.0 (five) percentage points and maintaining the other variables applied to the respective reference dates analyzed.

2) Reducing the verified claim rate of the IBNR Provision by 5.0 (five) percentage points and maintaining the other variables applied to the respective reference dates analyzed.

3) Increasing the PDR loss rate (referring to IBNR) by 5.0 (five) percentage points and maintaining the other variables applied to the respective reference dates analyzed.

4) Reducing by 5.0 percentage points the claims rate of PDR (relating to IBNR) and holding all other variables applied to the respective base dates analyzed constant.

5) Increase of 0.5 (half) percentage point in the restatement index applied to outstanding claims, contained in the Settlement of Claims Reserve (PSL) declared on the respective reference dates analyzed, and keeping the other variables.

6) Reduction of 0.5 (one-half) percentage point in the restatement index applied to outstanding claims, contained in the Settlement of Claims Reserve (PSL) declared on the respective reference dates analyzed, and keeping the other variables.

7) Increase of 0.5 (one-half) percentage point in the update index applied to outstanding claims in the PDR (referring to the PSL) declared on the respective reference dates analyzed, while maintaining the other variables.

8) Reduction of 0.5 (one-half) percentage point in the update index applied to outstanding claims in the PDR (referring to the PSL) declared on the respective reference dates analyzed, while maintaining the other variables.

9) Amounts to be added or reduced to the insurer's liabilities to determine the impact on Equity and Income.

10) Amounts to be added or reduced to the insurer's assets to determine the impact on Equity and Income.

11) Amounts obtained after the deduction of income tax and social contribution.

(ii) Too Seguros - Liability Adequacy Test (TAP)

Pursuant to SUSEP Circular 517/2015 that established the LAT for the purposes of financial statement preparation and set the rules and procedures for its implementation, insurers shall assess whether their recognized insurance liabilities are adequate, using current estimates of future cash flows under their insurance contracts. If the difference between the current estimates of cash flows and the sum of the carrying amount of the technical reserves at the base date, less deferred acquisition costs and related intangible assets, results in a positive amount, then the insurer shall recognize this amount in the Reserve for Supplementary Coverage (PCC) when the deficiency comes from the PPNG, PMBaC e PMBC provisions, which have strict calculation rules that cannot be changed due to deficiencies. The adjustments resulting from deficiencies of other technical reserves determined by the LAT shall be made directly in the reserves. In this case, the company shall recalculate the LAT result based on the adjusted provisions, and record in the CCP only the remaining insufficiency.

The TAP was prepared gross of reinsurance and for its realization Too Seguros considered the segmentation established by SUSEP Circular No. 517/2015, that is, between Events to Occur and Events Occurred; subsequently, between Damage insurance and Personal insurance and, finally, between Registered Premiums and Future Premiums.

For the preparation of cash flows, estimates of premiums, claims, expenses and taxes, measured on the base date of June 2021, discounted by the relevant term risk-free interest rate structure (ETTJ), based on methodology proposed by SUSEP, using the Svensson model for interpolation and extrapolation of interest curves and the use of generic algorithms in addition to traditional nonlinear optimization algorithms, for the estimation of model parameters.

Based on the insurer's Actuarial Study of the Too Seguros S.A Liability Adequacy Test as of 06/30/2021, it was concluded that its insurance contract liability is adequate for the Occurring Events and Occurred Events Groups and is not required. the adjustment of provisions recorded, less deferred acquisition costs and intangible assets directly related to technical provisions, as these were higher than the estimated cash flow values, which were prepared in accordance with the minimum parameters established by SUSEP Circular No. 517/2015.

Finally, we clarify that there were no changes in the criteria for determining the actuarial assumptions of LAT as of June 30th, 2021, when compared to the LAT as of December 31st, 2020.

d.4) XS3 Seguros - Risk management

XS3 Seguros has coordinated activities to identify, assess, measure, treat and monitor risks, based on an adequate understanding of the types of risk, their characteristics and interdependencies, the sources of risks and their potential impact on the business. Its organizational structure, still in the implementation phase, includes several Committees and areas focused on assisting its first lines of defense, ensuring the achievement of strategic, operational and legal compliance objectives, in addition to the reliability of financial information.

XS3 Seguros's risk management strategy is integrated with its general strategy, in the sense of identifying risks with a significant impact on its objectives, and their consequent responses. XS3 Seguros is exposed to a series of risks related to its activity, including underwriting, market, credit, operational, liquidity and emerging risks. XS3 Seguros works strongly for the adequate management of these risks through methodologies, processes, policies and controls.

i) Operational risk

Operational risk is defined as the possibility of losses resulting from failure, deficiency or inadequacy of internal processes, people and systems, or external events that impact on the achievement of strategic, tactical or operational objectives. It includes the legal risk, associated with the inadequacy or deficiency in contracts signed by the institution, as well as sanctions due

to non-compliance with legal provisions and indemnities for damages to third parties resulting from the activities carried out by the institution.

XS3 Seguros internally classifies its risk events as: internal fraud, external fraud, labor demands and deficient workplace safety, inappropriate practices related to customers, products and services, damage to its own or in-use physical assets, interruption of activities, failures in information technology (IT) systems or infrastructure systems and failures in execution, meeting deadlines and managing activities.

Actions related to fraud prevention are carried out by the inspectorate. Regardless of the source, specific cases can be resolved on the risk committees and integrity and ethics committees.

XS3 Seguros has structured governance through forums and collegiate bodies, which report to the Board of Directors, with well-defined roles and responsibilities in order to segregate business, management and control activities, ensuring independence between areas and, consequently, decisions balanced with risks. This is reflected in the risk management carried out in a decentralized manner, which is the responsibility of the business areas, and by the centralized control, carried out by the area of internal controls and compliance, through methodologies, training, certification and monitoring of the control environment independently. .

ii) **Market Risk**

Market risk arises from the possibility of losses that may be caused by fluctuations in asset prices, interest rates, currencies and indices. As of June 30, 2021, the Insurer's investment portfolio was allocated 100% in floating rates (CDI) with no exposure to market risk.

The management of the XS3 Seguros investments is carried out in accordance with current legislation (Resolution No. 4,444 of 2015 of the National Monetary Council), and given the initial phase of its operations, the portfolio profile has low exposure to risk.

iii) **Liquidity Risk**

XS3 Seguros identifies liquidity risk as the risk of a shortage of liquid resources, available to honor its current obligations at a given time. The management of liquidity risk for insurance operations is carried out continuously, based on the monitoring of the payment flow related to its liabilities, vis a vis the flow of receipts generated by its operations and by the portfolio of financial assets. Financial assets are managed with the objective of optimizing the relationship between risk and return on investments, taking into account, in a parsimonious way, the characteristics of its liabilities.

Integrated risk control takes into account concentration limits by issuer and credit risk, market risk sensitivities and limits and liquidity risk control of assets. Thus, investments are concentrated in investment funds whose portfolios are composed of government bonds in active and liquid markets, maintaining a considerable amount invested in short-term assets, with immediate liquidity, to meet regular and contingent liquidity needs.

In addition, XS3 Seguros constantly monitors the solvency conditions of its insurance operations.

iv) **Credit risk**

XS3 Seguros understands credit risk as the risk of losses arising from the non-fulfillment by the policyholder, issuer or counterparty of their respective financial obligations under the terms agreed.

Thus, for an insurance contract, credit risk includes the risk that the insurer will incur a financial loss due to non-compliance with obligations arising from a contract, resulting from the insolvency or lack of liquidity of reinsurers, policyholders and issuers of financial assets.

v) Subscription Risk

XS3 Seguros defines the underwriting risk as the risk of events occurring that go against your expectations and that could significantly compromise the results of operations and your equity due to a possible insufficiency of premiums and / or provisions to cover expenses with the payment of the obligations assumed with the insured.

The subscription risk can be subdivided into: Premium Risk - generated from a possible insufficiency of the premiums charged to cover the financial expenses with the payment of claims that will still occur in those commitments already assumed; Provision Risk - generated from a possible insufficiency of balances of technical provisions to cover the financial expenditure with payments of claims that have already occurred and Retention Risk - generated from exposure to individual risks with high Value at Risk, concentration of risks or occurrence of catastrophic events.

vi) XS3 Seguros - Sensitivity Analysis

The sensitivity analysis of the main segments makes it possible to assess the impacts of changes, reasonably possible, in relevant risk variables in an isolated manner, in order to verify their effects on the period's results and shareholders' equity at the balance sheet date.

For this, the XS3 Seguros estimates an increase or decrease of 5% in the total amounts paid in indemnities and in claims expenses. The selection of these two variables occurs because it is understood that both claims and claims expenses are relevant and subject to fluctuation.

In homeowner insurance, the analyzes used in underwriting risk management seek to charge fairly, by type of risk, considering the average cost and frequency of claims by region, property and policyholder profile.

The results of the sensitivity tests, net of tax effects, are as follows

Actuarial Assumptions	06/30/2021		12/31/2020	
	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:
5% increase in claims incurred	-85	-85	0	0
5% reduction in claims incurred	85	85	0	0
5% increase in claims settlement expenses	-1	-1	0	0
5% Reduction in claims settlement expenses	1	1	0	0

In mortgage insurance, the analyzes used in underwriting risk management seek to charge fairly, by type of risk, considering the average cost and frequency of claims by region, property and policyholder profile.

The results of the sensitivity tests, net of tax effects, are as follows:

Actuarial Assumptions	06/30/2021		12/31/2020	
	Gross of reinsurance:	Net Reinsurance:	Gross of reinsurance:	Net Reinsurance:
5% increase in claims incurred	-40	-40	0	0
5% reduction in claims incurred	40	40	0	0
5% increase in claims settlement expenses	-1	-1	0	0
5% Reduction in claims settlement expenses	1	1	0	0

vii) XS3 Seguros - Liability Adequacy Test (TAP)

On each balance sheet date, XS3 Seguros prepares the Liability Adequacy Test in order to verify its adequacy to the obligations arising from the contracts and certificates of insurance plans in force on the date of execution of the test, in accordance with CPC 11 and with the minimum criteria established by SUSEP Circular No. 517/2015 and its amendments.

The test is based on current, realistic and unbiased assumptions, which are applied in order to produce the best current estimates for all future cash flows, gross of reinsurance, including administrative, operating, and settlement of claims, taxes and deducting acquisition costs. Returns on investments, reinsurance costs and the fractionation surcharge are not considered.

To determine current estimates of cash flows, contracts are grouped by similarity, which follows the management standard established by the Insurer.

When pertinent, revenues from salvage and third-party reimbursements were also considered as a reducing factor in carrying out the Liability Adequacy Test.

For lines with an elapsed risk characteristic, XS3 Seguros considers the history of earned premiums for each contract to determine its best estimate of premium income in periods subsequent to the calculation base date.

Cash flows from future obligations are estimated based on claims. To determine it, the insurer checks the average loss ratio observed by grouping in a given period, excluding the extremes of the series. For greater statistical security, the standard deviation of the observed accident rate is added to this mean.

Cash flows are brought to present value, based on assumptions of risk-free interest rates - ETTJ, published by the Superintendency of Private Insurance - SUSEP, considering the interest curve coupon of the IPC-A obligation index. If any insufficiency is identified in the reference test, XS3 Seguros immediately recognizes the loss in the Supplementary Coverage Provision – PCC.

For the exercise presented, no insufficiencies were found in the analyzed groups.

Note 8 - Information per segment

The information by segments was established considering the Management's perspective on the management of the CAIXA Seguridade Group's business activities and presents information that express the nature and equity and financial effects of these business activities, as well as the environments in which the Company operates.

After the conclusion of the partnerships, the business activities of the CAIXA Seguridade Group began to be subdivided into 3 (three) segments, namely: Run-off / Open sea (insurance businesses led by the former partner or operated outside the CAIXA Branch), Insurance (investment in insurance businesses established as a result of the competitive process of choosing strategic partners to operate the CAIXA branch) and Distribution (businesses related to the management of access to the distribution network and use of the CAIXA brand and the brokerage and intermediation of insurance products).

a) Revenue Analysis by Category

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Result of investments in equity interests:	409,574	327,199	306,900	307,530
Run-off / Open sea	126,426	141,097	293,666	307,530
Insurance	199,605	186,102	13,234	-
Distribution	83,543	-	-	-
Revenue from distribution network access and use of brand:	46,477	46,477	157,080	157,080
Distribution	46,477	46,477	157,080	157,080
Income from services rendered:	-	167,785	-	-
Distribution	-	167,785	-	-
Total	456,051	541,461	463,980	464,610

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Result of investments in equity interests:	802,849	655,362	625,971	627,223
Run-off / Open sea	255,395	288,229	601,864	627,223
Insurance	397,625	367,133	24,107	-
Distribution	149,828	-	-	-
Revenue from distribution network access and use of brand:	125,375	125,375	330,147	330,147
Distribution	125,375	125,375	330,147	330,147
Income from services rendered:	-	283,829	-	-
Distribution	-	283,829	-	-
Total	928,224	1,064,566	956,118	957,370

b) Income statement by segment

Segment	2nd quarter of 2021							
	Parent company				Consolidated			
	Run-off / Open Sea	Seguridade	Distribution	Total	Run-off / Open Sea	Seguridade	Distribution	Total
Operating revenue	126,426	199,605	130,020	456,051	141,097	186,102	214,262	541,461
Revenue from equity investments	126,426	199,605	83,543	409,574	141,097	186,102	-	327,199
Revenue from distribution network access and use of brand	-	-	46,477	46,477	-	-	46,477	46,477
Income from services rendered	-	-	-	-	-	-	167,785	167,785
Costs of services provided	-	-	-	-	-	-	(21,658)	(21,658)
Gross Result	126,426	199,605	130,020	456,051	141,097	186,102	192,604	519,803
Other operating income/(expenses)	(5,259)	(6,623)	(10,159)	(22,040)	(5,504)	(5,163)	(31,808)	(42,475)
Administrative costs	(5,237)	(8,230)	(5,470)	(18,937)	(5,181)	(6,765)	(7,718)	(19,665)
Tax Expenses	(22)	(202)	(4,689)	(4,912)	(322)	(206)	(24,089)	(24,619)
Other operating income/expenses	-	1,809	-	1,809	-	1,809	-	1,809
Income before financial income and expenses	121,167	192,982	119,861	434,011	135,593	180,939	160,795	477,328
Financial Result	476	746	504	1,726	653	842	949	2,444
Financial income	476	746	504	1,726	653	842	949	2,444
Financial expenses	-	-	-	-	-	-	-	-
Income before participation, income tax and social contribution	121,644	193,728	120,365	435,737	136,246	181,781	161,745	479,772
Income tax and social contribution	-	-	(9,103)	(9,103)	(970)	-	(52,168)	(53,138)
Net income for the period	121,644	193,728	111,262	426,634	135,276	181,781	109,577	426,634

Segment	2nd quarter of 2020						
	Parent company				Consolidated		
	Run-off / Open Sea	Seguridade	Distribution	Total	Run-off / Open Sea	Distribution	Total
Operating revenue	293,666	13,234	157,080	463,980	307,530	157,080	464,610
Revenue from equity investments	293,666	13,234	-	306,900	307,530	-	307,530
Revenue from distribution network access and use of brand	-	-	157,080	157,080	-	157,080	157,080
Gross Result	293,666	13,234	157,080	463,980	307,530	157,080	464,610
Other operating income/(expenses)	(8,013)	(374)	(19,416)	(27,803)	(8,894)	(19,265)	(28,159)
Administrative costs	(7,487)	(350)	(3,970)	(11,807)	(7,996)	(3,811)	(11,807)
Tax Expenses	(526)	(24)	(15,436)	(15,986)	(898)	(15,444)	(16,342)
Other operating expenses	-	-	(10)	(10)	-	(10)	(10)
Income before financial income and expenses	285,653	12,860	137,664	436,177	298,636	137,815	436,451
Financial Result	3,051	135	1,639	4,825	3,959	1,953	5,912
Financial income	3,051	135	1,640	4,826	3,959	1,954	5,913
Financial expenses	-	-	(1)	(1)	-	(1)	(1)
Earnings before interest, income tax and social contribution	288,705	12,995	139,302	441,002	302,595	139,768	442,363
Current income tax and social contribution	(2,207)	(101)	(44,756)	(47,064)	(2,634)	(45,791)	(48,425)
Net income for the period	286,497	12,895	94,546	393,938	299,961	93,977	393,938

