

CODE OF CONDUCT FOR SUPPLIERS, SERVICE PROVIDERS AND PARTNERS

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1 OBJECTIVE

B3's suppliers, service providers and partners are a fundamental part of our business network. Therefore, we need them to be aligned with our values, principles and goals.

This document contains guidelines for establishing the best relationship with our suppliers, service providers and partners, while sharing the best corporate governance practices. With these companies and professionals, we want to build a lasting relationship and a bond of trust based on ethics, transparency, mutual respect, equity and joint evolution.

2 SCOPE

This Code of Conduct for Suppliers, Service Providers and Partners ("Code") applies to all suppliers, service providers and partners of B3 S.A. – Brasil, Bolsa, Balcão, its subsidiaries abroad, as well as B3 Bank, BSM, Cetip Info Tecnologia, B3 Social and other associations ("Company").

3 REFERENCES

- B3 Code of Conduct and Ethics;
- Goods and Services Acquisition Policy;
- Anti-Corruption and Fraud Prevention Policy;
- Corporate Policy on Combating Money Laundering, Concealment of Assets, Rights and Property, and Financing of Terrorism; and
- Conflicts of Interest and Related Party Transaction Policy.

4 CONCEPTS

- Supplier is an individual or legal person, public or private, national or foreign, who engages in the commercialization of products or provision of services.

- Partner is a company or entity that decides to enter into a partnership agreement with the Company with the aim of offering, building or improving a certain product in the manner established in the partnership, which will be offered to the market in mutual participation, as long as the contractual partnership relationship lasts.
- Service Provider is an individual or legal entity that performs contracted activities in exchange for compensation.

5 GUIDELINES

5.1. ASSESSMENT OF OUR SUPPLIERS, SERVICE PROVIDERS AND PARTNERS

We adopt objective, transparent and fair selection and engagement criteria with our suppliers, service providers and partners so as not to allow favoring of any kind, or to discredit the partnerships formed. On the other hand, we demand from all our suppliers, service providers and partners that they assume the same ethical and professional obligations that we demand from our executive officers, employees and interns.

We assess our suppliers, service providers and partners based on the price and quality of their products and services, and also on their commitment to the adoption of ethical guidelines and best governance practices.

Registration of all suppliers, service providers and partners by the Company is subject to a previous analysis and approval process that qualifies them to participate in bids, tenders and auctions.

Re-registration of eligible suppliers, service providers and partners must occur periodically – every 2 (two) years or in a shorter period – at the sole discretion of the Company.

The Company reserves the right to monitor the activities carried out by its suppliers, service providers and partners, who shall allow periodic visits to their commercial establishments on previously scheduled dates and times.

5.2. COMMITMENTS FROM OUR SUPPLIERS, SERVICE PROVIDERS AND PARTNERS

We respect the network of suppliers who works alongside us to promote our activities. Therefore, we demand that all the Company's suppliers, service providers and partners conduct their professional activities in compliance with all applicable laws and regulations in their respective countries, besides adopting the best market practices and diligence while assuming the commitments detailed below.

a. Work Relations

Our suppliers, service providers and partners must conduct their relationships with employees and partners in accordance with the provisions of Brazilian labor laws.

Any sign or situation of child labor, sexual exploitation, forced labor, situation similar to slavery, or non-compliance with labor and social security obligations may imply immediate unilateral termination of the contract entered into with the Company.

i. Combat to Child Labor

Any work performed by children younger than that established by the law in force, in particular by the Childhood and Adolescence Statute (ECA), as well as by the Apprenticeship Law, is regarded as child labor. Hiring children under the age of 14 years old and exploiting child labor is prohibited under Brazilian laws.