Segment	1st semester of 2021							
	Parent company				Consolidated			
	Run-off / Open Sea	Seguridade	Distribution	Total	Run-off / Open Sea	Seguridade	Distribution	Total
Operating revenue	255,395	397,625	275,203	928,224	288,229	367,133	409,204	1,064,566
Revenue from equity investments	255,395	397,625	149,828	802,849	288,229	367,133	-	655,362
Revenue from distribution network access and use of brand	-	-	125,375	125,375	-	-	125,375	125,375
Income from services rendered	-	-	-	-	-	-	283,829	283,829
Costs of services provided	-	-	-	-	-	-	(23,440)	(23,440)
Gross Result	255,395	397,625	275,203	928,224	288,229	367,133	385,764	1,041,126
Other operating income/(expenses)	(8,857)	(12,147)	(21,507)	(42,510)	(9,613)	(9,876)	(57,637)	(77,126)
Administrative costs	(8,825)	(13,740)	(9,510)	(32,075)	(9,001)	(11,465)	(12,779)	(33,244)
Tax Expenses	(31)	(216)	(11,997)	(12,244)	(612)	(220)	(44,858)	(45,691)
Other operating income/expenses	-	1,809	-	1,809	-	1,809	-	1,809
Income before financial income and expenses	246,539	385,478	253,697	885,714	278,616	357,257	328,126	964,000
Financial Result	674	1,050	(280)	1,444	890	1,117	257	2,264
Financial income	674	1,050	726	2,450	890	1,133	1,263	3,286
Financial expenses	-	-	(1,006)	(1,006)	-	(16)	(1,006)	(1,022)
Income before participation, income tax and social contribution	247,213	386,528	253,417	887,158	279,506	358,374	328,383	966,264
Income tax and social contribution	-	-	(28,867)	(28,867)	(1,894)	-	(106,079)	(107,973)
Net income for the period	247,213	386,528	224,550	858,291	277,612	358,374	222,304	858,291

Segment	1st semester of 2020						
	Parent company				Consolidated		
	Run-off / Open Sea	Seguridade	Distribution	Total	Run-off / Open Sea	Distribution	Total
Operating revenue	601,864	24,107	330,147	956,118	627,223	330,147	957,370
Revenue from equity investments	601,864	24,107	-	625,971	627,223	-	627,223
Revenue from distribution network access and use of brand	-	-	330,147	330,147	-	330,147	330,147
Gross Result	601,864	24,107	330,147	956,118	627,223	330,147	957,370
Other operating income/(expenses)	(19,115)	(766)	(38,034)	(57,914)	(20,602)	(38,058)	(58,660)
Administrative costs	(18,014)	(722)	(9,881)	(28,617)	(18,748)	(9,869)	(28,617)
Tax Expenses	(1,101)	(44)	(28,142)	(29,287)	(1,854)	(28,179)	(30,033)
Other operating expenses	-	-	(10)	(10)	-	(10)	(10)
Income before financial income and expenses	582,749	23,341	292,113	898,204	606,621	292,089	898,710
Financial Result	5,692	228	2,282	8,202	7,469	3,090	10,559
Financial income	5,692	228	3,123	9,043	7,469	3,931	11,400
Financial expenses	-	-	(841)	(841)	-	(841)	(841)
Earnings before interest, income tax and social contribution	588,442	23,569	294,395	906,406	614,089	295,180	909,269
Current income tax and social contribution	(4,737)	(190)	(93,611)	(98,538)	(5,679)	(95,722)	(101,401)
Net income for the period	583,704	23,380	200,784	807,868	608,410	199,458	807,868

Note 9 - Cash and cash equivalents

Description	Parent company		Consolidated	
	06/30/2021	12/31/2020	03/31/2021	12/31/2020
Bank deposits	78	34	156	45
Total	78	34	156	45

Note 10 - Financial instruments at fair value

a) Financial instruments at fair value through the results

Description	Parent company						
	12/31/2020		Movement			06/30/2021	
	Cost Value	Market value	Financial investments	Redemptions (1)	Profitability	Cost Value	Market value
Investment fund quotas - short term	655,304	662,070	224,723	(637,796)	2,450	242,231	251,447
Total	655,304	662,070	224,723	(637,796)	2,450	242,231	251,447

(1) It includes taxes withheld at source, including prepaid taxes.

Description	Consolidated						
	12/31/2020		Movement			06/30/2021	
	Cost Value	Market value	Financial investments	Redemptions (1)	Profitability	Cost Value	Market value
Investment fund quotas - short term	765,829	773,379	445,907	(794,905)	3,271	416,831	427,652
Total	765,829	773,379	445,907	(794,905)	3,271	416,831	427,652

(1) It includes taxes withheld at source, including prepaid taxes.

b) Fair value hierarchy

The Company classifies financial instruments measured at fair value in three hierarchical levels in determining fair value, namely: (i) Level 1: Quoted prices in active markets for identical assets and liabilities; (ii) Level 2: Inputs that are observable for the asset or liability, either directly or indirectly, except for quoted prices included in Level 1; and (iii) Level 3: Assumptions for the asset or liability that are not based on observable market data.

Currently, the Company's Financial Instruments, represented by cash and cash equivalents (Note 9), as well as by investment fund quotas (Note 10 (a)) are classified in Level 2 in the fair value hierarchy, as well as being classified at this level, receivables recorded at amortized cost, represented by amounts receivable (Note 11).

Note 11 – Accounts receivable

The amounts receivable correspond to the revenues described in notes 17 - Revenues from the distribution network, predominantly from related parties, referring to revenues from access to the distribution network and use of the insurance brand, supplementary pension plans, capitalization plans and quotas of consortium groups.

Description	Parent company		Consolidated	
	06/30/2021	12/31/2020	06/30/2021	12/31/2020
Revenue receivable from related parties	47,761	105,706	113,126	105,706
Revenue receivable from third parties	4,434	165	4,433	165
Total	52,195	105,871	117,559	105,871

Note 12 - Investments in equity

a) Investment movement

Companies	Parent company						06/30/2021
	12/31/2020	Investment movement				Other events (1)	
		MEP Result	Dividends and interest on capital	Capital increase/decrease	Equity valuation adjustments		
CNP Brasil	2,304,714	255,395	(637,783)	-	(104,486)	-	1,817,841
CAIXA Holding (1)	475,611	(12,212)	-	-	(616)	1,262,427	1,725,210
Holding XS1	5,804,601	411,339	-	8	(94,170)	-	6,121,762
XS5 Consórcios (2)	1,400	(1,111)	-	93,747	-	187,493	281,529
XS6 Assistência (3)	35,002	(390)	-	(31,250)	-	22,499	25,861
Caixa Corretora	30,002	149,828	-	-	-	-	179,830
Total	8,651,330	802,849	(637,783)	62,489	(199,271)	1,472,418	10,152,032

(1) Other events - CAIXA Holding: refers to the events described in items "a" and "d" of Note 2 - Corporate restructuring - Acquisitions, spin-offs, incorporations and disposals of investments in equity, in relation to the Association Agreements signed with Tokio Marine and Icatu, respectively.

(2) Other events - XS5 Consórcios: refers to the event (s) described in item "c" of Note 2 - Corporate restructuring - Acquisitions, divisions, incorporations and disposals of investments in participations, in relation to the Association Agreement signed with CNP Assurances.

(3) Other events - XS6 Assistência: refers to the event (s) described in item "b" of Note 2 - Corporate restructuring - Acquisitions, spin-offs, mergers and divestitures of investments in participations, including in relation to the association signed with Tempo Assist.

Companies	Parent company				06/30/2020
	31/12/2019	Investment movement		Equity valuation adjustments	
		MEP Result	Dividends and interest on capital		
CNP Brasil	4,221,485	601,865	(265,901)	35,107	4,592,555
CAIXA Holding	430,395	24,107	-	45	454,547
Total	4,651,880	625,971	(265,901)	35,152	5,047,102

Companies	Consolidated						06/30/2021
	12/31/2020	Investment movement				Other events (1)	
		MEP Result	Dividends and interest on capital	Capital increase/decrease	Equity valuation adjustments		
CNP Brasil	2,304,714	255,395	(637,783)	-	(104,486)	-	1,817,841
Holding XS1	5,804,601	411,339	-	(8)	(94,170)	-	6,121,762
XS3 Seguros ⁽¹⁾	50,010	(42,032)	-	67,500	-	1,127,436	1,202,914
XS4 Capitalização ⁽²⁾	56,011	(673)	-	-	-	134,991	190,329
Too Seguros	273,042	19,302	(6,178)	-	(616)	-	285,550
PAN Corretora	20,731	13,532	(10,052)	-	-	-	24,211
XS5 Consórcios ⁽³⁾	1,400	(1,111)	-	93,747	-	187,493	281,529
XS6 Assistência ⁽⁴⁾	35,002	(390)	-	(31,250)	-	(22,499)	25,861
Total	8,545,512	655,362	(654,013)	129,989	(199,271)	1,472,418	9,949,996

(1) Other events - XS3 Seguros: refers to the event (s) described in item "a" of Note 2 - Corporate restructuring - Acquisitions, spin-offs, mergers and divestitures of investments in equity, in relation to the Association Agreement signed with Tokio Marine.

(2) Other events - XS4 Capitalização: refers to the event (s) described in item "d" of Note 2 - Corporate restructuring - Acquisitions, spin-offs, mergers and divestitures of investments in participations, in relation to the Association Agreement signed with Icatu Seguros.

(3) Other events - XS5 Consórcios: refers to the event (s) described in item "c" of Note 2 - Corporate restructuring - Acquisitions, divisions, incorporations and disposals of investments in participations, in relation to the Association Agreement signed with CNP Assurances.

(4) Other events - XS6 Assistência: refers to the event (s) described in item "b" of Note 2 - Corporate restructuring - Acquisitions, spin-offs, mergers and divestitures of investments in participations, including in relation to the association signed with Tempo Assist.

Companies	Consolidated				06/30/2020
	31/12/2019	Investment movement		Equity valuation adjustments	
		MEP Result	Dividends and interest on capital		
CNP Brasil	4,221,485	601,865	(265,901)	35,107	4,592,556
Too Seguros	279,892	17,772	(42,886)	45	254,823
PAN Corretora	27,162	7,585	-	-	34,747
Total	4,528,539	627,222	(308,787)	35,152	4,882,125

b) Summary composition of results of equity investments:

2nd quarter of 2021							
Parent company							
Segment	Run-off / Open Sea	Seguridade				Distribution	Total
Branches of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous Branches and Brokerage (2)	Life, Credit Life and Pension Plans	Consortia	Assistance Services	Insurance brokerage and intermediation	
Company	CNP Brasil	CAIXA Holding	Holding XS1	XS5 Consórcios	XS6 Assistência	XS Corretagem	
Revenue from the operation	1,058,966	(1,921)	8,749,521	-	2,759	167,786	9,977,111
Operation/expenses costs	(539,198)	-	(8,389,100)	-	(2,183)	(21,658)	(8,952,139)
Operating margin	519,768	(1,921)	360,421	-	576	146,128	1,024,972
Administrative costs	(161,643)	-	(132,350)	(3,129)	(1,289)	(727)	(299,138)
Tax Expenses	(46,506)	(301)	(55,704)	(43)	-	(19,410)	(121,964)
Financial result	99,422	140	390,566	927	176	580	491,811
Equity result	26,129	-	-	-	-	-	26,129
Other operating income/expenses	-	(1)	-	-	-	-	(1)
Operating profit	437,169	(2,083)	562,933	(2,245)	(538)	126,571	1,121,807
Gains or losses on non-current assets	4,082	-	-	-	-	-	4,082
Profit before tax and participations	441,251	(2,083)	562,933	(2,245)	(538)	126,571	1,125,889
Income tax	(104,336)	(737)	(140,770)	561	134	(31,637)	(276,785)
Social contribution	(58,889)	(270)	(82,044)	202	48	(11,391)	(152,344)
Non-controlling interests	(15,839)	-	-	-	-	-	(15,839)
Net income attributable to controlling shareholders	262,187	(3,090)	340,119	(1,482)	(355)	83,543	680,922
Net income attributable to CAIXA Seguridade Group	126,406	(3,090)	204,072	(1,111)	(266)	83,543	409,554
Net income attributable to other controlling shareholders	135,575	-	136,047	(371)	(89)	-	271,162

2nd quarter of 2020			
Parent company			
Segment	Run-off / Open Sea	Insurance	Total
Branch of activity	Miscellaneous Branches and Brokerage	Miscellaneous Branches and Brokerage	
Company	CNP Brasil	CAIXA Holding	
Revenue from the operation	4,697,639	13,863	4,711,502
Operation/expenses costs	(3,736,569)	-	(3,736,569)
Operating margin	961,070	13,863	974,933
Administrative costs	(198,771)	-	(198,771)
Tax Expenses	(114,800)	(356)	(115,156)
Financial result	481,938	1,087	483,025
Equity result	8,323	-	8,323
Other operating income/expenses	-	-	-
Operating profit	1,137,760	14,594	1,152,354
Gains or losses on non-current assets	(4,050)	-	(4,050)
Profit before tax and participations	1,133,711	14,594	1,148,305
Income tax	(322,951)	(997)	(323,948)
Social contribution	(190,550)	(363)	(190,913)
Profit Sharing	-	-	-
Non-controlling interests	(13,787)	-	(13,787)
Net income attributable to controlling shareholders	606,420	13,234	619,654
Net income attributable to CAIXA Seguridade Group	293,667	13,234	306,901
Net income attributable to other controlling shareholders	315,474	-	315,474

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In thousands of reais, unless otherwise stated.



1st semester of 2021							
Parent company							
Segment	Run-off / Open Sea	Insurance				Distribution	Total
Branches of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous Branches and Brokerage (2)	Life, Credit Life and Pension Plans	Consortia	Assistance Services	Insurance brokerage and intermediation	
Company	CNP Brasil	CAIXA Holding	Holding XS1	XS5 Consórcios	XS6 Assistência	XS Corretagem	
Revenue from the operation	2,290,124	(9,871)	17,273,873	-	3,235	283,829	19,841,190
Operation/expenses costs	(1,244,342)	-	(16,542,366)	-	(2,530)	(23,439)	(17,812,677)
Operating margin	1,045,783	(9,871)	731,507	-	705	260,390	2,028,514
Administrative costs	(295,286)	-	(240,297)	(3,129)	(1,721)	(1,168)	(541,601)
Tax Expenses	(102,430)	(582)	(111,949)	(43)	-	(32,868)	(247,872)
Financial result	218,495	182	759,772	927	227	640	980,243
Equity result	39,607	-	-	-	-	-	39,607
Other operating income/expenses	-	(1)	-	-	-	-	(1)
Operating profit	906,169	(10,272)	1,139,033	(2,245)	(788)	226,994	2,258,891
Gains or losses on non-current assets	4,242	-	68	-	-	-	4,310
Profit before tax and participations	910,411	(10,272)	1,139,101	(2,245)	(788)	226,994	2,263,201
Income tax	(219,690)	(1,420)	(284,957)	561	197	(56,736)	(562,045)
Social contribution	(127,499)	(520)	(168,579)	202	71	(20,429)	(316,754)
Non-controlling interests	(35,331)	-	-	-	-	-	(35,331)
Net income attributable to controlling shareholders	527,891	(12,212)	685,565	(1,482)	(520)	149,828	1,349,070
Net income attributable to CAIXA Seguridade Group	255,375	(12,212)	411,339	(1,111)	(390)	149,828	802,829
Net income attributable to other controlling shareholders	273,900	-	274,226	(371)	(130)	-	547,625

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1st semester of 2020			
Parent company			
Segment	Run-off / Open Sea	Insurance	Total
Branch of activity	Miscellaneous Branches and Brokerage	Miscellaneous Branches and Brokerage	
Company	CNP Brasil	CAIXA Holding	
Revenue from the operation	11,993,749	25,358	12,019,107
Operation/expenses costs	(10,130,306)	-	(10,130,306)
Operating margin	1,863,442	25,358	1,888,800
Administrative costs	(369,851)	-	(369,851)
Tax Expenses	(226,685)	(746)	(227,431)
Financial result	949,732	2,357	952,089
Equity result	17,547	-	17,547
Other operating income/expenses	-	-	-
Operating profit	2,234,185	26,969	2,261,154
Gains or losses on non-current assets	(30,283)	-	(30,283)
Profit before tax and participations	2,203,903	26,969	2,230,872
Income tax	(585,804)	(2,098)	(587,902)
Social contribution	(347,353)	(764)	(348,117)
Profit Sharing	-	-	-
Non-controlling interests	(27,753)	-	(27,753)
Net income attributable to controlling shareholders	1,242,991	24,107	1,267,098
Net income attributable to CAIXA Seguridade Group	601,865	24,107	625,972
Net income attributable to other controlling shareholders	646,558	-	646,558

2nd quarter of 2021									
Consolidated									
Segment	Run-off / Open Sea			Insurance					Total
Branch of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous branches	Insurance brokerage and intermediation	Life, Credit Life and Pension Plans	Mortgage and Homeowner	Premium Bonds [Capitalização]	Credit Letters	Assistance Services	
Company	CNP Brasil	Too Seguros	PAN Corretora	Holding XS1	XS3 Seguros	XS4 Capitalização	XS5 Consórcios	XS6 Assistência	
Revenue from the operation	1,058,966	278,657	16,399	8,749,521	159,939	1	-	2,759	10,266,242
Operation/expenses costs	(539,198)	(142,764)	-	(8,389,100)	(143,729)	-	-	(2,183)	(9,216,974)
Operating margin	519,768	135,893	16,399	360,421	16,210	1	-	576	1,049,268
Administrative costs	(161,643)	(18,924)	(1,563)	(132,350)	(50,030)	(1,408)	(3,129)	(1,289)	(370,336)
Tax Expenses	(46,506)	(7,228)	(8)	(55,704)	(1,750)	(34)	(43)	-	(111,273)
Financial result	99,422	10,599	482	390,566	1,465	485	927	176	504,122
Equity result	26,129	1	-	-	-	-	-	-	26,130
Other operating income/expenses	-	(95,505)	-	-	-	-	-	-	(95,505)
Operating profit	437,169	24,836	15,310	562,933	(34,105)	(956)	(2,245)	(538)	1,002,405
Gains or losses on non-current assets	4,082	743	-	-	-	-	-	-	4,825
Profit before tax and participations	441,251	25,579	15,310	562,933	(34,105)	(956)	(2,245)	(538)	1,007,230
Income tax	(104,336)	(6,984)	(1,506)	(140,770)	8,696	9	561	134	(244,196)
Social contribution	(58,889)	(4,269)	(544)	(82,044)	5,260	9	202	48	(140,227)
Profit Sharing	-	2,357	-	-	(1,039)	-	-	-	1,318
Non-controlling interests	(15,839)	-	-	-	-	-	-	-	(15,839)
Net income attributable to controlling shareholders	262,187	16,683	13,260	340,119	(21,188)	(937)	(1,482)	(355)	608,287
Net income attributable to CAIXA Seguridade Group	126,406	8,174	6,497	204,072	(15,890)	(703)	(1,111)	(266)	327,179
Net income attributable to other controlling shareholders	135,575	8,509	6,763	136,047	(5,298)	(234)	(371)	(89)	280,902

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2nd quarter of 2020				
Consolidated				
Segment	Run-off / Open Sea			Total
Branch of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous branches	Insurance brokerage and intermediation	
Company	CNP Brasil	Too Seguros	PAN Corretora	
Revenue from the operation	4,697,639	226,275	9,085	4,932,999
Operation/expenses costs	(3,736,569)	(129,358)	-	(3,865,927)
Operating margin	961,070	96,917	9,085	1,067,072
Administrative costs	(198,771)	(18,166)	(1,803)	(218,740)
Tax Expenses	(114,800)	(5,467)	(12)	(120,279)
Financial result	481,938	8,519	97	490,554
Equity result	8,323	-	-	8,323
Other operating income/expenses	-	(41,556)	-	(41,556)
Operating profit	1,137,760	40,247	7,366	1,185,373
Gains or losses on non-current assets	(4,050)	25	-	(4,025)
Profit before tax and participations	1,133,711	40,272	7,366	1,181,349
Income tax	(322,951)	(9,333)	(789)	(333,073)
Social contribution	(190,550)	(5,686)	(286)	(196,522)
Profit Sharing	-	(3,255)	-	(3,255)
Non-controlling interests	(13,787)	-	-	(13,787)
Net income attributable to controlling shareholders	606,420	21,998	6,291	634,709
Net income attributable to CAIXA Seguridade Group	293,667	10,779	3,083	307,529
Net income attributable to other controlling shareholders	315,474	11,219	3,208	329,901

1st semester of 2021									
Consolidated									
Segment	Run-off / Open Sea			Insurance					Total
Branch of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous branches	Insurance brokerage and intermediation	Life, Credit Life and Pension Plans	Mortgage and Homeowner	Premium Bonds [Capitalização]	Credit Letters	Assistance Services	
Company	CNP Brasil	Too Seguros	PAN Corretora	Holding XS1	XS3 Seguros	XS4 Capitalização	XS5 Consórcios	XS6 Assistência	
Revenue from the operation	2,290,124	523,873	34,033	17,273,873	242,101	1	-	3,235	20,367,240
Operation/expenses costs	(1,244,342)	(317,824)	-	(16,542,366)	(224,664)	-	-	(2,530)	(18,331,726)
Operating margin	1,045,783	206,049	34,033	731,507	17,437	1	-	705	2,035,515
Administrative costs	(295,286)	(37,117)	(2,988)	(240,297)	(108,443)	(1,409)	(3,129)	(1,721)	(690,390)
Tax Expenses	(102,430)	(12,658)	(14)	(111,949)	(1,949)	(37)	(43)	-	(229,080)
Financial result	218,495	16,601	756	759,772	1,589	550	927	227	998,917
Equity result	39,607	-	-	-	-	-	-	-	39,607
Other operating income/expenses	-	(109,408)	-	-	-	-	-	-	(109,408)
Operating profit	906,169	63,467	31,787	1,139,033	(91,366)	(895)	(2,245)	(788)	2,045,162
Gains or losses on non-current assets	4,242	3,610	-	68	-	-	-	-	7,920
Profit before tax and participations	910,411	67,077	31,787	1,139,101	(91,366)	(895)	(2,245)	(788)	2,053,082
Income tax	(219,690)	(16,456)	(3,063)	(284,957)	23,120	(2)	561	197	(500,290)
Social contribution	(127,499)	(10,023)	(1,107)	(168,579)	13,956	-	202	71	(292,979)
Profit Sharing	-	(1,205)	-	-	(1,756)	-	-	-	(2,961)
Non-controlling interests	(35,331)	-	-	-	-	-	-	-	(35,331)
Net income attributable to controlling shareholders	527,891	39,393	27,617	685,565	(56,046)	(897)	(1,482)	(520)	1,221,521
Net income attributable to CAIXA Seguridade Group	255,375	19,302	13,532	411,339	(42,032)	(673)	(1,111)	(390)	655,342
Net income attributable to other controlling shareholders	273,900	20,091	14,085	274,226	(14,014)	(224)	(371)	(130)	567,563

1st semester of 2020				
Consolidated				
Segment	Run-off / Open Sea			Total
Branch of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous branches	Insurance brokerage and intermediation	
Company	CNP Brasil	Too Seguros	PAN Corretora	
Revenue from the operation	11,993,749	396,944	21,613	12,412,306
Operation/expenses costs	(10,130,306)	(176,487)	-	(10,306,793)
Operating margin	1,863,442	220,457	21,613	2,105,512
Administrative costs	(369,851)	(37,821)	(3,768)	(411,440)
Tax Expenses	(226,685)	(11,518)	(22)	(238,225)
Financial result	949,732	(1,210)	210	948,732
Equity result	17,547	-	-	17,547
Other operating income/expenses	-	(102,694)	-	(102,694)
Operating profit	2,234,185	67,214	18,032	2,319,431
Gains or losses on non-current assets	(30,283)	25	-	(30,258)
Profit before tax and participations	2,203,903	67,239	18,032	2,289,174
Income tax	(585,804)	(15,199)	(1,873)	(602,876)
Social contribution	(347,353)	(9,261)	(679)	(357,293)
Profit Sharing	-	(6,509)	-	(6,509)
Non-controlling interests	(27,753)	-	-	(27,753)
Net income attributable to controlling shareholders	1,242,991	36,270	15,480	1,294,741
Net income attributable to CAIXA Seguridade Group	601,865	17,772	7,585	627,222
Net income attributable to other controlling shareholders	646,558	18,498	7,895	672,951

b.1) Analytical composition of CNP Brasil's results:

Description	2nd quarter of 2021				
	Caixa Seguradora	Caixa Capitalização	Caixa Consórcio	Other / Consolidation adjustments	CNP Brasil
Revenue from the operation	790,408	43,692	149,714	75,151	1,058,966
Operation/Expenses costs	(384,891)	(18,986)	(78,987)	(56,334)	(539,198)
Operating margin	405,518	24,706	70,727	18,817	519,768
Administrative costs	(85,701)	(10,796)	(15,766)	(49,380)	(161,643)
Tax Expenses	(23,741)	(2,477)	(17,564)	(2,724)	(46,506)
Financial result	34,418	38,893	4,613	21,498	99,422
Equity result	-	-	-	26,129	26,129
Operating profit	330,495	50,326	42,009	14,339	437,169
Gains or losses on non-current assets	5,085	-	-	(1,003)	4,082
Profit before tax and participations	335,580	50,326	42,009	13,336	441,251
Income tax	(84,121)	(12,646)	(10,497)	2,928	(104,336)
Social contribution	(49,834)	(7,016)	(3,790)	1,751	(58,889)
Net income for the period	201,625	30,664	27,722	18,015	278,026
Attributable to stockholders of the Group	201,625	15,639	27,722	17,201	262,187
(+) Reversal of consolidation adjustment	-	-	-	(206)	(206)
(=) Attributable to stockholders of the Group - Adjusted	201,625	15,639	27,722	16,995	261,981
Attributable to non-controlling interests in subsidiaries	-	15,026	-	813	15,839
CAIXA Seguridade Group's ownership percentage					48.25%
Attributable to CAIXA Seguridade Group					126,406
Attributable to the other stockholders					135,575

Description	2nd quarter of 2020					
	Caixa Seguradora	Caixa Vida & Previdência	Caixa Capitalização	Caixa Consórcio	Other / Consolidation adjustments	Caixa Seguros Holding
Revenue from the operation	1,570,994	3,072,370	58,816	143,378	(147,919)	4,697,639
Operation/Expenses costs	(763,436)	(2,809,355)	(28,066)	(77,186)	(58,526)	(3,736,569)
Operating margin	807,557	263,015	30,750	66,192	(206,445)	961,069
Administrative costs	(139,571)	(20,244)	(11,495)	(14,195)	(13,266)	(198,771)
Tax Expenses	(65,258)	(24,824)	(3,178)	(16,725)	(4,815)	(114,800)
Financial result	111,952	41,823	31,704	3,498	292,961	481,938
Equity result	-	-	-	-	8,323	8,323
Operating profit	714,680	259,770	47,781	38,770	76,759	1,137,760
Gains or losses on non-current assets	(3,656)	1	-	-	(395)	(4,050)
Profit before tax and participations	711,024	259,771	47,781	38,770	76,365	1,133,711
Income tax	(178,665)	(65,795)	(12,270)	(9,680)	(56,541)	(322,951)
Social contribution	(107,357)	(39,493)	(7,373)	(3,496)	(32,831)	(190,550)
Net income for the year	425,002	154,483	28,137	25,592	(13,007)	620,207
Attributable to stockholders of the Group	425,002	154,483	14,350	25,592	(13,007)	606,420
(+) Reversal of consolidation adjustment	-	-	-	-	2,721	2,721
(=) Attributable to stockholders of the Group - Adjusted	425,002	154,483	14,350	25,592	(10,286)	609,141
Attributable to non-controlling interests in subsidiaries	-	-	13,787	-	-	13,787
CAIXA Seguridade Group's ownership percentage						48.21%
Attributable to CAIXA Seguridade Group						293,667
Attributable to the other stockholders						315,474

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Description	1st semester of 2021				
	Caixa Seguradora	Caixa Capitalização	Caixa Consórcio	Other / Consolidation adjustments	CNP Brasil
Revenue from the operation	1,747,686	90,295	303,764	148,379	2,290,124
Operation/Expenses costs	(910,797)	(36,351)	(165,199)	(131,995)	(1,244,342)
Operating margin	836,890	53,944	138,565	16,384	1,045,783
Administrative costs	(149,187)	(22,880)	(33,106)	(90,113)	(295,286)
Tax Expenses	(56,280)	(5,003)	(35,514)	(5,633)	(102,430)
Financial result	94,539	87,750	8,685	27,521	218,495
Equity result	-	-	-	39,607	39,607
Operating profit	725,962	113,811	78,630	(12,234)	906,169
Gains or losses on non-current assets	5,303	-	(58)	(1,003)	4,242
Profit before tax and participations	731,265	113,811	78,572	(13,237)	910,411
Income tax	(183,027)	(28,536)	(19,637)	11,510	(219,690)
Social contribution	(109,380)	(16,557)	(7,092)	5,530	(127,499)
Net income for the period	438,858	68,718	51,843	3,803	563,222
Attributable to stockholders of the Group	438,858	35,046	51,843	2,144	527,891
(+) Reversal of consolidation adjustment	-	-	-	1,384	1,384
(=) Attributable to stockholders of the Group - Adjusted	438,858	35,046	51,843	3,528	529,275
Attributable to non-controlling interests in subsidiaries	-	33,672	-	1,659	35,331
CAIXA Seguridade Group's ownership percentage					48.25%
Attributable to CAIXA Seguridade Group					255,375
Attributable to the other stockholders					273,900

Description	1st semester of 2020					
	Caixa Seguradora	Caixa Vida & Previdência	Caixa Capitalização	Caixa Consórcio	Other / Consolidation adjustments	CNP Brasil
Revenue from the operation	3,303,562	8,590,124	125,489	292,832	(318,258)	11,993,749
Operation/Expenses costs	(1,734,788)	(8,069,879)	(71,696)	(169,470)	(84,473)	(10,130,306)
Operating margin	1,568,774	520,245	53,793	123,362	(402,732)	1,863,442
Administrative costs	(263,204)	(36,246)	(22,078)	(27,534)	(20,789)	(369,851)
Tax Expenses	(132,039)	(45,059)	(6,327)	(34,043)	(9,217)	(226,685)
Financial result	311,095	24,598	70,000	7,160	536,879	949,732
Equity result	-	-	-	-	17,547	17,547
Operating profit	1,484,626	463,538	95,388	68,945	121,688	2,234,185
Gains or losses on non-current assets	(29,881)	22	-	-	(424)	(30,283)
Profit before tax and participations	1,454,745	463,560	95,388	68,945	121,265	2,203,903
Income tax	(365,043)	(116,733)	(24,201)	(17,246)	(62,581)	(585,804)
Social contribution	(219,375)	(70,071)	(14,548)	(6,227)	(37,132)	(347,353)
Net income for the year	870,326	276,756	56,639	45,471	21,552	1,270,744
Attributable to stockholders of the Group	870,326	276,756	28,886	45,471	21,552	1,242,991
(+) Reversal of consolidation adjustment	-	-	-	-	5,432	5,432
(=) Attributable to stockholders of the Group - Adjusted	870,326	276,756	28,886	45,471	26,984	1,248,423
Attributable to non-controlling interests in subsidiaries	-	-	27,753	-	-	27,753
CAIXA Seguridade Group's ownership percentage						48.21%
Attributable to CAIXA Seguridade Group						601,865
Attributable to the other stockholders						646,558

b.2) Analytical composition of Holding XS1's results:

Description	2nd quarter of 202 ⁽¹⁾			
	XS2 Vida e Previdência	Caixa Vida & Previdência	Other / Consolidation adjustments	Holding XS1
Revenue from the operation	662,908	8,357,792	(271,179)	8,749,521
Operation/Expenses costs	(628,785)	(7,760,316)	-	(8,389,101)
Operating margin	34,123	597,476	(271,179)	360,420
Administrative costs	(75,866)	(48,365)	(8,120)	(132,351)
Tax Expenses	(4,537)	(51,139)	(28)	(55,704)
Financial result	15,735	95,495	279,338	390,568
Operating profit	(30,544)	593,467	9	562,932
Gains or losses on non-current assets	-	-	-	-
Profit before tax and participations	(30,544)	593,467	9	562,932
Income tax	7,634	(148,404)	-	(140,770)
Social contribution	5,657	(87,701)	-	(82,044)
Net income for the year	(17,253)	357,363	9	340,119
Attributable to stockholders of the Group	(17,253)	357,363	9	340,119
CAIXA Seguridade Company's ownership percentage				60.00%
Attributable to Company CAIXA Seguridade				204,071
Attributable to Other Shareholders				136,048

(1) This financial statement does not include comparative information for this table, in view of the implementation of the corporate structure as of the 1st quarter of 2021.