If a supplier, service provider or partner has a youth apprentice program in place for adolescents over 14 years old, they must register such program in advance with public and non-governmental entities authorized by the competent public bodies, ensuring that those young apprentices attend school and that their labor and social security rights and respect for their unique development condition are guaranteed.

ii. Combat to Forced Labor

The Company repudiates any kind of forced or compulsory labor in all its forms. Forced or compulsory labor is any work or activity that is performed involuntarily and under the menace of any penalty.

iii. Combat to Sexual Exploitation of Children and Adolescents

Combating the sexual exploitation of children and adolescents is critical to protecting the rights and dignity of minors, while preventing and suppressing any form of sexual abuse or exploitation.

iv. Guarantee of Migrant Workers Rights

The guarantee of migrant workers rights refers to the protection and respect of the human and labor rights of individuals who move from their home country to work in another country. This includes ensuring fair working conditions to them, access to social and legal services, and protection against exploitation and discrimination.

b. Wages and Labor and Social Security Contributions

Wages and benefits must conform to the standards set out by the country and/or by category. Our suppliers, service providers and partners must comply with collective bargaining agreements, as well as the applicable legislation for preservation of wages. All payment terms and conditions must be clarified before commencement of the employment relationship. Overtime shall be voluntary and used responsibly.

In the event that an employee of one of our suppliers or service providers provides services on the Company's premises or by remote access via the Company's network, the supplier must send on a monthly basis, proof of payment of wages, payment of labor and social security obligations related to that employee, in addition to all other documents requested by the Company.

c. Prejudice, Discrimination and Harassment

We value diversity and reject any and all forms of prejudice, discrimination or harassment. Our suppliers, service providers and partners must combat any situations of humiliation, intimidation, exposure, hostility and embarrassment in the workplace involving their relationship with the Company, whether those situations are related to color, race, gender, sexual orientation, language, religion, political opinion, nationality, social origin, or any other condition or nature.

In this respect, our suppliers, service providers and partners must also understand that guaranteeing the rights of traditional communities involves protecting and respecting the way of life, culture, traditions and territorial rights of groups that maintain ancestral practices in their relationship with the environment and among themselves.

d. Health and Workplace Safety

We seek to maintain a safe and healthy workspace, complying with the rules and safety specifications for each role. Our suppliers, service providers and partners must adopt practices to prevent potential health hazards and occupational accidents that may affect their employees and service providers, thus ensuring the quality of the work environment and providing personal and collective protection equipment whenever necessary.

Our suppliers, service providers and partners must establish procedures and regular training for the health and safety of their employees according to each job and identify and correct activities that are non-compliant with safety standards. In the event of accidents, they shall provide first aid to the affected workers and assist them in their medical needs.

The Company also acknowledges the fundamental importance of respecting human rights by all its employees, partners and suppliers, including private/ and property security agents. We require that all safety practices be aligned with the highest standards of human rights and professional ethics.

e. Environment

We view sustainability as a new management model that inspires conducting business in synergy with the current and future interests of society and the planet. We establish a relationship with our suppliers, service providers and partners that adds value to and develops society economically, socially and environmentally.

i. Adoption of Sustainable Practices

We encourage the adoption of practices that contribute to reducing the environmental impact of the activities of our suppliers, service providers and partners.

These activities must seek to develop, promote and insert sustainable practices and continuous improvement in the conduct of their business in line with the Company's sustainability principles by implementing eco-efficiency programs in their facilities, optimizing the use of water, energy and paper, and properly managing their solid waste, including electronic waste, and their own greenhouse gas emissions.

ii. Respect for Environmental Laws

The activities of our suppliers, service providers and partners must comply with current environmental laws at the government, state and city levels, and with international standards and agreements applicable to their reality and country, while taking into account the entire supply chain.

f. Commercial Relations

Our suppliers, service providers and partners must always act with loyalty and objectivity regarding the Company's interests and avoid conflicts.

We seek to create transparent bonds and encourage good coexistence between our managers, employees, interns, service providers, partners and suppliers. To this end, we believe it is important to define rules that preserve our corporate image and prevent undue personal favoritism in the workplace.

i. Freebies, Gifts, Free Trips and Amenities

We know that exchanging gifts and presents is common practice in the business world. However, we believe that this practice must be done with great caution so as not to influence or appear to influence business decisions that generate undue advantages.

Therefore, we recommend the following to the Company's executive officers, employees and interns regarding receiving gifts:

- They may accept gifts of a symbolic nature offered by the Company's suppliers and partners, provided that the gift value does not exceed the equivalent of one quarter of Brazil's minimum wage. More expensive items should be declined. If an expensive gift is received, it must be either returned or given to donation;
- They may accept invitations to training sessions or seminars with no restriction on value, as long as those events are organized in the region where they perform their duties and that such events contribute to the activities they carry out at the Company;
- They may not accept invitations to attend entertainment, cultural or sporting events, unless the invitation is economically measurable and equivalent to up to one quarter of Brazil's minimum wage; and
- As a general rule, the Company must bear the expenses resulting from event registrations, travel and accommodation, while exceptions must comply with the requirements and approval levels set forth in B3's Code of Conduct and Ethics.