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Description	1st semester of 2021 ⁽¹⁾			
	XS2 Vida e Previdência	Caixa Vida & Previdência	Other / Consolidation adjustments	Holding XS1
Revenue from the operation	1,260,799	16,546,077	(533,003)	17,273,873
Operation/Expenses costs	(1,220,587)	(15,321,780)	-	(16,542,367)
Operating margin	40,212	1,224,297	(533,003)	731,506
Administrative costs	(146,524)	(89,228)	(4,546)	(240,298)
Tax Expenses	(6,092)	(105,828)	(29)	(111,949)
Financial result	22,661	199,880	537,232	759,773
Operating profit	(89,743)	1,229,121	(347)	1,139,031
Gains or losses on non-current assets	-	68	-	68
Profit before tax and participations	(89,743)	1,229,190	(347)	1,139,100
Income tax	22,432	(307,389)	-	(284,957)
Social contribution	14,536	(183,114)	-	(168,578)
Net income for the year	(52,775)	738,687	(347)	685,565
Attributable to stockholders of the Group	(52,775)	738,687	(347)	685,565
CAIXA Seguridade Company's ownership percentage				60.00%
Attributable to Company CAIXA Seguridade				411,339
Attributable to Other Shareholders				274,226

(1) This financial statement does not include comparative information for this table, in view of the implementation of the corporate structure as of the 1st quarter of 2021.

b.3) Analytical composition of the Group's insurance and pension products (except Too Seguros, Youse and Previsul):

2nd quarter of 2021							
Branch	Issued premiums and social security contributions	Variations in technical provisions for premiums and contributions	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Technical Margin
Personal Accidents	146	(2)	144	(550)	-	(4)	(409)
Auto	72,754	5,278	78,032	(48,935)	(6,728)	(4,601)	17,768
Business	31,390	(4,788)	26,603	(4,825)	(4,845)	(5,092)	11,840
Mortgage	672,935	0	672,935	(318,962)	(54,121)	8,543	308,396
Others	4,334	5,201	9,535	7,822	(1,555)	(7,588)	8,214
Homeowner	6,972	81,433	88,405	(9,783)	(20,305)	840	59,156
Life insurance	1,876	(19)	1,858	(1,246)	(196)	137	553
Caixa Seguradora Subtotal	790,408	87,104	877,512	(376,479)	(87,750)	(7,765)	405,518
Personal Accidents	22,911	2,004	24,915	(841)	(10,824)	(1,733)	11,517
Others	23,852	11,195	35,046	(2,051)	(7,471)	(2,298)	23,226
Credit life insurance (resulting from spin-off)	36,800	286,780	323,580	(91,136)	(128,131)	(5,914)	98,400
Life (resulting from spin-off)	262,756	108,577	371,333	(133,602)	(53,033)	(28,039)	156,658
Life (resulting from spin-off)	33,977	1,549	35,526	(8,121)	(7,325)	(81)	19,999
VGBL	7,633,589	(7,632,956)	633	(147)	(15,081)	254,853	240,259
PGBL	142,284	(103,639)	38,645	(8,456)	(10,053)	27,282	47,418
Caixa Vida e Previdência Subtotal	8,156,167	(7,326,489)	829,678	(244,355)	(231,918)	244,071	597,476
Personal Accidents	2,101	(236)	1,865	(20)	(816)	(407)	622
Others	13,994	(10,784)	3,210	(66)	(865)	(320)	1,960
Credit life insurance	495,053	(457,382)	37,672	(4,614)	(15,543)	6,824	24,339
Life insurance	151,759	(105,378)	46,381	(3,313)	(16,892)	1,331	27,507
VGBL	-	-	-	-	-	(20,305)	(20,305)
XS2 Vida e Previdência Subtotal	662,908	(573,780)	89,128	(8,013)	(34,116)	(12,877)	34,123
Mortgage	12,509	(11)	12,498	(1,870)	(2,500)	(1,467)	6,662
Homeowner	147,431	(122,161)	25,269	(6,948)	(8,726)	(47)	9,549
XS3 Seguros Subtotal	159,940	(122,172)	37,767	(8,817)	(11,226)	(1,513)	16,211
Total	9,769,424	(7,935,338)	1,834,086	(637,663)	(365,009)	221,916	1,053,329

2nd quarter of 2020							
Branch	Issued premiums and social security contributions	Variations in technical provisions for premiums and contributions	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Technical Margin
Personal Accidents	21,049	(1,131)	19,918	(2,004)	(6,613)	(5,856)	5,444
Auto	65,692	9,923	75,615	(29,277)	(7,913)	(12,938)	25,487
Business	21,290	15,393	36,683	(9,860)	(8,506)	1,530	19,848
Mortgage	636,236	-	636,236	(172,960)	(50,018)	(5,942)	407,315
Others	37,200	266	37,465	(753)	(8,468)	(6,056)	22,188
Credit life insurance	376,721	(88,427)	288,294	(61,710)	(113,516)	(4,949)	108,119
Homeowner	87,182	(5,232)	81,950	(16,453)	(31,728)	(9,847)	23,922
Life insurance	325,623	50,017	375,640	(85,077)	(68,813)	(26,517)	195,233
Caixa Seguradora Subtotal	1,570,994	(19,192)	1,551,802	(378,095)	(295,575)	(70,575)	807,557
Others	768	4	772	(313)	(623)	(2,192)	(2,356)
Life (resulting from spin-off)	37,802	2,320	40,123	(2,181)	(7,267)	(62)	30,612
VGBL	2,673,279	(2,673,057)	222	(19)	(16,312)	199,145	183,036
PGBL	128,132	(88,193)	39,939	(6,892)	(10,484)	29,160	51,724
Caixa Vida e Previdência Subtotal	2,839,982	(2,758,926)	81,056	(9,405)	(34,686)	226,051	263,016
Total	4,410,975	(2,778,118)	1,632,857	(387,500)	(330,261)	155,477	1,070,573

1st semester of 2021							
Branch	Issued premiums and social security contributions	Variations in technical provisions for premiums and contributions	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Technical Margin
Personal Accidents	282	(3)	279	(690)	(0)	(36)	(448)
Auto	145,071	11,550	156,621	(90,724)	(15,724)	(16,772)	33,401
Business	151,237	(95,947)	55,290	(14,062)	(11,419)	(5,687)	24,121
Mortgage	1,354,126	(1)	1,354,125	(593,325)	(104,821)	5,506	661,485
Others	12,294	7,406	19,701	15,151	(3,460)	(16,506)	14,886
Credit life insurance	-	-	-	-	-	(1)	(1)
Homeowner	80,954	105,235	186,189	(27,552)	(47,982)	(8,546)	102,109
Life insurance	3,722	(18)	3,704	(1,883)	(237)	(248)	1,337
Caixa Seguradora Subtotal	1,747,686	28,222	1,775,909	(713,086)	(183,643)	(42,290)	836,890
Personal Accidents	50,393	4,534	54,927	(3,191)	(20,850)	(7,403)	23,483
Others	49,728	23,017	72,745	(4,300)	(15,598)	(6,188)	46,659
Credit life insurance (resulting from spin-off)	171,547	528,144	699,691	(176,271)	(278,598)	(11,307)	233,514
Life (resulting from spin-off)	541,023	217,267	758,290	(234,703)	(117,743)	(48,571)	357,274
Life (resulting from spin-off)	68,452	3,387	71,839	(14,746)	(13,684)	(227)	43,181
VGBL	14,904,425	(14,902,843)	1,582	916	(75,025)	499,780	427,252
PGBL	280,749	(203,288)	77,461	(19,895)	(19,460)	54,826	92,933
Caixa Vida e Previdência Subtotal	16,066,316	(14,329,781)	1,736,534	(452,189)	(540,958)	480,910	1,224,297
Personal Accidents	4,415	(1,235)	3,180	(20)	(1,478)	(1,442)	240
Others	21,136	(17,080)	4,057	(70)	(1,085)	(637)	2,265
Credit life insurance	983,820	(932,009)	51,812	(5,486)	(25,205)	6,193	27,313
Life insurance	251,428	(190,033)	61,395	(4,123)	(27,519)	946	30,700
VGBL	-	-	-	-	-	(20,305)	(20,305)
XS2 Vida e Previdência Subtotal	1,260,799	(1,140,356)	120,443	(9,699)	(55,287)	(15,246)	40,212
Mortgage	12,824	-	12,824	(1,873)	(2,565)	(625)	7,761
Homeowner	229,277	(199,921)	29,356	(7,693)	(10,140)	(1,847)	9,677
XS3 Seguros Subtotal	242,101	(199,921)	42,180	(9,566)	(12,705)	(2,472)	17,438
Total	19,316,902	(15,641,836)	3,675,066	(1,184,539)	(792,592)	420,902	2,118,837

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1st semester of 2020							
Branch	Issued premiums and social security contributions	Variations in technical provisions for premiums and contributions	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Technical Margin
Personal Accidents	39,154	(1,699)	37,455	(4,530)	(12,577)	(9,210)	11,137
Auto	146,302	6,289	152,591	(80,486)	(19,773)	(26,081)	26,251
Business	80,947	(5,462)	75,484	(30,202)	(18,596)	3,773	30,460
Mortgage	1,265,305	-	1,265,305	(306,892)	(96,265)	(26,377)	835,771
Others	64,873	2,631	67,504	(12,124)	(17,123)	(9,490)	28,767
Credit life insurance	827,059	(254,740)	572,319	(114,509)	(231,800)	(21,751)	204,259
Homeowner	181,552	(18,860)	162,692	(34,730)	(64,984)	(19,897)	43,081
Life insurance	698,370	69,037	767,406	(157,031)	(146,766)	(74,562)	389,048
Caixa Seguradora Subtotal	3,303,562	(202,804)	3,100,758	(740,505)	(607,884)	(183,595)	1,568,774
Others	1,591	(10)	1,580	(806)	(1,262)	(3,612)	(4,099)
Life (resulting from spin-off)	77,387	3,707	81,094	(5,823)	(14,224)	(90)	60,957
VGBL	7,755,751	(7,754,915)	835	(720)	(38,564)	406,826	368,377
PGBL	280,780	(199,434)	81,346	(18,840)	(21,802)	54,306	95,011
Caixa Vida e Previdência Subtotal	8,115,509	(7,950,653)	164,856	(26,190)	(75,851)	457,431	520,245
Total	11,419,071	(8,153,457)	3,265,613	(766,695)	(683,735)	273,836	2,089,019

b.4) Analytical composition of Too Seguros insurance products:

Branch	2nd quarter of 2021						
	Premiums issued	Changes in technical reserves for premiums	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Operating margin
Personal Accidents	7,899	1,055	8,954	386	(2,355)	(354)	6,631
Auto	7,691	(2,289)	5,401	(1,021)	(1,350)	(666)	2,364
Mortgage	57,842	-	57,842	(39,109)	(3,937)	(708)	14,088
Others	62,390	32,008	94,398	22,442	(17,892)	(949)	98,000
Credit life insurance	141,007	(84,577)	56,430	(18,222)	(22,287)	(1,901)	14,019
Homeowner	89	(64)	25	(14)	(7)	-	4
Life insurance	1,739	8	1,747	166	(655)	(470)	787
Total	278,658	(53,861)	224,796	(35,371)	(48,483)	(5,049)	135,893

Branch	2nd quarter of 2020						
	Premiums issued	Changes in technical reserves for premiums	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Operating margin
Personal Accidents	4,842	1,843	6,686	(473)	(2,210)	(261)	3,742
Auto	58	(49)	9	(14)	(2)	(22)	(29)
Mortgage	43,158	-	43,158	(10,030)	(3,208)	(3,977)	25,944
Others	117,706	(19,172)	98,534	(35,329)	(19,818)	6,153	49,540
Credit life insurance	58,523	(7,899)	50,624	(12,625)	(19,733)	(1,597)	16,670
Life insurance	1,989	3	1,992	(681)	(840)	579	1,050
Total	226,276	(25,273)	201,003	(59,153)	(45,810)	876	96,915

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Branch	1st semester of 2021						
	Premiums issued	Changes in technical reserves for premiums	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Operating margin
Personal Accidents	14,927	2,238	17,165	299	(4,435)	(625)	12,404
Auto	16,199	(7,279)	8,919	(1,614)	(2,227)	(1,322)	3,756
Mortgage	109,297	-	109,297	(63,103)	(7,499)	(3,170)	35,525
Others	98,751	122,573	221,324	(61,660)	(42,377)	(1,121)	116,166
Credit life insurance	281,189	(170,442)	110,747	(29,596)	(44,079)	(2,292)	34,779
Homeowner	120	(89)	31	(14)	(9)	-	8
Life insurance	3,390	(5)	3,385	1,843	(1,270)	(546)	3,411
Total	523,874	(53,005)	470,868	(153,845)	(101,897)	(9,077)	206,049

Branch	1st semester of 2020						
	Premiums issued	Changes in technical reserves for premiums	Earned premiums	Claims occurred	Acquisition Costs	Other operating income and expenses	Operating margin
Personal Accidents	12,193	1,226	13,420	(174)	(4,703)	(580)	7,963
Auto	70	(60)	10	(14)	(2)	(44)	(50)
Mortgage	84,396	-	84,396	(20,978)	(6,303)	(3,446)	53,669
Others	150,270	48,956	199,226	(53,357)	(39,825)	4,568	110,612
Credit life insurance	136,549	(33,191)	103,358	(18,378)	(39,831)	(2,204)	42,946
Life insurance	13,466	9	13,475	(63)	(7,220)	(875)	5,317
Total	396,945	16,941	413,886	(92,966)	(97,884)	(2,580)	220,455

c) Synthetic composition of the equity elements of investments in equity interests:

06/30/2021							
Parent company							
Segment	Run-off / Open Sea	Insurance				Distribution	Total
Branches of activity	Miscellaneous Branches and Brokerage	Miscellaneous Branches and Brokerage	Life, Credit Life and Pension Plans	Credit Letters	Assistance Services	Insurance brokerage and intermediation	
Company	CNP Brasil	CAIXA Holding	Holding XS1	XS5 Consórcios	XS6 Assistência	XS Corretagem	
Assets	14,630,310	1,727,681	118,805,334	375,403	37,858	223,423	135,800,009
Cash and cash equivalents	24,335	18	355,368	787	4,637	60	385,205
Financial investments	8,006,482	18,206	107,730,718	126,584	-	157,998	116,039,988
Credit from insurance and reinsurance operations	2,443,675	-	661,302	-	-	-	3,104,977
Reinsurance and retrocession assets - technical provisions	95,312	-	12,944	-	-	-	108,256
Securities and credits receivable	-	-	-	-	3,628	65,365	68,993
Tax assets	1,178,389	-	223,627	-	268	-	1,402,284
Investments	106,137	1,703,004	-	-	-	-	1,809,141
Intangible ⁽¹⁾	214,180	-	7,024,299	246,875	29,250	-	7,514,604
Other assets	2,561,800	6,453	2,797,076	1,157	75	-	5,366,561
Liabilities	10,638,350	2,471	108,602,396	19	3,377	43,593	119,290,206
Operating Liabilities	5,748,101	5	106,827,766	-	2,963	16,833	112,595,668
Tax liabilities	334,376	2,466	316,391	19	147	26,722	680,121
Debts from insurance and reinsurance operations	534,943	-	273,960	-	-	-	808,903
Provisions	3,330,855	-	619,598	-	-	-	3,950,453
Other liabilities	690,075	-	564,681	-	267	38	1,255,061
Equity	3,991,960	1,725,210	10,202,938	375,384	34,481	179,830	16,509,803
Attributable to Company CAIXA Seguridade	1,817,841	1,725,210	6,121,762	281,528	25,861	179,830	10,152,032
Attributable to the other stockholders	2,174,119	-	4,081,176	93,856	8,620	-	6,357,771
Total liabilities and equity	14,630,310	1,727,681	118,805,334	375,403	37,858	223,423	135,800,009

(1) The intangible assets recognized by Holding XS1 refer to the amount paid by XS2 Vida e Previdência directly to CAIXA, for purposes of access to the right to explore and use the CAIXA brand, according to the established distribution agreement. At CAIXA Seguridade, regardless of the accounting records of Holding XS1, an economic and financial appraisal report was prepared to corroborate this situation.

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Explanatory notes to the financial statements

In thousands of reais, unless otherwise stated.



12/31/2020						
Parent company						
Segment	Run-off / Open Sea	Insurance			Distribution	Total
Branches of activity	Miscellaneous Branches and Brokerage	Miscellaneous Branches and Brokerage	Life, Credit Life and Pension Plans	Assistance Services	Insurance brokerage and intermediation	
Company	CNP Brasil	CAIXA Holding	Holding XS1	XS6 Assistência	XS Corretagem	
Assets	17,435,367	492,319	109,868,102	35,056	30,056	
Cash and cash equivalents	18,467	11	389,119	-	-	407,597
Financial investments	10,227,433	187,767	98,846,540	35,056	30,056	109,326,852
Credit from insurance and reinsurance operations	2,337,072	-	426,427	-	-	2,763,499
Reinsurance and retrocession assets - technical provisions	90,483	-	5,047	-	-	95,530
Tax assets	1,909,856	-	453,939	-	-	2,363,795
Investments	107,328	293,773	-	-	-	401,101
Intangible	233,710	-	7,025,515	-	-	7,259,225
Other assets	2,511,018	10,768	2,721,515	-	-	5,243,301
Liabilities	12,376,488	16,708	100,193,766	54	54	112,587,070
Operating Liabilities	6,453,353	16,708	98,246,800	36	38	104,716,935
Tax liabilities	1,240,270	-	734,774	18	16	1,975,078
Debts from insurance and reinsurance operations	602,565	-	236,125	-	-	838,690
Technical provisions	-	-	-	-	-	-
Provisions	3,364,314	-	538,663	-	-	3,902,977
Other liabilities	715,986	-	437,404	-	-	1,153,390
Equity	5,058,880	475,611	9,674,336	35,002	30,002	15,273,831
Attributable to company CAIXA Seguridade ⁽¹⁾	2,304,714	475,611	5,804,601	35,002	30,002	8,649,930
Attributable to the other stockholders	2,754,166	-	3,869,735	-	-	6,623,901
Total liabilities and equity	17,435,368	492,319	109,868,102	35,056	30,056	127,860,901

(1) Considers CNP Brasil's consolidated net equity.

06/30/2021									
Consolidated									
Segment	Run-off / Open Sea			Insurance					Total
Branch of activity	Miscellaneous Branches and Brokerage	Miscellaneous branches	Insurance brokerage and intermediation	Life, Credit Life and Pension Plans	Mortgage and Homeowner	Premium Bonds [Capitalização]	Credit Letters	Assistance Services	
Company	CNP Brasil	Too Seguros	PAN Corretora	Holding XS1	XS3 Seguros	XS4 Capitalização	XS5 Consórcios	XS6 Assistência	
Assets	14,630,310	2,517,090	54,100	118,805,334	1,880,055	266,063	375,403	37,858	
Cash and cash equivalents	24,335	96	-	355,368	1,255	71	787	4,637	386,549
Financial investments	8,006,482	830,036	46,996	107,730,718	233,905	74,126	126,584	-	117,048,847
Credit from insurance and reinsurance operations	2,443,675	513,941	-	661,302	75,588	-	-	-	3,694,506
Reinsurance and retrocession assets - technical provisions	95,312	502,729	-	12,944	2,454	212	-	-	613,651
Securities and credits receivable	-	-	-	-	-	-	-	3,628	3,628
Tax assets	1,178,389	19,030	-	223,627	37,427	-	-	268	1,458,741
Investments	106,137	-	-	-	-	-	-	-	106,137
Intangible	214,180	323,054	578	7,024,299	1,482,000	180,000	246,875	29,250	9,500,236
Other assets	2,561,800	328,204	6,526	2,797,076	47,426	11,654	1,157	75	5,753,918
Liabilities	10,638,350	1,929,257	4,690	108,602,396	276,091	12,279	19	3,377	121,466,459
Operating Liabilities	5,748,101	845,015	1,182	106,827,766	27,243	572	-	2,963	113,452,842
Tax liabilities	334,376	34,845	2,864	316,391	-	7	19	147	688,649
Debts from insurance and reinsurance operations	534,943	351,594	-	273,960	42,064	-	-	-	1,202,561
Technical provisions	-	-	-	-	206,251	-	-	-	206,251
Provisions	3,330,855	666,720	-	619,598	-	1	-	-	4,617,174
Other liabilities	690,075	31,083	644	564,681	533	11,699	-	267	1,298,982
Equity	3,991,960	587,833	49,410	10,202,938	1,603,964	253,784	375,384	34,481	17,099,754
Attributable to Company CAIXA Seguridade	1,817,841	285,550	24,211	6,121,762	1,202,914	190,329	281,528	25,861	9,949,996
Attributable to the other stockholders	2,174,119	302,283	25,199	4,081,176	401,050	63,455	93,856	8,620	7,149,758
Total liabilities and equity	14,630,310	2,517,090	54,100	118,805,334	1,880,055	266,063	375,403	37,858	138,566,213

12/31/2020								
Consolidated								
Segment	Run-off / Open Sea			Insurance				Total
Branches of activity	Miscellaneous Branches and Brokerage (1)	Miscellaneous branches	Insurance brokerage and intermediation	Life, Credit Life and Pension Plans	Mortgage and Homeowner	Premium Bonds [Capitalização]	Assistance Services	
Company	CNP Brasil	Too Seguros	PAN Corretora	Holding XS1	XS3 Seguros	XS4 Capitalização	XS6 Participações	
Assets	17,435,368	2,605,936	49,601	109,855,140	50,240	56,275	35,056	130,087,616
Cash and cash equivalents	18,467	10,517	-	389,119	-	-	-	418,103
Financial investments	10,227,433	784,767	41,913	98,846,540	50,240	56,275	35,056	110,042,224
Credit from insurance and reinsurance operations	2,337,072	533,551	-	413,465	-	-	-	3,284,088
Reinsurance and retrocession assets - technical provisions	90,483	598,751	-	5,047	-	-	-	694,281
Tax assets	1,909,856	60,580	-	453,939	-	-	-	2,424,375
Investments	107,328	-	-	-	-	-	-	107,328
Intangible	233,710	326,607	702	7,025,515	-	-	-	7,586,534
Other assets	2,511,018	291,163	6,986	2,721,515	-	-	-	5,530,682
Liabilities	12,376,488	2,043,631	7,293	100,180,804	230	264	54	114,608,764
Operating Liabilities	6,453,353	915,894	826	98,275,046	-	-	-	105,645,119
Tax liabilities	1,240,270	76,606	6,293	734,774	40	49	19	2,058,051
Debts from insurance and reinsurance operations	602,565	434,359	-	203,682	-	-	-	1,240,606
Provisions	3,364,314	579,853	-	538,663	190	215	35	4,483,270
Other liabilities	715,986	36,919	174	428,639	-	-	-	1,181,718
Equity	5,058,880	562,305	42,308	9,674,336	50,010	56,011	35,002	15,478,852
Attributable to company CAIXA Seguridade ⁽¹⁾	2,304,714	273,042	20,731	5,804,601	50,010	56,011	35,002	8,544,111
Attributable to the other stockholders	2,754,166	289,263	21,577	3,869,735	-	-	-	6,934,741
Total liabilities and equity	17,435,368	2,605,936	49,601	109,855,140	50,240	56,275	35,056	130,087,616

(1) Considers CNP Brasil's consolidated net equity.

d) Reconciliation of investment financial information:

Description	06/30/2021						
	Parent company						
	CNP Brasil	CAIXA Holding	Holding XS1	XS5 Consórcios	XS6 Participações	CAIXA Corretagem	Total
Equity at January 1st	4,776,609	475,611	9,674,335	1,400	35,002	30,002	14,992,959
Distribution of dividends to stockholders	(1,321,789)	-	-	-	-	-	(1,321,789)
Net income for the period	529,275	(12,212)	685,565	(1,482)	(520)	149,828	1,350,455
Other comprehensive income	(216,551)	(616)	(156,964)	-	-	-	(374,132)
Other events (1)		1,262,427	-	375,467	-	-	1,637,894
Equity on June 30th	3,767,545	1,725,210	10,202,936	375,385	34,482	179,830	16,285,388
Percentage of equity - %	48.25	100.00	60.00	75.00	75.00	100.00	-
Investment Participation	1,817,841	1,725,210	6,121,762	281,528	25,861	179,830	10,152,032
Accounting balance of Group investment	1,817,841	1,725,210	6,121,762	281,528	25,861	179,830	10,152,032

(1) According to the events described in Note 2 - Corporate restructuring - Acquisitions, spin-offs, incorporations and disposals of investments in equity.

Description	06/30/2020		
	Parent company		
	CNP Brasil	CAIXA Holding	Total
Equity at January 1st	8,756,450	430,395	9,186,846
Distribution of dividends to stockholders	(551,547)	-	(551,547)
Net income for the period	1,248,423	24,107	1,272,530
Other comprehensive income	72,821	45	72,866
Equity on June 30th	9,526,147	454,547	9,980,694
Percentage of equity - %	48.21	100.00	-
Investment Participation	4,592,555	454,547	5,047,102
Accounting balance of Group investment	4,592,555	454,547	5,047,102

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In thousands of reais, unless otherwise stated.



Description	06/30/2021								
	Consolidated								
	CNP Brasil	Holding XS1	XS3 Seguros	XS4 Capitalização	Too Seguros	XS5 Consórcios	XS6 Participações	PAN Corretora	Total
Equity at January 1st	4,776,609	9,674,335	50,010	56,011	562,305	1,400	35,002	42,308	15,197,980
Distribution of dividends to stockholders	(1,321,789)	-	-	-	(12,609)	-	-	(20,514)	(1,354,912)
Net income for the period	529,275	685,565	(56,046)	(897)	39,394	(1,482)	(520)	27,617	1,222,906
Other comprehensive income	(216,551)	(156,964)	-	-	(1,257)	-	-	-	(374,773)
Other events (1)	-	-	1,610,000	198,670	-	375,467	-	-	2,184,137
Equity on June 30th	3,767,545	10,202,936	1,603,964	253,785	587,833	375,385	34,482	49,411	16,875,340
Percentage of equity - %	48.25	60.00	75.00	75.00	49.00	75.00	75.00	49.00	-
Investment Participation	1,817,841	6,121,762	1,202,914	190,329	288,032	281,528	25,861	24,211	9,952,478
Goodwill	-	-	-	-	(2,482)	-	-	-	(2,482)
Accounting balance of Group investment	1,817,841	6,121,762	1,202,914	190,329	285,550	281,528	25,861	24,211	9,949,996

(1) According to the events contained in Note 2 - Corporate restructuring - Acquisitions, spin-offs, incorporations and disposals of investments in equity.

Description	06/30/2020			
	Consolidated			
	CNP Brasil	Too Seguros	PAN Corretora	Total
Equity at January 1st	8,756,450	576,288	55,433	9,388,171
Distribution of dividends to stockholders	(551,547)	(87,524)	-	(639,072)
Net income for the period	1,248,423	36,270	15,480	1,300,173
Other comprehensive income	72,821	92	-	72,913
Equity on June 30th	9,526,147	525,126	70,913	10,122,185
Percentage of equity - %	48.21	48.99	49.00	-
Investment Participation	4,592,555	257,306	34,747	4,884,608
Goodwill	-	(2,482)	-	(2,482)
Accounting balance of Group investment	4,592,555	254,823	34,747	4,882,125

Note 13 - Taxes

a) Impact on income - Corporate Income Tax (IRPJ) and Social Contribution on Net Income (CSLL)

CAIXA Seguridade adopts as a tax regime the real profit, opting for the annual calculation of IRPJ and CSLL. As a result of this option, CAIXA Seguridade is subject to monthly payment of taxes with the adoption of the suspension/reduction balance sheet, if the requirements set forth in article 230 of Decree 3,000 of March 26th, 1999 and other applicable laws are met.

I. Amounts presented in the parent company and consolidated income statement:

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
IRPJ and CSLL on revenue from distribution network access and use of brand ⁽¹⁾	(9,103)	(9,103)	(44,711)	(44,711)
IRPJ and CSLL on Income from services rendered ⁽¹⁾	-	(43,065)	-	-
IRPJ and CSLL on revenue from equity investments ⁽¹⁾	-	(970)	(2,353)	(3,714)
Total Taxes	(9,103)	(53,138)	(47,064)	(48,425)

(1) The rate of IRPJ is 15% plus a 10% surcharge and the rate of CSLL is 9%.

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
IRPJ and CSLL on revenue from distribution network access and use of brand ⁽¹⁾	(28,867)	(28,867)	(93,611)	(93,611)
IRPJ and CSLL on Income from services rendered ⁽¹⁾	-	(77,212)	-	-
IRPJ and CSLL on revenue from equity investments ⁽¹⁾	-	(1,894)	(4,927)	(7,789)
Total Taxes	(28,867)	(107,973)	(98,538)	(101,401)

(1) The rate of IRPJ is 15% plus a 10% surcharge and the rate of CSLL is 9%.

II. Reconciliation of IRPJ and CSLL charge included in the parent company and consolidated statement of income:

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
I) Profit before IRPJ and CSLL	435,737	479,771	441,002	442,363
IRPJ (rate of 25%)	(108,874)	(119,883)	(110,251)	(110,591)
CSLL (9% rate)	(39,216)	(43,179)	(39,690)	(39,813)
IRPJ and CSLL	(148,091)	(163,062)	(149,941)	(150,403)
Effect of additions/exclusions - IRPJ (25%) and CSLL (9%) ⁽¹⁾	(464,280)	(546,165)	102,877	102,993
II) Total expenses with IRPJ and CSLL	(9,103)	(52,168)	(47,064)	(47,410)
Income before IRPJ and CSLL (I)	435,737	479,771	441,002	442,363
III) Total IRPJ and CSLL expense (II)	(9,103)	(52,168)	(47,064)	(47,410)
Effective tax rate	2.09%	10.87%	10.67%	10.72%
IV) Deferred tax asset (IRPJ and CSLL)	-	-	-	-
V) Deferred tax liability (IRPJ and CSLL)	-	(970)	-	(1,013)
Total IRPJ and CSLL expense (III) + deferred tax asset/liability (IV - V)	(9,103)	(53,138)	(47,064)	(48,423)

(1) The effect of the additions/exclusions refers to the adjustment of the taxable base, mainly due to the exclusion of the equity income from the group and the addition of non-deductible expenses from the base.