We require our suppliers, service providers and partners to ensure that service providers who are based on physical premises or who have logical access to the Company's systems should observe the same parameters for receiving gifts and for participating in events, when those parameters and events are related to services provided to the Company.

ii. Kinship and Close Relationship

We respect close and kinship ties that may exist between our employees, interns, service providers, partners and suppliers. However, we understand that some limits need to be established to avoid conflicts of interest.

Our suppliers, service providers and partners may be recommended by Company employees, service providers or interns with whom they maintain a kinship or closeness tie provided that such employees, service providers or interns are not involved in those suppliers' hiring or management process nor have an influence on that process.

iii. Combating and Preventing Corruption, Money Laundering and Fraud

We do not tolerate unlawful practices by our managers, employees, interns, service providers, partners or suppliers in the conduct of business. No supplier, service provider or partner, either directly or via intermediaries, may offer or receive any personal benefit, improper or illegal, to obtain or maintain business, or otherwise secure improper business advantages from third parties, whether in the public or the private sector.

Fraud or any conduct carried out through the use of artifice, deception or other dishonest means with the purpose of concealing facts or obtaining undue advantage must also be curbed.

Our suppliers, service providers and partners must undertake to combat and prevent practices of corruption, money laundering and fraud, in all their forms, in any aspect of their business with the Company or with other institutions, and across their supply chain. Unlawful conduct is unacceptable and subject to appropriate legal measures, such as:

- Promise, offer or give money, regardless of the amount, or any other type of undue advantage, to agents or representatives of public administration, direct or indirect, Brazilian or foreign;

- Offer or take bribe, directly or indirectly, as well as any other illegal practice typified in the Brazilian Penal Code or in extravagant legislation, with the aim of receiving favorable or preferential treatment in any hypothesis or situation;
- Do or take part in any unlawful act of a civil or criminal nature that seeks to obtain business advantages in the supply of goods and services;
- Thwart or defraud the competitive nature of a public tender procedure;
- Prevent, disturb or defraud the performance of any act of bidding procedure;
- Obtain or grant any undue advantage from/to any bidder;
- Defraud any public tender procedure or any contract arising therefrom;
- Manipulate or defraud the economic-financial balance of contracts entered into with the public administration;
- Forge documents, brands or products;
- Manipulate results to meet goals, either to achieve positive results or to mask negative results; and
- Adopt procedures that directly violate tax laws with a view to suppressing or reducing taxes or social contributions, and any accessories.

By committing to comply with this Code, our suppliers, service providers and partners declare and guarantee that they are aware, know and understand the Brazilian anti-corruption laws, notably Law No. 12,846/2013 and any subsequent amendments, and undertake to:

- Not to commit harmful acts to the Brazilian or foreign public administration, and refrain from promising, offering, giving, directly or indirectly, by

themselves or through a third party, any undue advantage to a Brazilian or foreign public agent, or to a third party related to them;

- Implement appropriate guidelines and controls aimed at preventing and correcting deviations in order to comply with and ensure that their managers, employees, contractors and other agents comply with the applicable laws; and
- Periodically demonstrate, at the Company's request, the existence and effectiveness of these guidelines and controls.

In like manner, they undertake not to hinder the investigation or inspection activities by government bodies, public entities or agents, or not to intervene in their activities, also within the scope of regulatory agencies and supervisory bodies of the financial system or the Brazilian capital markets.

iv. Quality

Our suppliers, service providers and partners must maintain a management system that guarantees a high-quality standard for their deliveries. All products and services provided must comply with the applicable laws and within the specifications or requirements agreed with the Company.

We demand that our suppliers, service providers and partners value integrity in the production, delivery and practice of the contracts entered into, while observing the confidentiality of information and the established commercial conditions in an ethical and good faith manner.

Additionally, they must provide transparent and accessible information regarding the products and services supplied, as well as information on their economic and financial capacity.

v. Compliance with Regulatory Entities

The Company's suppliers, contracted service providers and partners must act in good faith in their relationship with regulatory entities and government authorities,

presenting all documents that may be necessary to obtain licenses, permits and statements issued by government agencies.