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
I) Profit before IRPJ and CSLL	887,158	966,263	906,406	909,269
IRPJ (rate of 25%)	(221,790)	(241,566)	(226,602)	(227,317)
CSLL (9% rate)	(79,844)	(86,964)	(81,577)	(81,834)
IRPJ and CSLL	(301,634)	(328,529)	(308,178)	(309,151)
Effect of additions/exclusions - IRPJ (25%) and CSLL (9%) (1)	(330,501)	(434,608)	209,640	209,861
II) Total expenses with IRPJ and CSLL	(28,867)	(106,079)	(98,538)	(99,290)
Income before IRPJ and CSLL (I)	887,158	966,263	906,406	909,269
III) Total IRPJ and CSLL expense (II)	(28,867)	(106,079)	(98,538)	(99,290)
Effective tax rate	3.25%	10.98%	10.87%	10.92%
IV) Deferred tax asset (IRPJ and CSLL)	-	-	-	-
V) Deferred tax liability (IRPJ and CSLL)	-	(1,894)	-	(2,110)
Total IRPJ and CSLL expense (III) + deferred tax asset/liability (IV - V)	(28,867)	(107,973)	(98,538)	(101,400)

(1) The effect of the additions/exclusions refers to the adjustment of the taxable base, mainly due to the exclusion of the equity income from the group and the addition of non-deductible expenses from the base.

b) Impact on revenue - Social Integration Program (PIS) and Contribution to Social Security Financing (COFINS)

PIS - Social Integration Program and COFINS - Contribution to Social Security Financing are calculated through the application of the rates provided for in the tax legislation of the Conglomerate (Law No. 10,637/2002 and Law No. 10,833/2003).

The tax legislation provides for two calculation regimes for PIS and COFINS, namely:

- I. Cumulative: obligatory for private legal entities or those which calculate IRPJ based on presumed or arbitrated profits, except for financial and other institutions, which are subject to this taxation system as established by the tax legislation;
- II. Non-cumulative: Required for legal entities governed by private law and the equivalent that calculate IRPJ based on actual profit. In this regime there is the possibility of calculating credits to deduct the calculation base.

The rates are also differentiated as follows:

- I. Cumulative Regime: PIS 0.65% and COFINS 4%;
- II. Non-cumulative regime: PIS 1.65% and COFINS 7.6%.

Regarding revenues from access to the distribution network and use of the mark and interest on equity (JSCP), the calculation of PIS and COFINS follows the non-cumulative regime, since the Company is part of this calculation, according to the legislation. taxation.

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Interest on capital on investments in associates and jointly-controlled entities	-	-	4,339	4,339
PIS (1.65%) / COFINS (7.6%)	-	-	(401)	(401)
Tax expense subtotal (I)	-	-	(401)	(401)
Revenue from distribution network access and use of brand	46,477	46,477	157,080	157,080
PIS (1.65%) / COFINS (7.6%)	(4,665) ¹	(4,665)	(15,360)	(15,360)
Subtotal tax expense (II)	(4,665)	(4,665)	(15,360)	(15,360)
Service Provision Revenues	-	167,786	-	-
PIS (1.65%) / COFINS (7.6%)	-	(15,520)	-	-
ISSQN	-	(3,861)	-	-
Subtotal tax expense (III)	-	(19,381)	-	-
Other operating income	1,809	1,809	-	-
PIS (1.65%) / COFINS (7.6%)	(167)	(167)	-	-
Subtotal tax expense (II)	(167)	(167)	-	-
Income from financial instruments	1,726	2,445	4,826	5,913
PIS (0.65%) / COFINS (4.0%)	(80)	(114)	(224)	(275)
Subtotal tax expense (III)	(80)	(114)	(224)	(275)
Total tax expense (I + II + III)	(4,913)	(24,327)	(15,986)	(16,036)
Deferred tax liability	-	(292)	-	(306)
Total tax expense + deferred tax liability (I + II + III)	(4,913)	(24,619)	(15,986)	(16,342)

It includes the amount of BRL 366 thousand related to PIS/COFINS expenses levied on revenues from access to the distribution network and use of the brand - credit life - reversed on 05/2021, which will be subject to an Electronic Request for Refund, Reimbursement and Declaration of Compensation (PER/DCOMP).¹

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Interest on capital on investments in associates and jointly-controlled entities	-	-	9,401	9,401
PIS (1.65%) / COFINS (7.6%)	-	-	(870)	(870)
Tax expense subtotal (I)	-	-	(870)	(870)
Revenue from distribution network access and use of brand	125,375	125,375	330,147	330,147
PIS (1.65%) / COFINS (7.6%)	(11,963) ²	(11,963)	(27,997)	(27,997)
Subtotal tax expense (II)	(11,963)	(11,963)	(27,997)	(27,997)
Service Provision Revenues	-	283,829	-	-
PIS (1.65%) / COFINS (7.6%)	-	(26,254)	-	-
ISSQN	-	(6,583)	-	-
Subtotal tax expense (III)	-	(32,837)	-	-
Other operating income	1,809	1,809	-	-
PIS (1.65%) / COFINS (7.6%)	(167)	(167)	-	-
Subtotal tax expense (II)	(167)	(167)	-	-
Income from financial instruments	2,450	3,286	9,043	11,400
PIS (0.65%) / COFINS (4.0%)	(114)	(153)	(420)	(530)
Subtotal tax expense (III)	(114)	(153)	(420)	(530)
Total tax expense (I + II + III)	(12,244)	(45,121)	(29,287)	(29,396)
Deferred tax liability	-	(571)	-	(637)
Total tax expense + deferred tax liability (I + II + III)	(12,244)	(45,692)	(29,287)	(30,033)

c) Deferred tax liabilities

Description	06/30/2021		12/31/2020	
	Parent company	Consolidated	Parent company	Consolidated
Temporary Differences:				
IRPJ	-	1,390	-	-
CSLL	-	505	-	-
COFINS	-	469	-	-
PIS	-	102	-	-
Total (1)	-	2,465	-	-

(1) The deferred tax liability presented here relates to the recognition by Caixa Holding Seguritária, a wholly-owned subsidiary of CAIXA Seguridade, of the interest on capital expected to be received from its investee Too Seguros.

Note 14 – Accounts payable

Description	06/30/2021		12/31/2020	
	Parent company	Consolidated	Parent company	Consolidated
Accounts payable to Parent Company	12,939	29,845	15,064	15,064
Profit sharing – Short-Term ⁽¹⁾	731	731	690	690
Profit sharing – Long-Term ⁽¹⁾	904	904	846	846
Other accounts payable - third parties	52	52	2,781	2,781
Total	14,626	31,532	19,381	19,381

(1) Note 22 (f) - Related parties - Remuneration of key management personnel

The amounts payable to the Parent Company include the reimbursement of shared expenses and operating activities provided for in the Agreement for the Execution of Operational Activities and Structure Sharing signed between CAIXA and CAIXA Seguridade/CAIXA Corretora (as per Note 22 (c) - Related Parties - Transactions with related parties, as well as reimbursement of

It includes the amount of BRL 366 thousand related to PIS/COFINS expenses levied on revenues from access to the distribution network and use of the brand - credit life - reversed on 05/2021, which will be subject to an Electronic Request for Refund, Reimbursement and Declaration of Compensation (PER/DCOMP).²

costs related to the distribution of insurance products.

Note 15 – Provisions and contingent liabilities

The Company and its wholly-owned subsidiaries, CAIXA Holding and CAIXA Corretagem, as of the date of these individual and consolidated financial statements, are not parties to any relevant legal proceedings and/or administrative proceedings. Accordingly, no provisions and contingent liabilities were recognized or identified by the Company.

Note 16 – Equity

a) Share capital

The share capital, in the amount of R\$ 2,756,687, is divided into 3,000,000,000 (three billion) common shares, represented in book-entry form and without par value. Equity on June 30th, 2021 was R\$ 10,436,845 (December 31st, 2020 - R\$ 9,085,407), corresponding to a book value of R\$ 3.48 per share (December 31st, 2020 - R\$ 3.03).

b) Equity interests

Stockholders	06/30/2021		12/31/2020	
	Actions	% Total	Actions	% Total
Caixa Econômica Federal	2,482,500,000	82.75	3,000,000,000	100.00
Other shareholders	517,500,000	17.25	-	-
Total	3,000,000,000	100.00	3,000,000,000	100.00

c) Reserves

Profit Reserves	Parent Company and Consolidated	
	06/30/2021	12/31/2020
Legal reserve	385,319	385,319
Statutory Reserve	756,165	1,536,165
Total	1,141,484	1,921,484

d) Equity valuation adjustments

The amount on June 30, 2021 was R\$ 5,680,383 (December 31, 2020 – R\$ 4,407,236), and considers the comprehensive income for the 1st half of 2021 in the order of R\$ 1,273,147 (1st half year 2020 - R\$ 35,153) related to the recognition of gains/losses arising from the variation in the percentage of relative participation in equity investments, as well as reflexive variations from investees, such as marking to market of securities and exchange variations, arising mainly from of CNP Brazil. The table below shows the composition of the equity valuation adjustments recorded by the Company:

Equity valuation adjustments	Parent Company and Consolidated				
	12/31/2020	Change in equity interest without loss or acquisition of control	Market value of available-for-sale securities	Other equity valuation adjustments	06/30/2021
Available-for-sale securities - reflex	208,765	-	(199,273)	-	9,492
Other equity valuation adjustments - reflection (2)	(850)	1,262,427	-	-	1,261,577
Gains/losses due to changes in equity interests - reflection (1) (2)	-	1,262,427	-	-	1,262,427
Other reflective equity valuation adjustments	(850)	-	-	-	(850)
Corporate reorganization adjustments:	4,199,322	209,992	-	-	4,409,314
Gains/losses due to changes in equity interests - Holding XS1 (1)	4,200,000	-	-	-	4,200,000
Gains/losses due to changes in equity interests - XS6 Participações (1)	-	22,499	-	-	22,499
Gains/losses due to changes in equity interests - CSH	(678)	-	-	-	(678)
Gains/losses due to changes in equity interests - XS5 Consórcios (1)	-	187,493	-	-	187,493
Total	4,407,237	1,472,419	(199,273)	-	5,680,383

(1) It represents a transaction between partners, resulting from a corporate transaction carried out in accordance with the agreements signed, as per Note 2 - a, b, c and d.

(2) It includes the gain due to changes in equity interest related to the association agreements signed with Tokio Marine and Icatu, as described in Note 2 - items a and d, respectively.

e) Earnings per share

e.1) Basic

In compliance with the laws of corporations, the Parent Company basic earnings per share are calculated by dividing net income for the year by the weighted average number of total common shares outstanding in the period, excluding shares acquired by the Company and held as treasury shares. The Table below shows basic earnings per share:

Parent company / Consolidated	2nd quarter of 2021	2nd quarter of 2020
Profit attributable to stockholders of the Group –thousands	426,634	393,938
Weighted average number of common shares issued– thousands (1)	3,000,000	1,200,000
Basic earnings per share - R\$	0.14221	0.32828

(1) Stock split carried out on September 15, 2020, according to a material fact disclosed.

Parent company / Consolidated	1st semester of 2021	1st semester of 2020
Profit attributable to stockholders of the Group –thousands	858,291	807,868
Weighted average number of common shares issued– thousands (1)	3,000,000	1,200,000
Basic earnings per share - R\$	0.28610	0.67322

(1) Stock split carried out on September 15, 2020, according to a material fact disclosed.

e.2) Diluted

Diluted earnings per share are calculated by adjusting the weighted average number of outstanding common shares to assume the conversion of all potential diluted common shares. The Company does not have any potential diluted common share class.

f) Dividends

On March 29, 2021, the Company's Board of Directors approved the use of the partial balance of the statutory reserve account, constituted based on art. 56 - item f of the Company's Bylaws, for the distribution of complementary dividends related to the adjusted net income earned by the Company in 2020, in an amount equivalent to R\$ 780,000 (R\$ 0.26 per share), paid by the Company on April 23 of 2021.

Thus, in view of the amounts already highlighted and financially settled, the total dividends posted by Caixa Seguridade attributable to the results earned in 2020 reached an amount equivalent to R\$ 1,513,600.5 thousand (R\$ 0.50 per share), representing 90.0% of adjusted net income (85.5% of net income) earned during fiscal year 2020.

Note 17 - Distribution revenue

On June 30, 2015, CAIXA Seguridade Conglomerate and CAIXA entered into a concession agreement whereby CAIXA granted CAIXA Seguridade Conglomerate the right to freely negotiate and receive the full financial consideration due from institutions that have contracted with it to have access to the distribution network and use the brand for distribution and selling of the products, without prejudice to the consideration paid to CAIXA by operating companies for the rendering of products distribution and selling services.

In addition, as of January 2021, the Group started to record Broker or intermediation income earned by CAIXA Corretora, a wholly-owned subsidiary of CAIXA Seguridade, due to its performance as the Group's own broker. Revenues are recorded as a result of the provision of brokerage or intermediation services on the insurance products distributed in the Balcão CAIXA Distribution Network.

The table below shows the aforementioned distribution revenues earned by the CAIXA Seguridade Group:

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Premium Bonds	1,411	1,411	3,467	3,467
Consortium	1,887	1,887	5,523	5,523
Private Pension	14,225	14,225	16,937	16,937
Mortgage	30,232	30,232	29,132	29,132
Credit life insurance	(2,296)	(2,296)	95,082	95,082
Miscellaneous Risks ⁽¹⁾	1,018	1,018	6,939	6,939
Revenue from distribution network access and use of brand - Subtotal	46,477	46,477	157,080	157,080
Life insurance	-	15,801	-	-
Credit life insurance	-	100,105	-	-
Private Pension	-	16,584	-	-
Mortgage	-	1,053	-	-
Homeowner	-	34,243	-	-
Income from services rendered - Subtotal	-	167,786	-	-
Distribution Revenue - Total	46,477	214,263	157,080	157,080

(1) Life insurance; auto insurance; health insurance; home emergency cover; mortgage insurance; multi-risk insurance; lottery insurance; engineering risks insurance.

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Premium Bonds	3,115	3,115	5,171	5,171
Consortium	3,715	3,715	13,046	13,046
Private Pension	26,870	26,870	38,239	38,239
Mortgage	64,834	64,834	58,182	58,182
Credit life insurance	19,685	19,685	201,875	201,875
Miscellaneous Risks ⁽¹⁾	7,156	7,156	13,634	13,634
Revenue from distribution network access and use of brand - Subtotal	125,375	125,375	330,147	330,147
Life insurance	-	25,797	-	-
Credit life insurance	-	178,101	-	-
Private Pension	-	29,865	-	-
Mortgage	-	1,116	-	-
Homeowner	-	48,951	-	-
Income from services rendered - Subtotal	-	283,830	-	-
Distribution Revenue - Total	125,375	409,205	330,147	330,147

(1) Home emergency cover; mortgage insurance; multi-risk insurance; lottery insurance; engineering risks insurance, Life insurance; auto insurance; health insurance;

Note 18 - Cost of the service provided

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Cost of the CAIXA Service (1)	-	(7,089)	-	-
CAIXA Sales Force Cost (2)	-	(14,525)	-	-
Partner Sales Force Cost (2)	-	(44)	-	-
Total	-	(21,658)	-	-

(1) Refers to operating costs related to the partnership entered into with XS3 Seguros, for the purpose of distributing home and home insurance at the CAIXA branch, specifically regarding the service price charged by CAIXA for the distribution of said insurance products at the counter.

(2) Refers to operating costs related to the partnership entered into with XS3 Seguros, for the purpose of distributing home and home insurance at the CAIXA branch, specifically with regard to the amounts spent on awarding employees and insurance product indicator partners.

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
CAIXA Service Price (1)	-	(7,622)	-	-
CAIXA Sales Force Cost (2)	-	(15,774)	-	-
Partner Sales Force Cost (2)	-	(44)	-	-
Total	-	(23,440)	-	-

(1) Refers to operating costs related to the partnership entered into with XS3 Seguros, for the purpose of distributing home and home insurance at the CAIXA branch, specifically regarding the service price charged by CAIXA for the distribution of said insurance products at the counter.

(2) Refers to operating costs related to the partnership entered into with XS3 Seguros, for the purpose of distributing home and home insurance at the CAIXA branch, specifically with regard to the amounts spent on awarding employees and insurance product indicator partners.

Note 19 – Administrative expenses

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Personnel expenses	9,946	9,946	7,623	7,623
Management compensation	1,683	2,289	1,339	1,339
Outsourced services	6,222	6,252	1,649	1,649
Other administrative expenses	1,086	1,179	1,196	1,196
Total	18,937	19,666	11,807	11,807

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Personnel expenses	19,036	19,036	18,120	18,120
Management compensation	2,702	3,742	2,688	2,688
Outsourced services	8,529	8,559	4,590	4,590
Other administrative expenses	1,808	1,908	3,219	3,219
Total	32,075	33,245	28,617	28,617

Note 20 - Other Operating Income/Expenses

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Other operating income/expenses	1,809	1,809	(10)	(10)
Total	1,809	1,809	(10)	(10)

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Other operating income/expenses	1,809	1,809	(10)	(10)
Total	1,809	1,809	(10)	(10)

Note 21 - Financial result

Description	2nd quarter of 2021		2nd quarter of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Financial income:	1,726	2,444	4,826	5,913
Certificates of bank deposit (CDBs)	-	-	4,438	5,409
Monetary restatement of taxes	-	-	-	-
Quotas of fixed income investment funds	1,726	2,444	388	504
Financial expenses:	-	-	(1)	(1)
Monetary restatement of dividends	-	-	-	-
Others	-	-	(1)	(1)
Quotas of fixed income investment funds	-	-	-	-
Total	1,726	2,444	4,825	5,912

Description	1st semester of 2021		1st semester of 2020	
	Parent company	Consolidated	Parent company	Consolidated
Financial income:	2,450	3,286	9,043	11,400
Certificates of bank deposit (CDBs)	-	-	7,838	10,079
Monetary restatement of taxes	-	-	817	817
Quotas of fixed income investment funds	2,450	3,286	388	504
Financial expenses:	(1,006)	(1,022)	(841)	(841)
Monetary restatement of dividends	(1,006)	(1,006)	(758)	(758)
Others	-	-	(83)	(83)
Quotas of fixed income investment funds	-	(15)	-	-
Total	1,444	2,264	8,202	10,559

Note 22 - Related parties

a) Controlling entity

CAIXA Seguridade was incorporated as a wholly owned subsidiary of CAIXA, a financial institution in the form of a state-owned company, linked to the Ministry of Economy, whose capital was fully paid up by the Federal Government. Thus, CAIXA Seguridade is under the direct control of CAIXA and indirectly of the National Treasury Secretariat - STN.

b) Related parties

Related parties	
Entity	Relationship
Union (National Treasury)	Indirect Parent Company
CAIXA	Direct Parent Company
CAIXA Holding	Direct Subsidiary
Caixa Corretora	
XS5 Consórcios	Directly Jointly Controlled
XS6 Assistência	
Too Seguros	Indirect Jointly Controlled
PAN Corretora	
XS3 Seguros	
XS4 Capitalização	
CNP Brazil (1)	Direct Affiliate
Holding XS1	
XS2 Life and Pension	Indirect Affiliate
CAIXA Participações S.A. – CAIXAPAR	Other Related Parties
CAIXA Instantânea S.A.	
CAIXA Cartões S.A.	

(1) Direct investment of CAIXA Seguridade, CNP Brasil holds the following equity interests a) Caixa Seguros Participações Securitária Ltda holds investments in interests in CAIXA Seguradora S.A., CAIXA Capitalização S.A., Youse Seguradora S.A., PREVISUL Companhia de Seguros Previdência do Sul; b) CAIXA Administradora de Consórcios S.A.; c) Caixa Seguros Assessoria e Consultoria Ltda; d) Caixa Seguros Especializada em Saúde S.A.; e) Wiz Soluções e Corretagem de Seguros S.A.; f) Caixa Seguros Participações em Saúde Ltda. holder of investment in participation in Odonto Empresas Convênios Dentários Ltda.; and g) CNPX S.A.S.

c) Transactions with related parties

Transactions with related parties (direct) are carried out in the course of CAIXA Seguridade's operating activities and are carried out under market conditions

c.1) Parent company

The balances of existing transactions with the related party CAIXA refer to deposits in demand deposit accounts (as per Note 9), as well as the amounts payable related to the reimbursement of shared expenses and operating activities provided for in the Agreement for the Execution of Operating Activities and Structure sharing entered into between CAIXA and CAIXA Seguridade/CAIXA Corretora, as shown in Note 14.

The amounts payable due to the Parent Company are recorded in the accrual month and paid up to the 10th business day of the month following formal presentation to the Conglomerate. Accordingly, there are no amounts payable to CAIXA classified as non-current.

c.2) Joint ventures and associated companies:

The balances of existing transactions with related parties Too Seguros (jointly controlled) and CNP Brasil (affiliate) refer to amounts receivable from revenues from access to the distribution network and use of the Insurance Products brand received at the CAIXA Conglomerate Security, according to Note 17. These amounts are provided for in the contractual conditions of the operating agreements between CAIXA and CAIXA Seguridade.

Revenues from access to the distribution network receivable are recorded in the accrual month and received by the 5th business day of the following month. Thus, there are no amounts classified as non-current liabilities.

Furthermore, as of January/2021, the CAIXA Seguridade Conglomerate started to earn revenue from the provision of services in view of the performance of CAIXA Corretora as the Group's own broker, acting in the provision of Broker or intermediation services in the CAIXA Distribution Network.

As of June 30th, 2021, there was no default or impairment recorded in amounts receivable from related parties. The maximum credit risk exposure at the balance sheet date is the carrying amount of receivables mentioned in this note.

In addition, the CAIXA Seguridade Conglomerate as a direct shareholder has the right to register and receive dividends and interest on equity from related parties Holding XS1, CNP Brasil, Too Seguros and PAN Corretora, as provided in Note 12.

Dividends receivable from these related parties are settled financially in the first half of the subsequent year and, therefore, are classified as current assets.

c.3) Other related parties

The existing balances and transactions with Management refer to amounts payable for share in the profit of the Company.

The following tables present the results and equity balances with related parties, considering the nature of the relationship with the entities:

d) Composition of equity balances arising from related party transactions:

Description	Parent company							
	06/30/2021				12/31/2020			
	Parent company	Subsidiaries/Jointly controlled/Affiliates	Other related parties	Total	Parent company	Joint ventures/ associates	Other related parties	Total
Assets:	316	47,800	-	48,116	34	140,927	-	140,961
Cash and cash equivalents (1)	316	-	-	316	34	-	-	34
CAIXA	316	-	-	316	34	-	-	34
Dividends receivable:	-	38	-	38	-	14,386	-	14,386
CAIXA Holding	-	-	-	-	-	14,287	-	14,287
Holding XS1	-	-	-	-	-	25	-	25
XS6 Participações	-	-	-	-	-	36	-	36
CAIXA Corretagem	-	38	-	38	-	38	-	38
Interest on capital receivable:	-	-	-	-	-	20,835	-	20,835
CNP Brasil	-	-	-	-	-	20,835	-	20,835
Accounts receivable: (2)	-	47,762	-	47,762	-	105,706	-	105,706
CNP Brasil	-	46,821	-	46,821	-	104,961	-	104,961
Too Seguros	-	941	-	941	-	745	-	745
Liabilities:	12,939	-	1,635	14,574	335,300	-	1,536	336,836
Accounts payable:	12,939	-	1,635	14,574	15,064	-	1,536	16,600
CAIXA	12,939	-	-	12,939	15,064	-	-	15,064
Management	-	-	1,635	1,635	-	-	1,536	1,536
Dividends payable:	-	-	-	-	320,236	-	-	320,236
CAIXA	-	-	-	-	320,236	-	-	320,236

(1) The amount presented does not include the portion of R\$ 2 (R\$ 0 on December 31, 2020) related to the balance in demand deposits held in financial institutions not related to CAIXA Seguridade.

(2) The amount presented does not include the portion of R\$ 4,433 (R\$ 165 on December 31, 2020) related to Revenues from access to the distribution network and use of the brand to be received from parties unrelated to CAIXA Seguridade, as explained in Note 11 - Amounts receivable.

Description	Consolidated							
	06/30/2021				12/31/2020			
	Parent company	Subsidiaries/Jointly controlled/Affiliates	Other related parties	Total	Parent company	Joint ventures/ associates	Other related parties	Total
Assets:	154	119,520	-	119,674	45	137,774	-	137,819
Cash and cash equivalents	154	-	-	154	45	-	-	45
CAIXA	154	-	-	154	45	-	-	45
Dividends receivable:	-	215	-	215	-	465	-	465
Holding XS1	-	-	-	-	-	25	-	25
XS3 Seguros	-	-	-	-	-	190	-	190
XS4 Capitalização	-	215	-	215	-	215	-	215
XS6 Participações	-	-	-	-	-	35	-	35
Interest on capital receivable:	-	6,178	-	6,178	-	31,603	-	31,603
CNP Brasil	-	-	-	-	-	20,835	-	20,835
Too Seguros	-	6,178	-	6,178	-	10,768	-	10,768
Accounts receivable: (1)	-	113,127	-	113,127	-	105,706	-	105,706
CAIXA	-	-	-	-	-	-	-	-
CNP Brasil	-	46,821	-	46,821	-	104,961	-	104,961
Too Seguros	-	941	-	941	-	745	-	745
XS2 Life and Pension	-	50,273	-	50,273	-	-	-	-
XS3 Seguros	-	15,092	-	15,092	-	-	-	-
Liabilities:	29,845	-	1,635	31,480	335,300	-	1,536	336,836
Accounts payable: (2)	29,845	-	1,635	31,480	15,064	-	1,536	16,600
CAIXA	29,845	-	-	29,845	15,064	-	-	15,064
Management	-	-	1,635	1,635	-	-	1,536	1,536
Dividends payable:	-	-	-	-	320,236	-	-	320,236
CAIXA	-	-	-	-	320,236	-	-	320,236

(1) The amount presented does not include the portion of R\$ 2 (R\$ 0 on December 31, 2020) related to the balance in demand deposits held in financial institutions not related to CAIXA Seguridade.

(2) The amount presented does not include the portion of R\$ 4,433 (R\$ 165 on December 31, 2020) related to Revenues from access to the distribution network and use of the brand to be received from parties unrelated to CAIXA Seguridade, as explained in Note 11 - Amounts receivable.

e) Revenues and expenses arising from transactions with related parties:

Description	Parent company							
	2nd quarter of 2021				2nd quarter of 2020			
	Parent company	Joint ventures/ associates	Other related parties	Total	Parent company	Joint ventures/ associates	Other related parties	Total
Revenue:	-	47,750	-	47,750	4,322	156,885	-	161,207
Revenue from distribution network access and use of brand: (1)	-	45,941	-	45,941	-	156,885	-	156,885
CNP Brasil	-	43,309	-	43,309	-	154,870	-	154,870
Too Seguros	-	2,632	-	2,632	-	2,015	-	2,015
Other operating income:	-	1,809	-	1,809	-	-	-	-
XS3 Seguros	-	1,809	-	1,809	-	-	-	-
Financial income:	-	-	-	-	4,322	-	-	4,322
CAIXA	-	-	-	-	4,322	-	-	4,322
Expenses	(14,847)	-	-	(14,847)	(10,639)	-	-	(10,639)
Administrative costs: (2)	(14,847)	-	-	(14,847)	(10,639)	-	-	(10,639)
CAIXA	(14,847)	-	-	(14,847)	(10,639)	-	-	(10,639)
Financial expenses:	-	-	-	-	-	-	-	-
CAIXA	-	-	-	-	-	-	-	-

(1) The amount presented does not include the portion of R\$ 536 (R\$ 195 - in the same period of the previous year) related to Revenues from access to the distribution network and use of the brand originating from parties unrelated to CAIXA Seguridade.

(2) Administrative Expenses include the shared expenses and operating activities provided for in the Operating Activities and Structure Sharing Agreement entered into between CAIXA and CAIXA Seguridade. The amount presented in the period does not include the portion of R\$ 4,090 (R\$ 1,168 - in the same period of the previous year) related to administrative expenses incurred with parties not related to CAIXA Seguridade.

Description	Parent company							
	1st semester of 2021				1st semester of 2020			
	Parent company	Joint ventures/ associates	Other related parties	Total	Parent company	Joint ventures/ associates	Other related parties	Total
Revenue:	-	121,740	-	121,740	7,722	327,810	-	335,532
Revenue from distribution network access and use of brand: (1)	-	119,931	-	119,931	-	327,810	-	327,810
CNP Brasil	-	114,976	-	114,976	-	323,855	-	323,855
Too Seguros	-	4,955	-	4,955	-	3,955	-	3,955
Other operating income:	-	1,809	-	1,809	-	-	-	-
XS3 Seguros	-	1,809	-	1,809	-	-	-	-
Financial income:	-	-	-	-	7,722	-	-	7,722
CAIXA	-	-	-	-	7,722	-	-	7,722
Expenses	(26,785)	-	-	(26,785)	(24,854)	-	-	(24,854)
Administrative costs: (2)	(25,779)	-	-	(25,779)	(24,096)	-	-	(24,096)
CAIXA	(25,779)	-	-	(25,779)	(24,096)	-	-	(24,096)
Financial expenses:	(1,006)	-	-	(1,006)	(758)	-	-	(758)
CAIXA	(1,006)	-	-	(1,006)	(758)	-	-	(758)

(1) The amount presented does not include the portion of R\$ 5,544 (R\$ 2,337 - in the same period of the previous year) related to Revenues from access to the distribution network and use of the brand originating from parties unrelated to CAIXA Seguridade.

(2) Administrative Expenses include the shared expenses and operating activities provided for in the Operating Activities and Structure Sharing Agreement entered into between CAIXA and CAIXA Seguridade. The amount presented in the period does not include the portion of R\$ 6,296 (R\$ 4,521 - in the same period of the previous year) related to administrative expenses incurred with parties not related to CAIXA Seguridade.

Description	Consolidated					
	2nd quarter of 2021			2nd quarter of 2020		
	Parent company	Joint ventures/ associates	Total	Parent company	Joint ventures/ associates	Total
Revenue:	-	215,535	215,535	5,409	156,885	162,294
Revenue from distribution network access and use of brand: (1)	-	45,941	45,941	-	156,885	156,885
CNP Brasil	-	43,309	43,309	-	154,870	154,870
Too Seguros	-	2,632	2,632	-	2,015	2,015
Other operating income:	-	1,809	1,809	-	-	-
XS3 Seguros	-	1,809	1,809	-	-	-
Service Provision Revenues	-	167,785	167,785	-	-	-
XS2 Life and Pension	-	132,427	132,427	-	-	-
XS3 Seguros	-	35,358	35,358	-	-	-
Financial income:	-	-	-	5,409	-	5,409
CAIXA	-	-	-	5,409	-	5,409
Expenses/Costs	(37,113)	-	(37,113)	(10,639)	-	(10,639)
Administrative costs: (2)	(15,455)	-	(15,455)	(10,639)	-	(10,639)
CAIXA	(15,455)	-	(15,455)	(10,639)	-	(10,639)
Financial expenses:	-	-	-	-	-	-
CAIXA	-	-	-	-	-	-
Costs of services provided	(21,658)	-	(21,658)	-	-	-
CAIXA	(21,658)	-	(21,658)	-	-	-

(1) The amount presented does not include the portion of R\$ 536 (R\$ 195 - in the same period of the previous year) related to Revenues from access to the distribution network and use of the brand originating from parties unrelated to CAIXA Seguridade.