Furthermore, they must also respect the rules and legislation that govern their main activity. The Company may, at any time, request proof of proper compliance with legal requirements and obligations.

vi. Contracts with the Public Administration

Our suppliers, service providers and partners must be reputable and comply with pre-established rules in tenders, bids and auctions with honesty, loyalty and ethics, regardless of the volume of business. .

In the case of public contracts, our suppliers can only subcontract products or services within the limits set forth by Law No. 8,666/1993 and by the public administration.

g. Use of Information

i. Information Security

We dedicate a great deal of time and funds to ensure that the sensitive information we work with is made available only to the people who need it to perform their jobs.

For this reason, our suppliers, service providers and partners are also responsible for protecting confidential and secret information to which they have access as a result of their commercial partnership with the Company.

We demand that our confidential information be stored securely and that it is never shared with other stakeholders, investors, business partners, or competitors.

Our suppliers, service providers and partners are forbidden to use information classified as internal or confidential for their own benefit or that of third parties, and use it for external use or to suggest investments to family members, friends

or any interested party, or also to obtain advantages in the securities market based on information that is not public knowledge.

Furthermore, our suppliers and partners must ensure that service providers who have logical access to the Company's systems observe the information security rules set out in B3's Information Security Policy and other internal rules.

ii. Intellectual Property

We only select partners and suppliers that share the same level of attention and respect adopted by the Company for intangible assets and intellectual property rights, own and third parties, and that employ only legal technological solutions when performing their activities.

Our suppliers, service providers and partners must fully observe all intellectual property rights (i.e., software, patents, industrial designs, brand registration requests, trademarks, photo prints belonging to collections, copyrights and related rights, in addition to personality rights, especially in the case of artistic works of any kind, among others) , that are owned by the Company by obtaining previous and express authorization from the Company prior to any use or reference, all licenses, assignments and permissions necessary for the lawful performance of their activities. Furthermore, they undertake not to claim any intellectual property right owned by the Company.

Suppliers, service providers and partners shall observe the same previous provisions in relation to intellectual property rights of third parties that may be used by them during the legal transaction entered into by the Company, while previously obtaining the necessary licenses and permits for the lawful performance of their activities and the unencumbered supply of intangible assets to the Company.

They must also respect and not remove any of the disclaimers that usually accompany intellectual property information and not practice any conduct that may be characterized as unfair competition or that may cause damage to the Company's image and reputation.

Unless otherwise marked, the documents and all technical, commercial and strategic information contained therein (including data, processes, methods, requirements, codes and graphic schemes) made available by the Company to its partners, service providers and suppliers are strictly confidential, constituting business secrets for the exclusive use of the Company and protected by the intellectual property laws in force and by other internal rules disclosed to the respective supplier. The Company explains that any information from third parties mentioned in such documents shall have been obtained from public sources duly cited or with the prior authorization of their holders, with the same protection level provided to the Company's business secrets.

The documents referred to in the previous paragraph are made available exclusively for the internal and non-commercial use of the Company's partners, service providers and suppliers, and may only be accessed by employees directly involved in the relationship with the Company and for specifically agreed purposes. Any different use or disclosure, without the prior written consent of the Company, may harm its commercial and strategic interests, and in such case, they shall be subject to the applicable legal measures.

Furthermore, our suppliers, service providers and partners are prohibited from advertising or marketing associated with the supply of goods and/or services to the Company. They are also prohibited from using the corporate name, visual identities, brands, domain names and any other distinctive signs of ownership of the Company.

h. Mandatory training

Our suppliers and partners must ensure that service providers who have logical access to the Company's systems attend mandatory training provided by B3 aimed at combating and preventing corruption, money laundering and fraud, information security and data protection, among other training programs that may be required according to the need identified by B3.

i. Trading rules applicable to suppliers and service providers

Due to the access that suppliers, service providers and partners may have to information capable of influencing the decision to buy or sell assets and derivatives listed or registered in the markets managed by B3, they undertake not to use the Company's confidential information, given that such information may influence the decision to trade assets and derivatives, directly or indirectly, on its own behalf or on behalf of third parties, in markets managed or not by B3.

In addition, specific trading rules apply to certain suppliers, service providers and partners, pursuant to B3's regulatory and internal obligations.

Such suppliers, service providers and partners are made aware of this condition beforehand and the trading rules applicable to their respective employees are expressly included in the service provision agreement and in the consent instrument to this Code.

Therefore, we require our suppliers and service providers to ensure that their employees respect the rules for trading assets and derivatives applicable to them at the time of hiring.

It is also our contractors' duty to inform their respective employees that B3 maintains monitoring procedures for compliance with those trading rules, including transactions carried out in disagreement with the rules mentioned in this Code, with the aim of identifying and curbing unfair practices in financial and capital markets. The same information will be expressly included in the consent instrument to this Code to be signed by suppliers, service providers and partners.

j. Whistleblower Channels

We maintain continuous monitoring of possible violations that may be committed by our managers, employees, and the Company's partners, suppliers and service providers.

It is extremely important that our suppliers and their employees also support the identification of any violation of the principles, rules and procedures provided for in this Code by using one of the following channels:

- a) *Alô, Compliance* is a channel operated by independent companies:
 - Phone: 0800-202-2863 (Monday-Friday from 8:00 AM to 8:00 PM and on other days and times, leave a message);
 - Email: linhadiretab3@br.ictsglobal.com;
 - E-form available at: <https://canalconfidencial.com.br/b3/>;
- b) Code of Conduct: codigodeconduta@b3.com.br; or
- c) Audit Committee: comitedeauditoria@b3.com.br;

Any conduct contrary to the principles laid down by this Code may also be communicated by email at gestãodefornecedores@b3.com.br or by telephone on +55 11 2565-6034 or 2565-7170.

Regardless of the channel used, we guarantee the secrecy of all information provided and it will only be accessed by the people involved in the complaint investigation procedure.

k. Sanctions

Disrespect and non-compliance with the principles and commitments expressed in this Code by Company partners, service providers and suppliers may imply the adoption of the following sanctions:

- Termination and/or suspension of the contract entered into;
- Written notice; or
- Exclusion of the partner or supplier from the list of suppliers qualified to maintain a contract with the Company.

The measures detailed above do not exclude the adoption of any other actions that may be deemed necessary to safeguard the Company's interests and rights.

6 RESPONSIBILITIES

Compliance with the obligations set forth in this Code is the sole responsibility of the Company's partners, suppliers and service providers.

Under no circumstance shall the Company be liable to third parties for the conduct of their partners, suppliers, or subcontractors, and service providers, nor for any civil or criminal offence that may be committed by them.

The Company undertakes to maintain a commercial relationship only with partners, suppliers and service providers who accept the provisions contained herein.

The registration of suppliers or partners at www.b3.com.br/en_us, B3, About, Related sites, Suppliers registration does not carry any responsibility for certifying compliance with the requirements of this Code.

When registering at www.b3.com.br/en_us, B3, About, Related sites, Suppliers registration the supplier or partner must declare that it agrees and undertakes to comply with the standards set forth herein.

7 FINAL PROVISIONS

The above provisions apply to the entire Company upon publication of this Policy.

8 CHANGE LOG

Effective: As of October 09, 2024.

1st draft: January 1, 2017.

Areas responsible for the document:

Responsible for	Area
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CODE OF CONDUCT FOR SUPPLIERS, SERVICE PROVIDERS AND PARTNERS



Drafting	Purchasing and Contracts Department
Revision	Governance, Integrated Management and Cybersecurity Department Legal Department
Approval	Executive Board

Updates:

Version	Changed section	Reason	Date
01	Original draft	N/A	N/A
02	Overall review of the Code	N/A	April 26, 2017
03	Overall review of the Code	Name change to reflect its standard nature Regulatory demand Adaptation to the new template Adaptation to B3 subsidiaries' new governance Update of the nomenclature for B3's areas	September 16, 2020
04	Commitments from our suppliers, service providers and partners	Adaptation of the wording to provide for the guidelines of trading rules for all suppliers and service providers and not just those physically allocated on the Company's premises	September 29, 2021
05	Commitments from our suppliers, service providers and partners	Adaptation of wording related to mandatory training for service providers Inclusion of items under the topic Work Relations for adaptation of the Company's Social and Governance practices	October 09, 2024