(2) Administrative Expenses include the shared expenses and operating activities provided for in the Operating Activities and Structure Sharing Agreement entered into between CAIXA and CAIXA Seguridade. The amount presented in the period does not include the portion of R\$ 4,211 (R\$ 1,168 - in the same period of the previous year) related to administrative expenses incurred with parties not related to CAIXA Seguridade.

Description	Consolidated					
	1st semester of 2021			1st semester of 2020		
	Parent company	Joint ventures/ associates	Total	Parent company	Joint ventures/ associates	Total
Revenue:	-	405,569	405,569	10,079	327,810	337,889
Revenue from distribution network access and use of brand: (1)	-	119,931	119,931	-	327,810	327,810
CNP Brasil	-	114,976	114,976	-	323,855	323,855
Too Seguros	-	4,955	4,955	-	3,955	3,955
Other operating income:	-	1,809	1,809	-	-	-
XS3 Seguros	-	1,809	1,809	-	-	-
Service Provision Revenues	-	283,829	283,829	-	-	-
XS2 Life and Pension	-	233,763	233,763	-	-	-
XS3 Seguros	-	50,066	50,066	-	-	-
Financial income:	-	-	-	10,079	-	10,079
CAIXA	-	-	-	10,079	-	10,079
Expenses/Costs	(51,267)	-	(51,267)	(24,854)	-	(24,854)
Administrative costs: (2)	(26,820)	-	(26,820)	(24,096)	-	(24,096)
CAIXA	(26,820)	-	(26,820)	(24,096)	-	(24,096)
Financial expenses:	(1,007)	-	(1,007)	(758)	-	(758)
CAIXA	(1,007)	-	(1,007)	(758)	-	(758)
Costs of services provided	(23,440)	-	(23,440)	-	-	-
CAIXA	(23,440)	-	(23,440)	-	-	-

(1) The amount presented does not include the portion of R\$ 5,544 (R\$ 2,337 - in the same period of the previous year) related to Revenues from access to the distribution network and use of the brand originating from parties unrelated to CAIXA Seguridade.

(2) Administrative Expenses include the shared expenses and operating activities provided for in the Operating Activities and Structure Sharing Agreement entered into between CAIXA and CAIXA Seguridade. The amount presented in the period does not include the portion of R\$ 6,425 (R\$ 4,521 - in the same period of the previous year) related to administrative expenses incurred with parties not related to CAIXA Seguridade.

f) Remuneration of key management personnel

The remuneration of key management personnel, in the 1st half of 2021, including the remuneration of the subsidiaries' managers, totaled R\$3,742 (first half of 2020 - R\$2,688), as shown in Note 19 – Administrative Expenses.

The Company's or subsidiaries' officers with an employment relationship with the parent company have their post-employment benefits paid for by it, while the other officers do not receive any post-employment assistance.

Up to the date of these financial statements, the Company had no share-based compensation policy.

g) Employee and executive compensation

As determined by Law No. 13,303 of June 30, 2016, regulated by Decree No. 8,945/2016, as well as in accordance with the provisions of Technical Pronouncement CPC 05 (R1), the following information on personnel compensation, including officers and directors.

Monthly remuneration paid to employees and Management of CAIXA Seguridade and CAIXA Corretora (in Reais):

Description	Parent Company and Consolidated	
	06/30/2021	12/31/2020
Employees		
Lowest salary ¹	9,437	9,437
Highest salary ¹	39,820	39,820
Average Salary ¹	18,121	17,692
Management		
CEO	50,240	50,240
Directors	41,868	41,868
Board members		
Audit Committee	9,525	9,525
Board of Directors	4,762	4,762
Supervisory Board	4,762	4,762

(1) Salary of employees assigned by CAIXA, the costs of which are reimbursed to CAIXA pursuant to the Agreement for Sharing of Infrastructure and Operating Activities.

Note 23 - Other information

a) Ongoing investigations at CNP Brasil

Due to the news released by the press, as of November 30, 2020, about the 13th phase of the so-called "Operação Descarte - Canal Seguro", CNP Brasil's Management determined the adoption of a series of precautionary measures of its interests and that of its shareholders.

In this sense, among other measures, the Board of Directors of CNP Brasil determined the installation of an Independent Committee, formed by representatives of CAIXA Seguridade and other shareholders and, which also counts on the advice of specialized companies, to examine the company's transactions and, to the extent legally permitted, of its controlled companies, the results of which will be presented to its management and control bodies for taking the necessary measures that are required in the circumstances, as well as for the improvement of its internal controls and its governance bodies.

As indicated by CNP Brasil in the explanatory notes to its financial statements as of December 31, 2020, the independent investigation is ongoing and, so far, the facts reported by the authorities as allegedly improper transactions, whose consequences or need for any adjustments are not capable of having a material impact on the investee's financial statements. Accordingly, CAIXA Seguridade will continue to follow and support the aforementioned

investigation process and all related works, as well as will continue to systematically assess and monitor any new information or evidence that indicates the existence of acts or facts in non-compliance with the laws and applicable regulations.

Note 24 – Subsequent events

a) Approval by the Administrative Council for Economic Defense (“CADE”)

On July 28, 2021, CAIXA Seguridade, continuing the Material Fact disclosed on May 12, 2021, hereby informs its shareholders and the market in general that the Administrative Council for Economic Defense (“CADE”) unrestricted approvals of the partnerships between Caixa Seguridade’s own broker and the selected co-brokers, as per Material Fact of February 12, 2021, became final and definitive:

- (i) CAIXA Seguridade’s Products: Partnership with MDS Corretora e Administradora de Seguros S.A.;
- (ii) Auto: Partnership with MDS Corretora e Administradora de Seguros S.A.;
- (iii) Health and Dental: Partnership with Alper Consultoria Corretora de Seguros S.A.; and
- (iv) Large Risks and Corporate: Partnership with Willis Affinity Corretores de Seguros Ltda.

Therefore, all the required conditions for the effectiveness of the established partnerships have been fulfilled, including the additional period of 15 (fifteen) days after the publication of the CADE approval.

The Company will continue to inform the market in a timely manner on topics related to the process of reorganizing its partnerships.

b) Approval by the Brazilian Central Bank (“BACEN”) for XS5 Consórcios

On July 30, 2021, CAIXA Seguridade continuing the Material Fact disclosed on March 30, 2021, hereby informs its shareholders and the market in general that the Brazilian Central Bank approved the change in the controlling group of XS5 Administração de Consórcios S.A. with the entry of CNP Assurances Participações S.A.

Therefore, all the required conditions for the effectiveness of the new partnership which has exclusivity in the sale of Credit Letter (Consórcio) products through CAIXA’s distribution network have been fulfilled.

The Company will continue to inform the market in a timely manner on topics related to the operationalization process of this partnership.

CAIXA SEGURIDADE PARTICIPAÇÕES S.A.

EXECUTIVE BOARD

CAMILA DE FREITAS AICHINGER
CEO

EDUARDO COSTA OLIVEIRA
EXECUTIVE OFFICER

CATIA LEANDRA DE PAULA STRAPASSON
EXECUTIVE OFFICER

HEBERT LUIZ GOMIDE FILHO
EXECUTIVE OFFICER

MURILO VAZ GONÇALVES
ACCOUNTANT
CRC-020012/O-8 - DF

(Convenience translation into English from the original
previously issued in Portuguese)

CAIXA SEGURIDADE PARTICIPAÇÕES S.A.

Independent auditor's report

**Quarterly information
As at June 30, 2021**

CAIXA SEGURIDADE PARTICIPAÇÕES S.A.

**Quarterly information
As at June 30, 2021**

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INDEPENDENT AUDITOR'S REVIEW REPORT ON THE INTERIM FINANCIAL INFORMATION

To the
Shareholders and Management of
Caixa Seguridade Participações S.A.
Brasília - DF

Introduction

We have reviewed the individual and consolidated interim financial information of **CAIXA Seguridade Participações S.A.** (the Company), included in the Quarterly Information, for the quarter ended June 30, 2021, which comprise the balance sheet as at June 30, 2021 and the respective statements of income and comprehensive income for the three- and six-month periods then ended, and of changes in equity and cash flows for the six-month period then ended, as well as the corresponding notes to the financial statements, including a summary of main accounting practices.

The Company's Management is responsible for the preparation of the individual and consolidated interim financial information in accordance with NBC TG 21 (R4)/ CPC 21 (R1) - Interim information and with International Accounting Standard (IAS) 34 - Interim Financial Reporting, issued by the International Accounting Standards Board (IASB), and for the presentation of this information in accordance with the standards issued by the Brazilian Securities and Exchange Commission (CVM) applicable to the Quarterly Information. Our responsibility is to express a conclusion on this interim financial information based on our review.

Scope of the review

We conducted our review in accordance with Brazilian and international standards for reviewing interim information (NBC TR 2410 and ISRE 2410 - Review of Interim Financial Information Performed by the Independent Auditor of the Entity). An interim review consists principally of applying analytical and other review procedures, and making enquiries of and having discussions with persons responsible for financial and accounting matters. An interim review is substantially less in scope than an audit conducted in accordance with auditing standards. An interim review does not provide assurance that we would become aware of any or all significant matters that might be identified in an audit. Accordingly, we do not express such an audit opinion.

Conclusion on the individual and consolidated interim information

Based on our review, we are not aware of any fact that leads us to believe that the individual and consolidated interim financial information included in the Quarterly Information referred to above has not been prepared, in all material respects, in accordance with NBC TG 21(R4)/ CPC 21 (R1) and IAS 34, applicable to the preparation of the Quarterly Information, and presented in accordance with the standards issued by the Brazilian Securities and Exchange Commission (CVM).



Emphasis

Ongoing investigations in the indirect associated company through CNP Brasil

We draw attention to Note 23 to the interim financial information on the 13th Phase of “Operação Descarte - Canal Seguro”, involving possible illegal acts related to the indirect associated company, investee of CNP Seguros Holding Brasil S.A. (“CNP Brasil”), with the creation of Independent Investigation Committees that count with a representative from Caixa Seguridade Participações S.A. aiming the monitoring of the mentioned internal investigations. Until the present moment, the results of this investigation, as well as the respective development of these results, cannot be determined and, therefore, this interim financial information of the Company do not include any effects, if material, that may arise from this matter. Our opinion is not modified in respect of this matter.

Other matters

Statement of value added

The interim financial information referred to above includes the individual and consolidated statements of value added for the six-month period ended June 30, 2021, prepared under the responsibility of the Company's Management as required by the standards issued by CVM applicable to the preparation of quarterly information and presented as supplementary information for the purposes of IAS 34. These statements were submitted to review procedures executed with the review of the quarterly information, with the purpose of concluding whether they are reconciled with the interim financial information and accounting records, as applicable, and if its form and contents meet the criteria defined in NBC TG 09 - Statement of Value Added. Based on our review, we are not aware of any fact that would lead us to believe that these statements of value added were not prepared, in all material respects, in accordance with the criteria established in these standards and consistently with the individual and consolidated interim financial information taken as whole.

The accompanying interim financial information have been translated into English for the convenience of readers outside Brazil.

Brasília-DF, August 10, 2021.



BDO RCS Auditores Independentes SS
CRC 2 DF 002567/F

Jairo da Rocha Soares
Accountant CRC 1 SP 120458/O-6



OPINION OF THE FISCAL COUNCIL

The Fiscal Council of Caixa Seguridade Participações S.A. in the use of its legal and statutory attributions, examined the Financial Statements and Budget Execution of Caixa Seguridade Participações S.A., related to the fiscal period ended on June 30, 2021, which were approved by the Board of Directors on August 10, 2021.

Based on the examinations carried out, the information and clarifications received during the second quarter of 2021 by the Company's Management and also, in the BDO RCS Auditores Independente Report, this Fiscal Council is favorable, without reservations, that the referred documents are in a position to be released to the market.

Brasilia, August 10, 2021.

HUMBERTO FERNANDES DE MOURA
Member

MARCOS BRASILIANO ROSA
Member

RODRIGO PARENTE VIVES
Chairman of the fiscal Council



DECLARATION

Camila de Freitas Aichinger, Brazilian, married, bank employee, holder of identity card (RG) nº 6.611.467-8 SSP/PR, enrolled in CPF/MF under No. 006.567.429-41, with Office in SAUS Quadra 3, Block E, 3rd floor, Matriz III - CEP 70.070-030, Brasília/DF, in quality of legal representative of the Caixa Seguridade Participações S.A. ("Company"), private legal entity, headquartered in the city of Brasilia, Federal District, in Southern Municipalities Sector, Block E, 3rd floor, registered in CNPJ/MF under the No. 22.543.331/ 0001-00, declares, in accordance with paragraphs V and VI of paragraph 1 of Project 25 of the Commission's statement of Securities N ° 480, of 7 December 2009, that:

i) reviewed, discussed and agreed with the opinions expressed in the independent auditors' report on the Company's individual and consolidated financial statements for the period ended June 30, 2021.

II) reviewed, discussed and agreed with the Company's individual and consolidated financial statements for the period ended June 30, 2021.

Brasília, August 10, 2021

CAMILA DE FREITAS AICHINGER



DECLARATION

Hebert Luiz Gomide Filho, Brazilian, married, bank employee, holder of Identity Card (RG) nº 3.196.103 SSP/DF, enrolled in CPF / MF under no. 000.800.746-26, with Office in SAUS Quadra 3, Block E, 3rd floor, Matriz III - CEP 70.070-030, Brasília/DF, in quality of legal representative of the Caixa Seguridade Participações S.A. ("Company"), private legal entity, headquartered in the city of Brasília, Federal District, in Southern Municipalities Sector, Block E, 3rd floor, registered in CNPJ/MF under the No. 22.543.331/ 0001-00, declares, in accordance with paragraphs V and VI of paragraph 1 of Project 25 of the Commission's statement of Securities N ° 480, of 7 December 2009, that:

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II) reviewed, discussed and agreed with the Company's individual and consolidated financial statements for the period ended June 30, 2021.

Brasília, August 10, 2021

HEBERT LUIZ GOMIDE FILHO



DECLARATION

Eduardo Costa Oliveira, Brazilian, married, manager, holder of identity card (CNH) No. 01631848903 DETRAN/DF, enrolled in CPF/MF under No. 001.387.670-84, with Office in SAUS Quadra 3, Block E, 3rd floor, Matriz III - CEP 70.070-030, Brasília/DF, in quality of legal representative of the Caixa Seguridade Participações S.A. ("Company"), private legal entity, headquartered in the city of Brasilia, Federal District, in Southern Municipalities Sector, Block E, 3rd floor, registered in CNPJ/MF under the No. 22.543.331/ 0001-00, declares, in accordance with paragraphs V and VI of paragraph 1 of Project 25 of the Commission's statement of Securities N ° 480, of 7 December 2009, that:

i) reviewed, discussed and agreed with the opinions expressed in the independent auditors' report on the Company's individual and consolidated financial statements for the period ended June 30, 2021.

II) reviewed, discussed and agreed with the Company's individual and consolidated financial statements for the period ended June 30, 2021.

Brasília, August 10, 2021

EDUARDO COSTA OLIVEIRA



DECLARATION

Cátia Leandra de Paula Strapasson, Brazilian, married, bank employee, holder of identity card (RG) nº 6.298.873-8 SSP/PR, enrolled in CPF/MF under No. 003.946.789-90, with Office in SAUS Quadra 3, Block E, 3rd floor, Matriz III - CEP 70.070-030, Brasília/DF, in quality of legal representative of the Caixa Seguridade Participações S.A. ("Company"), private legal entity, headquartered in the city of Brasília, Federal District, in Southern Municipalities Sector, Block E, 3rd floor, registered in CNPJ/MF under the No. 22.543.331/ 0001-00, declares, in accordance with paragraphs V and VI of paragraph 1 of Project 25 of the Commission's statement of Securities N ° 480, of 7 December 2009, that:

i) reviewed, discussed and agreed with the opinions expressed in the independent auditors' report on the Company's individual and consolidated financial statements for the period ended June 30, 2021.

II) reviewed, discussed and agreed with the Company's individual and consolidated financial statements for the period ended June 30, 2021.

Brasília, August 10, 2021

CÁTIA LEANDRA DE PAULA STRAPASSON